



Saturday, October 27, 2018  
7:00 AM - 3:45 PM

# Changing Treatment Paradigms with Immunotherapy and Targeted Therapy in Advanced Non-Small-Cell Lung Cancer and Head & Neck Cancer

Program Director

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## Statement of Need/Program Overview

This symposium is intended to improve care of patients with non-small cell lung cancer and head & neck cancer by accelerating adoption of new guidelines and evidence-based practice change. The format will include didactic lectures from known opinion leaders, question and answer sessions, and ample opportunity for participant interaction with faculty.

## Target Audience

This symposium is directed primarily to hematologists/oncologists, radiation oncologists, researchers, pharmacists, registered nurses, physician assistants, nurse practitioners and fellows in training interested in new development in non-small cell lung cancer and head & neck cancer. No specific skill or knowledge other than a basic training in hematology/oncology is required for successful participation in this activity.

## Learning Objectives

- Select NSCLC patients based on new molecular profiling for personalized chemotherapy
- Outline the clinical data on the use of epidermal growth factor receptor (EGFR) and EGFR-T790M-inhibitors in the treatment of NSCLC
- Identify strategies to overcome secondary or acquired resistance to EGFR-positive and EGFR-T790M-positive NSCLC
- Outline the clinical data on the optimal use of anti-PD-1 and PD-L1 antibodies in the treatment of NSCLC
- Outline the clinical data on the optimal use of anti-PD-1, PD-L1 antibodies and combination approaches in the treatment of NSCLC
- Outline the mechanisms of action of PD-1 and PD-L1 blockade in SCCHN with high mutational burden and implication of immune resistance in SCCHN
- Evaluate PD-1/PD-L1 interactions that contribute to better outcomes for patients with SCCHN
- Identify strategies in the treatment of NSCLC patients with ALK inhibitors
- Identify strategies to overcome secondary or acquired ALK TKI resistance in patients with NSCLC



# Agenda

**SATURDAY – October 27, 2018**

7:00 AM Registration and Continental Breakfast

7:55 AM Welcome and Introductions.....*John Heymach, MD*

## EGFR-TKI TARGETED THERAPY

8:00 AM Pretest – Case Report Vignettes..... *John V. Heymach, MD, PhD / Don L. Gibbons, MD, PhD*

8:15 AM Molecular Profiling in the Treatment of NSCLC: .....*John V. Heymach, MD, PhD*  
Guidelines from the CAP, IASLC and AMP

8:45 AM EGFR Inhibitors: Perspective on Molecular Markers and .....*Don L. Gibbons, MD, PhD*  
Patient Selection

9:15 AM Emerging Strategies and Challenges Due to Secondary .....*Don L. Gibbons, MD, PhD*  
or Acquired EGFR-TKI Resistance

9:45 AM Posttest – Case Report Vignettes .....*John V. Heymach, MD, PhD / Don L. Gibbons, MD, PhD*

10:00 AM BREAK

## IMMUNOTHERAPY - NSCLC

10:15 AM Pretest – Case Report Vignettes.....*John V. Heymach, MD, PhD / Jianjun Zhang, MD, PhD*

10:30 AM Immunotherapy with PD-1/PD-L1 (Nivolumab, Pembrolizumab, .....*John V. Heymach, MD*  
Durvalumab) and Anti CTLA-4 (Ipilimumab) Antibodies in NSCLC

11:00 AM Immunotherapy with Anti-PD-L1 (Pembrolizumab) and ..... *Jianjun Zhang, MD, PhD*  
Combination Therapy Approaches in NSCLC

11:30 AM Posttest – Case Report Vignettes .....*John V. Heymach, MD, PhD / Jianjun Zhang, MD, PhD*

## IMMUNOTHERAPY – HEAD & NECK CANCER

11:45 AM Pretest – Case Report Vignettes.....*Tanguy Seiwert, MD*

12:00 PM Overview of Molecular, Histologic Tumor Testing, High.....*Tanguy Seiwert, MD*  
Mutational Burden and Implication of Immune Resistance in  
HPV-associated Head & Neck Cancer

12:30 PM LUNCH

1:15 PM Immunotherapy Options in the Treatment of Metastatic .....*Tanguy Seiwert, MD*  
Head & Neck Cancer

1:45 PM Posttest – Case Report Vignettes .....*Tanguy Seiwert, MD*

## ALK-REARRANGED –TKI TARGETED THERAPY

2:00 PM Pretest – Case Report Vignettes.....*Anne Tsao, MD / Vincent Lam, MD*

2:15 PM Overview of Molecular Targeted Therapy on the Outcome of .....*Anne Tsao, MD*  
Early-stage NSCLC Patients with EML4-ALK Fusion Gene and the Application of TKIs

2:45 PM BREAK

3:00 PM Discuss Emerging Strategies and Challenges Due to Secondary .....*Vincent Lam, MD*  
or Acquired Resistance to Small Molecule TKIs in Patients with ALK-rearranged NSCLC

3:30 PM Posttest – Case Report Vignettes .....*Anne Tsao, MD / Vincent Lam, MD*

3:45 PM Adjournment.....*John V. Heymach, MD, PhD*

## Faculty

### **Don L. Gibbons, MD, PhD**

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### **Vincent Lam, MD**

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### **Tanguy Y. Seiwert, MD**

Assistant Professor, Head & Neck and Lung Cancer Division, The University of Chicago Medicine, Chicago, IL

### **Anne Tsao, MD**

Professor, Department of Thoracic/Head and Neck Medical Oncology, Division of Cancer Medicine, The University of Texas MD Anderson Cancer Center, Houston, TX

### **Jianjun Zhang, MD, PhD**

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<b>Don L. Gibbons, MD, PhD</b>	<b>Advisory Board:</b> Sanofi, Janssen Research and Development <b>Research Support:</b> Janssen Research and Development, AstraZeneca
<b>John V. Heymach, MD, PhD</b>	<b>Advisory Board:</b> BrightPath Biotherapeutics, Hengrui Therapeutics <b>Consultant:</b> AstraZeneca, Genentech, Inc., GlaxoSmithKline, Spectrum Pharmaceuticals, Foundation Medicine
<b>Vincent Lam, MD</b>	No relevant financial disclosures
<b>Tanguy Y. Seiwert, MD</b>	<b>Consultant:</b> Arduro, Astra Zeneca, Bayer, BMS, Merck, Nanobiotix <b>Research Support:</b> BMS, Jounce Therapeutics, and Merck
<b>Anne Tsao, MD</b>	<b>Advisory Board:</b> BMS, Genentech/Roche, Merck, Eli Lilly, Novartis, Ariad, EMD Serono, Boehringer Ingelheim, AstraZeneca, Takeda Oncology
<b>Jianjun Zhang, MD, PhD</b>	<b>Advisory Board:</b> AstraZeneca <b>Consultant:</b> Geneplus <b>Speakers' Bureau:</b> OrigiMed, Geneplus, Innovent
<b>Kamatham A. Naidu, PhD</b>	No relevant financial relationships

All other individuals in a position to control content have no relevant financial relationships to disclose.

# Changing Treatment Paradigms with Immunotherapy and Targeted Therapy in Advanced Non-Small-Cell Lung Cancer and Head & Neck Cancer

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First Name \_\_\_\_\_ Middle Initial \_\_\_\_\_ Last Name \_\_\_\_\_

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City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

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Speciality \_\_\_\_\_

#### Pharmacists only:

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#### Registration Fee

Registration fee partially covers breakfast buffet, lunch and syllabus book

	Early Registration Fee (Up to 10/5/18)	Discounted Registration Fee (10/6/18 - 10/20/18)	Regular Registration Fee (10/21/18 - 10/27/18)
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**Molecular Profiling in the Treatment of NSCLC: Guidelines from the  
CAP, IASLC and AMP**

**John V. Heymach, MD, PhD**





### Molecular Profiling in the Treatment of NSCLC: Guidelines from the CAP, IASLC and AMP

John Heymach, MD, PhD.  
Chair, Dept. of Thoracic/Head and Neck Medical Oncology  
David Bruton, Jr. Chair in Cancer Research

Changing Treatment Paradigms with Immunotherapy and Targeted Therapy in Advanced Non-Small-Cell Lung Cancer and Head & Neck Cancer

Houston  
Oct 27, 2018


### Conflict of Interest Disclosure

- **Advisory Committees** – AstraZeneca, Boehringer Ingelheim, Exelixis, Genentech, GSK, Guardant Health, Hengrui, Lilly, Novartis, Spectrum, EMD Serono, and Synta
- **Research Support** – AstraZeneca, Bayer, GlaxoSmithKline, Spectrum
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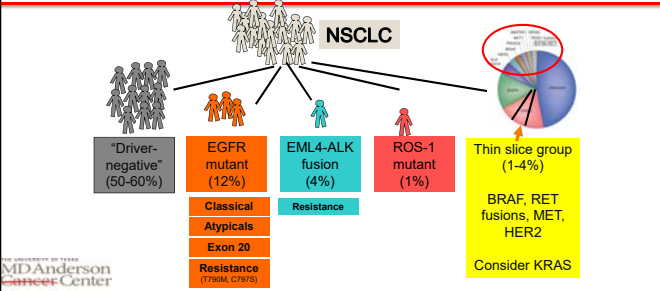


### Key points regarding molecular profiling for NSCLC

- We now have a lot of new drugs that are effective for mutation-defined subgroups
  - 2015: EGFR (classical), ALK
  - 2018: EGFR (classical, atypicals), ALK, ROS1, BRAF
  - Active drugs with potential approvals in near future: MET exon 14 splice, RET fusion, NTRK fusion, EGFR exon 20, HER2 mutant
- Survival can be improved by years using appropriate TKIs
- Making sure targetable oncogenic drivers are detected may be the most important thing medical oncology team does
- Oh yeah: now we have immunotherapy markers (but everyone should now get immunotherapy anyway)
- Proper profiling is critical for optimum management




### NSCLC landscape 2018: Major Mutation Subgroups

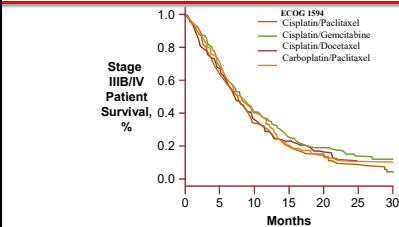


NSCLC

- Driver-negative (50-60%)
- EGFR mutant (12%)
  - Classical
  - Atypicals
  - Exon 20
  - Resistance (T790M, C1973)
- EML4-ALK fusion (4%)
  - Resistance
- ROS-1 mutant (1%)
- Thin slice group (1-4%)
  - BRAF, RET fusions, MET, HER2
  - Consider KRAS




### Comparison of Top Four Chemotherapy Doublets for NSCLC Patients (2002)



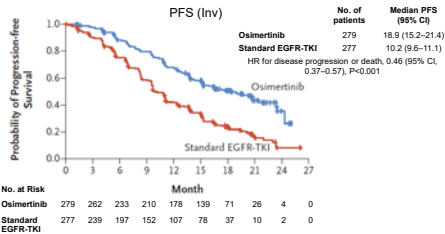
- No significant differences
- **Median overall survival 7.9 m**
- Objective response rate 19%
- Triplets not better than doublets

Schiller JH et al. *N Engl J Med.* 2002;346:92-98.



### Advances in Mutation-defined Subgroups

### FLAURA Phase III Study: Osimertinib Prolongs PFS Compared with Gefitinib/Erlotinib



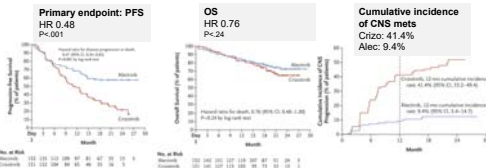
EGFR, epidermal growth factor receptor; HR, hazard ratio; PFS, progression-free survival; TKI, tyrosine kinase inhibitor.  
Soria JC et al. *N Engl J Med* 2018;379(21):113-125

### Osimertinib Approved as First-line Therapy for EGFR M+ NSCLC

- April 18, 2018: FDA approved osimertinib for 1L EGFR M+ (exon 19, L858R) NSCLC based on FLAURA
- NCCN guidelines updated to include osimertinib for first-line therapy
- FDA label includes safety info:
  - **Cardiomyopathy:** 1.9% with LVEF drop  $\geq 10\%$  in 4% of patients. Baseline and periodic LVEF assessment recommended
  - **QT prolongation:** 2.9% had increase  $>60\text{ms}$ ; no arrhythmias. Periodic monitoring if congenital QT, CHF, electrolyte abnormalities, meds that prolong QT.

EGFR, epidermal growth factor receptor; FDA, Federal Drug Administration; LVEF, left ventricular ejection fraction; NCCN, National Comprehensive Cancer Network; NSCLC, non-small cell lung cancer.

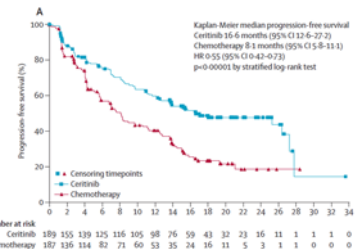
### ALEX: Alectinib Vs Crizotinib for 1L ALK+ NSCLC



**Bottom line:** Alectinib with superior PFS, tolerability, activity vs CNS mets

1L, first-line; Alec, alectinib; ALK, anaplastic lymphoma kinase; CI, confidence interval; CNS, central nervous system; Crizo, crizotinib; HR, hazard ratio; mo, month; OS, overall survival; PFS, progression-free survival.  
Peters S et al. *N Engl J Med* 2017;377:829-838

### ASCEND-4: Ceritinib vs Crizotinib for 1L ALK+



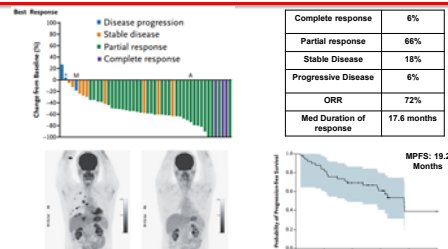
1L, first-line; ALK, anaplastic lymphoma kinase; CI, confidence interval; HR, hazard ratio.  
Soria JC et al. *Cancer* 2017; 438(10):2917-2926

### Summary of ALK+ Space

- 1L: Alectinib superior to crizotinib in ALEX and J-ALEX, better tolerated, better CNS activity. **New standard**
  - FDA approved for 1L NSCLC November 6, 2017
- Ceritinib (ASCEND-4) also improves PFS vs Crizo, but PFS shorter (~16m), tolerability not as good as alectinib
  - FDA approved May 26, 2017
- Brigatinib (ALTA-1L) improved PFS vs crizotinib
- 2L space:
  - a) Brigatinib and ceritinib likely to be most used
  - b) Lorlatinib with promising data, could move into this space

1L, first-line; 2L, second-line; ALK, anaplastic lymphoma kinase; Alec, alectinib; Bev, bevacizumab; CNS, central nervous system; Crizo, crizotinib; PD1, programmed death receptor-1; PD-L1, programmed death-ligand 1; PFS, progression-free survival; VEGFR, vascular endothelial growth factor inhibitor.

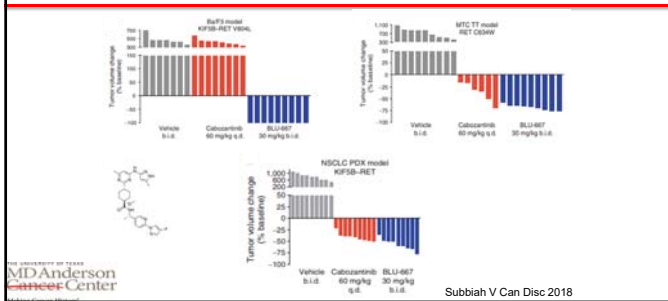
### Crizotinib has Marked Antitumor Activity in Advanced ROS1-rearranged NSCLC



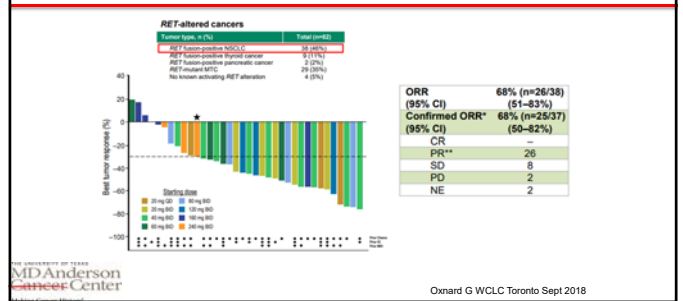
March 11, 2016: Crizotinib FDA-approved for ROS-1 NSCLC

Shaw A NEJM 2018

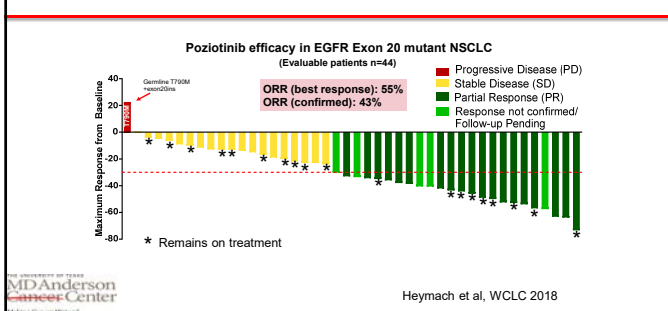
## Antitumor Activity of BLU-667 Across Ret-driven Solid Tumor Models In Vivo



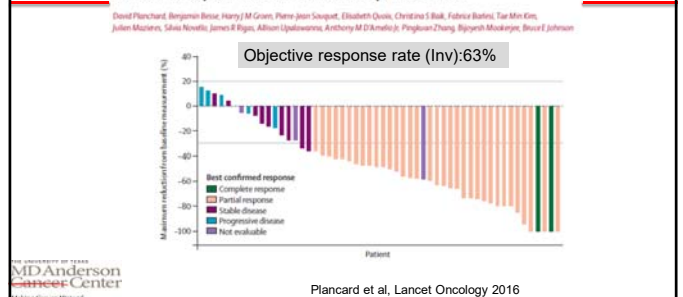
## Clinical Activity of LOXO-292 RET Inhibitor in Patients with RET Fusion+ NSCLC



## Poziotinib is Active in EGFR Exon 20 Mutant NSCLC



## Dabrafenib plus trametinib in patients with previously treated BRAF<sup>V600E</sup>-mutant metastatic non-small cell lung cancer: an open-label, multicentre phase 2 trial



## Other Advances in Subgroups

- Dabrafenib and trametinib approved for BRAF-mutant (V600E) NSCLC
  - FDA approval June 22, 2017
  - Oncomine NGS test approved as diagnostic
  - BRF113928 study (N=97): ORR 63%, mDOR 12.6m
- Crizotinib, tepotinib highly active for MET exon14 mutant NSCLC

## Recommendations for Profiling



## 2018 CAP/IASLC/AMP Recommendations for Profiling

### Who? Why?

"The College of American Pathologists, the International Association for the Study of Lung Cancer, and the Association for Molecular Pathology convened an expert panel to develop an evidence-based guideline to help define the key questions and literature search terms, review abstracts and full articles, and draft recommendations"

## Major Changes in Guidelines

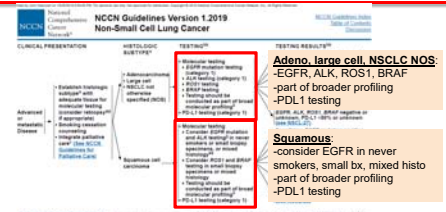
### 18 new recommendations

- Profiling should include:
  - Absolute minimum: EGFR, ALK, ROS1 for all adenocarcinoma patients
  - HER2, MET, BRAF, KRAS, and RET should be included for laboratories that perform NGS
  - Multigene profiling preferred over single tests
- IHC ok for ALK or IHC. Not for EGFR.
- cfDNA assays to "rule in" targetable mutations when tissue limited or hard to obtain

## Recommendations for Profiling Patients with Targetable Mutations Who Progress

- EGFR mutant NSCLC patients should have T790M testing (5% allele sensitivity)
- No recommendation made for profiling AKL mutant patients with PD

## NCCN Guidelines October 2018



"The NCCN NSCLC Guidelines Panel strongly advises broader molecular profiling with the goal of identifying rare driver mutations for which effective drugs may already be available, or to appropriately counsel patients regarding the availability of clinical trials. Broad molecular profiling is a key component of the improvement of care of patients with NSCLC"

## What About Plasma Assays?

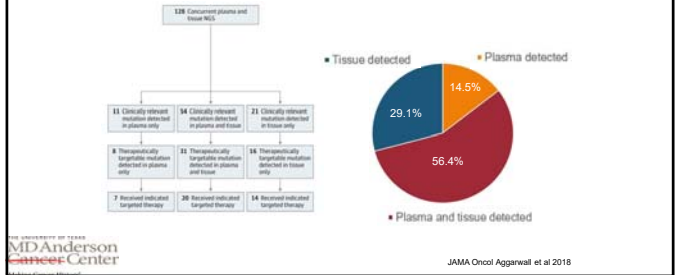
- On June 1, 2016, the FDA approved cobas plasma EGFR mutation test v2 (Roche) for the detection of EGFR exon 19 del and L858R
- First "liquid biopsy" approved
- Multiplexed targeted gene assays in plasma available (Guardant, FoundationOne, etc)

## CAP/IASLC/AMP Recommendations for cfDNA

- cfDNA may be used to determine EGFR status when tissue limited or insufficient
- No recommendation for using cfDNA for primary diagnosis
- Sensitivity <80% but false positives low: can "rule in" but if not detected should try to get tissue

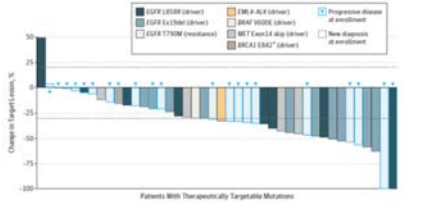
## Looking Ahead: Potential Applications of Blood-based Profiling in the Future (not currently recommended)

## Plasma-based Genotyping vs Tumor in Metastatic NSCLC



## Response of Patients to Plasma-directed Targeted Therapy

86% of patients had PR/CR or SD as best response



## Analysis of cfDNA Profiling from >1000 Patients with Advanced NSCLC: The MDA cohort

- N=1078, with Guardant360;
- outcomes captured in MDA Gemini database \*\*
- Targetable alterations in **22.4% of tests** that directly led to treatments based on FDA labeling, NCCN guidelines or clinical trials eligibility

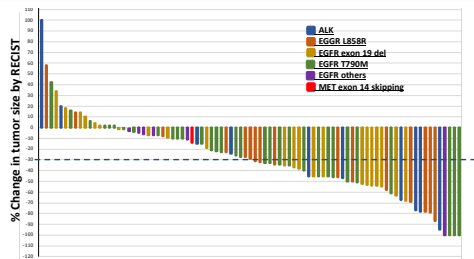
cfDNA reports identified any molecular alterations	927/1078 (86%) tests
cfDNA reports with at least 1 targetable molecular alteration	242/1078 (22.4%) tests 195/1011 (19.3%) patients
EGFR exon 19 DEL	74
EGFR exon 19 DEL + T790M	22
EGFR L858R	44
EGFR L858R + T790M	25
EGFR/ERBB2 exon insertions	33*
EGFR others	15
EGFR T790M	4
ALK fusions	12*
MET exon 14 skipping	10*
BRAF V600E (passive)	4*
RET fusions	4*
ROS1 fusion	1*

\* potential eligibility for clinical research trials for some patients

Long-term follow-up (LTFU) for standard of care patients	309/242 (45%) tests 102/195 (52%) patients
LTFU with imaging of measurable disease for RECIST	87/109 (80%) tests 81/102 (79%) patients

Tran et al, WCLC 2018

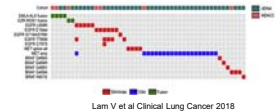
## Best Response in 81 Patients with ctDNA-identified Alterations Treated with Targeted Agents



## Should Profiling be Limited to Non-squamous? or Should we Profile LUSC as Well?

- Analysis of 492 squamous lung pts with Guardant 360
- 10.5%** had targetable alterations
  - EGFR (2.8%),
  - ALK/ROS1 (1.3%),
  - BRAF (1.5%)
  - MET amp/exon 14 skipping (5.1%)

Characteristic	EGFR (n = 42)	ALK/ROS1 (n = 7)	Total (n = 49)
Sex			
Male	0	2 (28.6)	2 (7.7)
Female	42 (100.0)	0 (0.0)	42 (86.3)
Age (years)			
Median	71.0	72.0	71.0
EGFR amp/exon 14 skip			
ALK fusion	2 (4.8)	2 (28.6)	4 (8.2)
ROS1 fusion	1 (2.4)	1 (14.3)	2 (4.1)
MET amp 14 skip	4 (9.5)	0 (0.0)	4 (8.1)
MET amplification	19 (45.2)	1 (14.3)	20 (40.8)
BRAF amp/exon 14 skip	0 (0.0)	1 (14.3)	1 (2.0)
RET fusion	0 (0.0)	0 (0.0)	0 (0.0)



## ctDNA First, Tissue First, or Concurrently?

### Tissue first

- Tissue often needed anyway for diagnosis
- May need PD-L1
- More cost effective

### But:

- If no actionable alterations seen, do you send ctDNA anyway? Or potentially miss some?
- Delays if QNS tissue

### ctDNA first

- Easier for patient
- Typically quicker- no need to wait for biopsy

### But:

- If no actionable seen, need to send tissue
- Might need PD-L1 anyway

### concurrent

- Actionable results as quickly as possible (may be important for early stage in future)
- Increases likelihood of catching targetable alteration- probably the most impactful thing an oncologist can do

### But:

- Cost

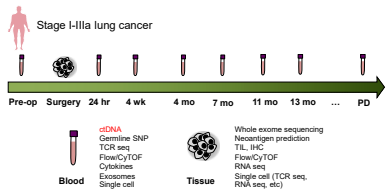
## Circulating tumor DNA analysis with a novel variant classifier for recurrence detection in resected, early-stage lung cancer

Y.K. Lam<sup>1</sup>, H.T. Tran<sup>1</sup>, L. Diao<sup>1</sup>, C.C. Wu<sup>1</sup>, M. Vasquez<sup>1</sup>, K. Li<sup>2</sup>, K. Yuen<sup>2</sup>, F. Vang<sup>2</sup>, A. Jaimovich<sup>4</sup>, D. Kennedy<sup>4</sup>, J.I. Odegaard<sup>5</sup>, S. Mortimer<sup>1</sup>, S. Olsen<sup>1</sup>, V.M. Raymond<sup>6</sup>, A. Vaporciyan<sup>2</sup>, M.B. Antonoff<sup>7</sup>, G. Walsh<sup>1</sup>, E. Roarty, L. Lacerda, J. Roth<sup>2</sup>, S. Swisher<sup>2</sup>, C. Bernatchez<sup>2</sup>, J. Wang<sup>1</sup>, J.J. Lee<sup>1</sup>, B. Sepesi<sup>2</sup>, D. Gibbons<sup>1</sup>, J. Zhang<sup>1</sup>, J.V. Heymach<sup>1</sup>

<sup>1</sup>The University of Texas MD Anderson Cancer Center, Houston, USA  
<sup>2</sup>Guardant Health, Medical Affairs, Redwood City, USA

## ICON: Prospective Trial for Comprehensive, Longitudinal Immunogenomic Profiling of Resected, Early-stage Lung Cancers

**Goal:** Determine MRD prevalence (detected by ctDNA) in resected, early-stage NSCLC and correlate with recurrence free survival



Abbosch et al. Nature 2017 Chabon et al. MA 13.01, WCLC 2017

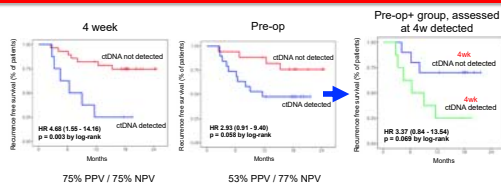
## Project LUNAR: ctDNA Assay for Early-stage Detection

- Multigene panel designed for >90% theoretical sensitivity across major cancer types
- Paired tissue is not required
- Specificity is improved with a variant classifier trained on ~30,000 lung cancer patients to help exclude non-tumor related mutations
- Mutant allele frequency (AF) < 0.01% detectable

SNVs					Indels			
AKT1	ALK	APC	ATM	BRAF	APC	ATM	EGFR	ERBB2
CTNWB1	EGFR	ERBB2	ESR1	GATA3	MET	PTEN	STK11	TP53
RET	KRAS	MET	MPC	NRAS	Fusions			
PIK3CA	PTEN	STK11	TER1	TP53	ALK			

Black genes indicate genes with complete exon coverage.

## ctDNA Detection at 4 Weeks Identifies High-risk Pts



- 4 week ctDNA detection has high accuracy for recurrence
- 4 week ctDNA detection associated with worse RFS in multivariate model accounting for stage, histology, neoadjuvant/adjuvant treatment (p = 0.01)

## The Bottom Line: Recommendations for Molecular Profiling

- The landscape is quickly evolving with a growing number of new targeted agents for genomically defined subgroups, and methods for profiling.
  - Absolute minimum: EGFR, ALK, ROS1 for all adenocarcinoma patients**
  - HER2, MET, BRAF, KRAS, and RET should be included for laboratories that perform NGS
  - Multigene profiling preferred over single tests
  - IHC ok for ALK, not for EGFR
- ctDNA assays to "rule in" targetable mutations when tissue limited/hard to obtain. In future, may be used for TMB or risk
- Proper profiling is critical for optimum management





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**Molecular Profiling in the Treatment of NSCLC:  
Guidelines from the CAP, IASLC and AMP**

John Heymach, MD, PhD.  
Chair, Dept. of Thoracic/Head and Neck Medical Oncology  
David Bruton, Jr. Chair in Cancer Research

Thank you

Houston  
Oct 27, 2018

# **EGFR Inhibitors: Perspective on Molecular Markers and Patient Selection**

**Don L. Gibbons, MD, PhD**

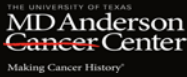


## EGFR Inhibitors: Perspective on Molecular Markers and Patient Selection

October 27, 2018

Don L. Gibbons, MD, PhD

Director, Translational Genetic Models Laboratory,  
 Dept. of Thoracic/Head & Neck Medical Oncology,  
 Dept. of Molecular and Cellular Oncology,  
 MD Anderson Cancer Center, Houston, TX



## Conflict of Interest Disclosure

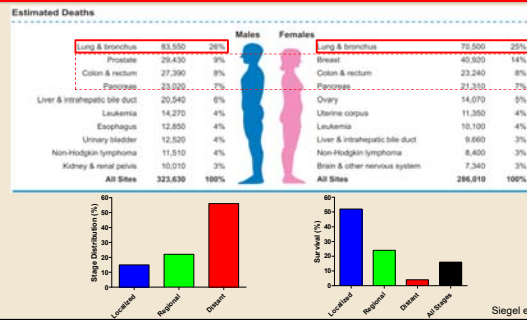
**Advisory Board:** Janssen R&D and Sanofi.

**Research Funding:** Janssen R&D and AstraZeneca.

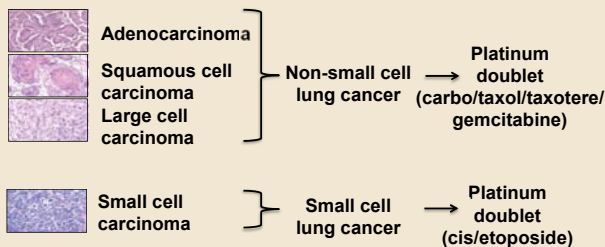
## Agenda

- Evolution of thinking about lung cancer heterogeneity & targetable drivers, e.g. mEGFR
- Current first-line drugs and the evolving usage based on common resistance mechanisms
- The potential for & cautions of TKI combinations
- New advances in TKI unresponsive EGFR mutations

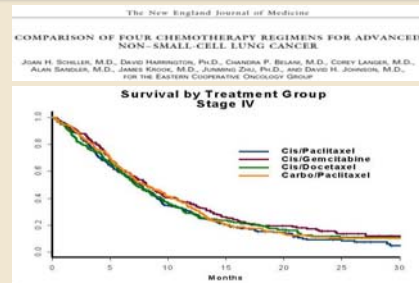
## Lung Cancer has High Disease Burden and Mortality



## Traditional View of Lung Cancer Circa 2000

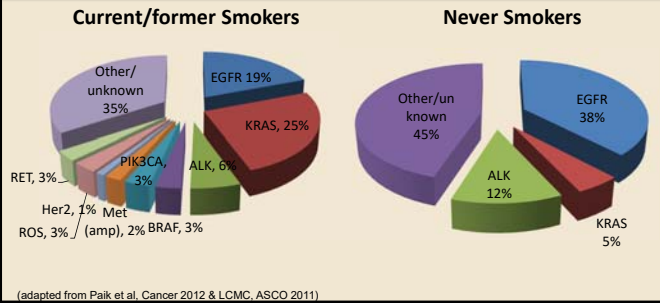


## Similar Response Rates Among Frontline Chemotherapy – No breakout winner

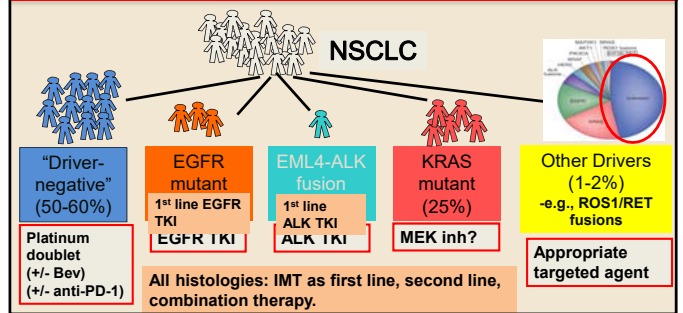


- All randomized studies had similar results
- Treatment selected based on side effect profile

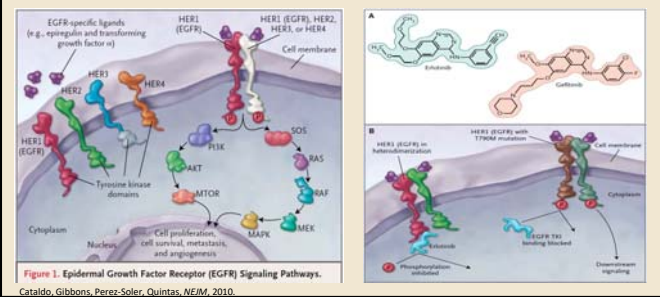
## An Epidemic with Many Faces: The Heterogeneity of Driver Mutations in NSCLC



## Rapidly Evolving View of NSCLC Treatment, Based on Molecularly-defined Subsets



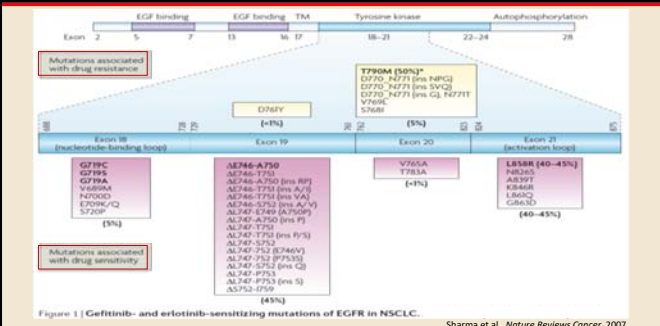
## Targeting Mutant Oncoproteins: EGFR Signaling & Therapeutic Inhibition



## EGFR Inhibitors Demonstrate that Mutations Confer Profound Sensitivity



## EGFR Mutations are Not All Equal

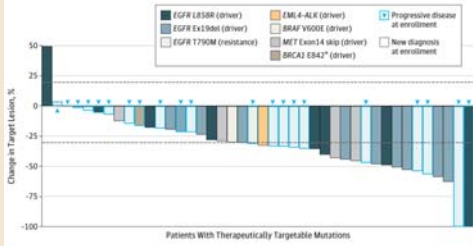


## Blood & Tissue Mutation Testing

- When possible, obtain a tissue biopsy for testing (or re-biopsy at progression)
- Cobas EGFR mutation test v2, FDA approved 6/1/16
- First approved blood-based genetic test for EGFR mutations
- Assays for 42 mutations in exons 18-21
- Blood-based testing with multiple assays is being increasingly used
  - NGS for multigene panels with Guardant 360 and Foundation One Liquid
  - Other tests use ddPCR or BEAMING techniques

## Prospective Clinical Use of Serum ctDNA Testing

Figure 3. Response of Patients to Plasma-Indicated Targeted Therapy as Measured by Response Evaluation Criteria in Solid Tumors (RECIST)

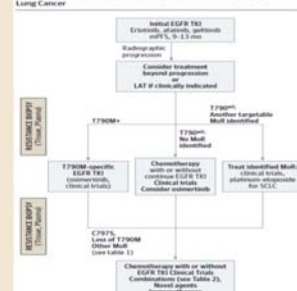


show stats and outcomes

Aggarwal, JAMA Oncology, 2018

## Sequential Treatment Strategy for EGFR Mutant NSCLC

Figure: Proposed Algorithm for the Treatment of EGFR Mutant Lung Cancer

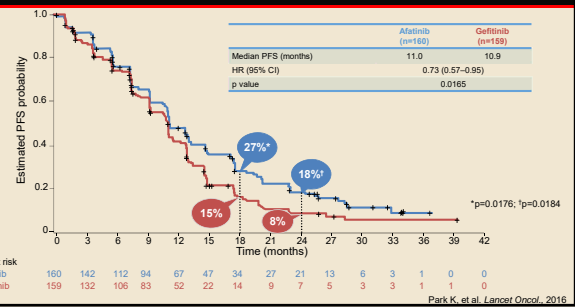


Piotrowska & Sequist, JAMA Oncology, 2016

## Choice of First-line Therapy

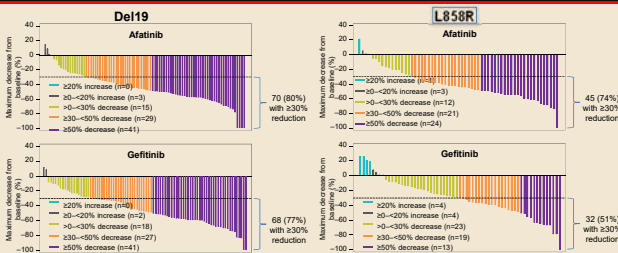
- Erlotinib, gefitinib and afatinib all approved
- Use had been somewhat interchangeable, or dependent upon toxicity profiles, until data from head-to-head comparisons
- And now dacomitinib and osimertinib approved in 2018
- Resistance patterns between the 1<sup>st</sup>, 2<sup>nd</sup> & 3<sup>rd</sup> generation TKI's now critical to selection

## LUX-Lung 7: PFS by Independent Review



Park K, et al. Lancet Oncol, 2016

## LUX-Lung 7: Tumor Shrinkage by Independent Review



\*Based on maximum percentage decrease from baseline in the sum of target lesion diameters

Park K, et al. Lancet Oncol, 2016

## LUX-Lung 7: Drug-related AEs (>10%)

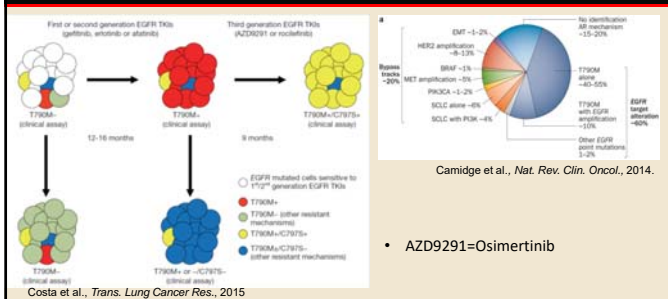
AE category, n (%)	Afatinib (n=160)			Gefitinib (n=159)		
	All	Grade 3	Grade 4	All	Grade 3	Grade 4
Diarrhea	144 (90.0)	19 (11.9)	1 (0.6)	97 (61.0)	2 (1.3)	
Rash/acne*	142 (88.8)	15 (9.4)		129 (81.1)	5 (3.1)	
Stomatitis*	103 (64.4)	7 (4.4)		38 (23.9)		
Paronychia*	89 (55.6)	3 (1.9)		27 (17.0)	1 (0.6)	
Dry skin	52 (32.5)			59 (37.1)		
Pruritus	37 (23.1)			36 (22.6)		
Fatigue*	33 (20.6)	9 (5.6)		23 (14.5)		
Decreased appetite	26 (16.3)	1 (0.6)		19 (11.9)		
Nausea	26 (16.3)	2 (1.3)		22 (13.8)		
Atopia	17 (10.6)			24 (15.1)		
Vomiting	17 (10.6)			6 (3.8)	1 (0.6)	
ALT increased	15 (9.4)			38 (23.9)	12 (7.5)	1 (0.6)
AST increased	10 (6.3)			33 (20.8)	4 (2.5)	

\* There were four cases of ILD with gefitinib (three were grade ≥3) and no cases of ILD with afatinib

\*Graded terms of AEs. Park K, et al. Lancet Oncol, 2016



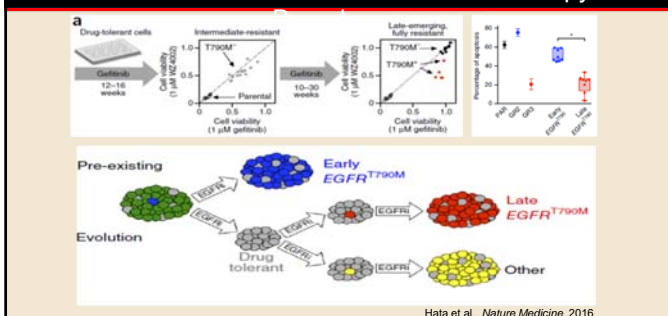
## Mechanisms of Resistance to 1<sup>st</sup> & 2<sup>nd</sup>-generation EGFR Tyrosine Kinase Inhibitors



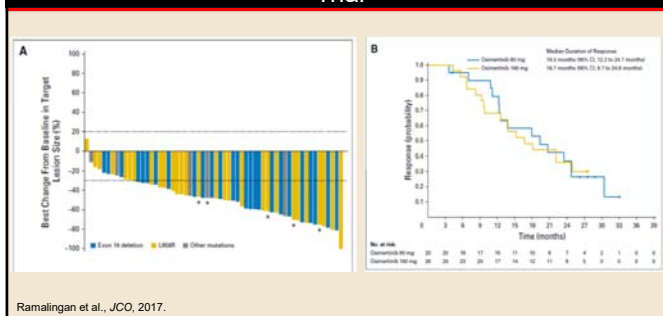
## Osimertinib (AZD9291) Treatment After Resistance to Front-line TKI Therapy



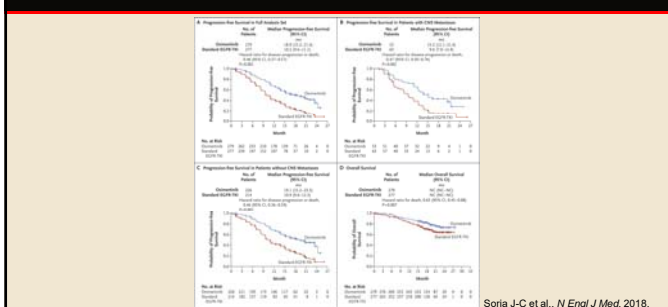
## Observed Patterns & Mechanisms of Resistance have Shifted Choice of Front-line TKI Therapy



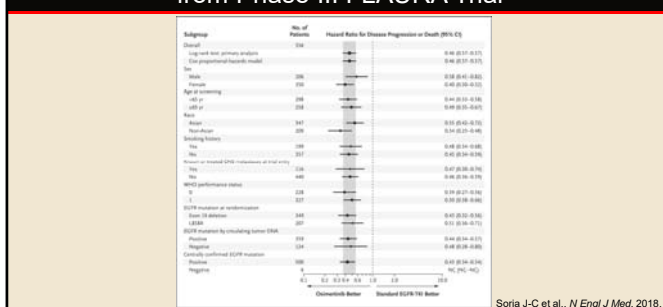
## Front-line Use of Osimertinib from Phase I/II AURA Trial



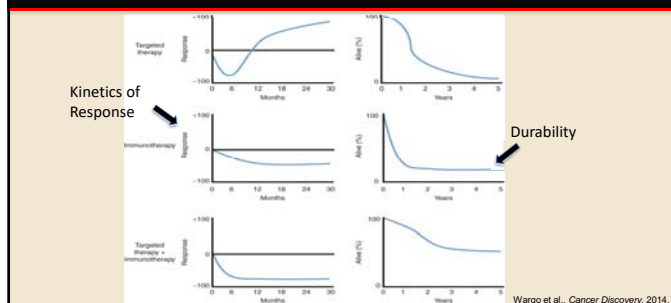
## PFS and OS for Front-line Use of Osimertinib from Phase III Randomized FLAURA Trial



## Subgroup and PFS for Front-line Use of Osimertinib from Phase III FLAURA Trial



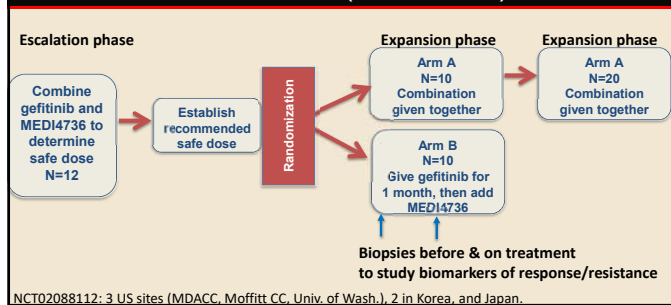
## Using Immunotherapy to Combat TKI Resistance & Bend the Survival Curve



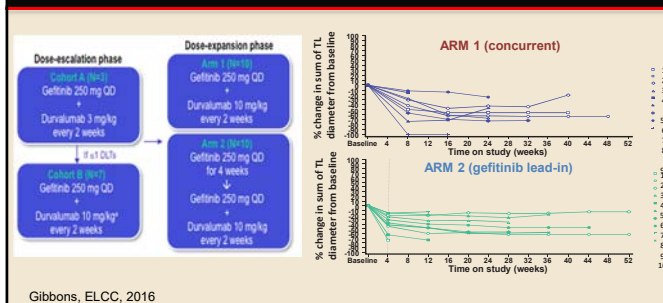
## Trials with EGFR TKI-IMT Combination

- Keynote 021: cohort E, F (+erlotinib, gefitinib), first line
- Pembrolizumab + afatinib (UC Davis), erlotinib failure
- Nivolumab + erlotinib or Crizotinib (U Utah), 1<sup>st</sup> line
- Atezolizumab + erlotinib or Alectinib, 2<sup>nd</sup> line, phase I/2
- **TATTON: Osimertinib+Durvalumab, 1<sup>st</sup>, 2<sup>nd</sup> line: Halted**
- **CAURAL : phase III, (Osi+Durva vs Osi) : Halted**
- Rocicetinib + atezolizumab (UCLA), 2<sup>nd</sup> line : discontinued
- Gefitinib + durvalumab (MDACC & multisite) : finished accrual, data maturing

## Combination Therapy Strategies: Gefitinib & Durvalumab (Anti-PD-L1)



## Phase 1 Dose-expansion of Gefitinib and Durvalumab (MEDI4736): Design and Changes in Tumor Lesions



## Dose-expansion Phase: Overall Tolerability (Safety Analysis Set)

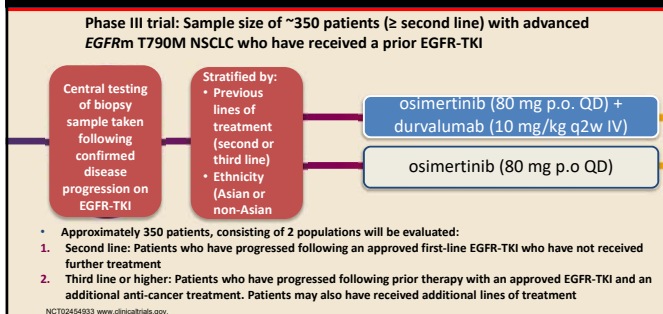
Patients experiencing an event*	Arm 1 N=10 (%)	Arm 2 N=10 (%)	Total N=20 (%)
<b>Treatment-related AE</b>	10 (100)	10 (100)	20 (100)
<b>All-cause CTC Grade 3-4 AE</b>	5 (50)	7 (70)	12 (60)
ALT increased	3 (30)	5 (50)	8 (40)
Aplastic anemia	0	1 (10)	1 (5)
AST increased	0	3 (30)	3 (15)
Bone pain	1 (10)	0	1 (5)
Diarrhoea	0	1 (10)	1 (5)
Dry skin	1 (10)	0	1 (5)
Hyperglycaemia	1 (10)	0	1 (5)
Hyponatraemia	1 (10)	0	1 (5)
Pneumonitis	0	1 (10)	1 (5)
Urinary tract infection	1 (10)	0	1 (5)
<b>Treatment-related CTC Grade 3-4 AE</b>	4 (40)	7 (70)	11 (55)
<b>All-cause serious AE</b>	2 (20)	2 (20)	4 (20)
<b>Treatment-related AE → discontinuation</b>	0	4 (40)	4 (20)

\*Most common<sup>†</sup> treatment-related AEs:  
 -Arm 1  
 • Diarrhoea (n=8), ALT increased (n=7), rash (n=6)  
 -Arm 2  
 • Diarrhoea (n=6), ALT increased (n=6), pruritis (n=6)  
 -Treatment-related AEs leading to discontinuation:  
 \*Arm 2 only  
 • Increased ALT and / or AST (n=3), pneumonitis (n=1)

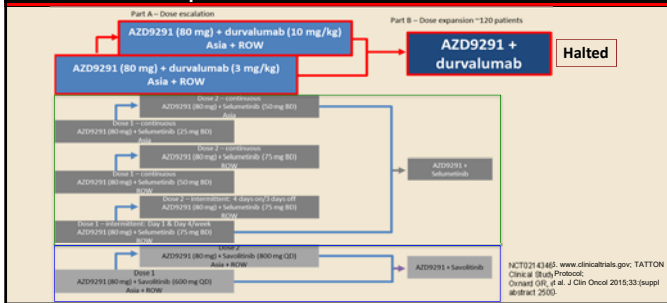
†ALT: alanine aminotransferase; AST: aspartate aminotransferase  
 \*Patients may have experienced >1 AE, occurring in over half (5) of patients in each Arm  
 Arm 1: gefitinib 250 mg QD plus durvalumab: 10 mg/kg every 2 weeks  
 Arm 2: gefitinib 250 mg QD monotherapy for 4 weeks followed by gefitinib 250 mg QD plus durvalumab: 10 mg/kg every 2 weeks

Gibbons, ELCC, 2016

## CAURAL Study Design



## TATTON: Multi-arm Phase IB Trial in Patients with Acquired Resistance to EGFR TKI



## Increased ILD with Osimertinib and Durvalumab Combination

Part A	6/23 (26%)
Dose 1: Osimertinib 80 mg QD / durvalumab 3 mg/kg Q2W	2/10 (20%)
Dose 2: Osimertinib 80 mg QD / durvalumab 10 mg/kg Q2W	4/13 (31%)
Part B: Osimertinib 80 mg QD / durvalumab 10 mg/kg Q2W	7/11 (64%)
<b>Part A and Part B</b>	<b>13/34 (38%; 95% CI 18, 52)</b>

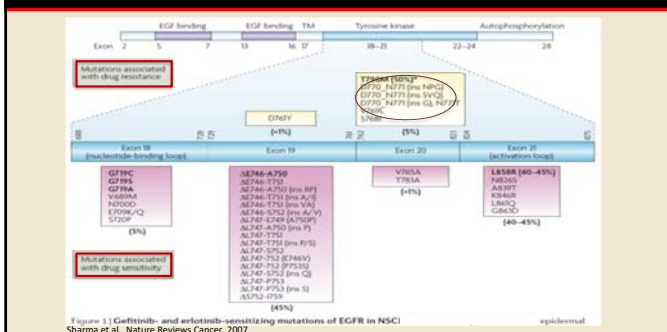
<sup>15</sup> events were Grade 3/4 and there were no fatalities; most cases were managed using steroids

Osimertinib monotherapy (entire clinical programme, Phase I and II)	35/1207 (2.9%)
Durvalumab monotherapy	23/1149 (2.0%)

Ahn, ELCC, 2016

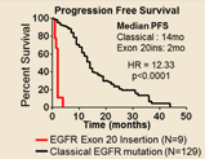
<sup>1</sup>One patient reported ILD following 13 Nov 2015 data cut off TATTON Population: safety analysis set; data cut-off: 13 Nov 2015

## EGFR Mutations are Not All Equal



## Patients with EGFR or HER2 exon 20 NSCLC have poor response rates to approved TKIs

EGFR exon 20			
Treatment	N	PR	ORR
Gefitinib/erlotinib	26	0	0%
Gefitinib/erlotinib (with 763FQEA)	28	2*	7%
Afatinib	9	1	11%
<b>Total for EGFR TKIs</b>	<b>37</b>	<b>3</b>	<b>8% (w/ 763insFQEA)</b>
Luminespib (AUY922)	29	5	17%
HER2 exon 20			
Neratinib	11	0	0%
Afatinib	11	2	18%
Afatinib	8	2	33%
Docetaxel	26	3	11.5%
Lapatinib	5	0	0%
<b>Total for HER2 TKIs</b>	<b>59</b>	<b>7</b>	<b>11.9%</b>
TDM-1	12	6	50%

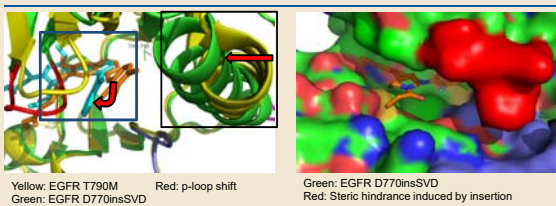


Non-targeted standard 2L therapies		
Treatment	ORR%	9-13%
Docetaxel	9-13%	
PD-1/PD-L1 inhibitors	3.6-19%	

\*Non-responders were known 763insFQEA. Robichaux et al 2018 Nat Med. Piotrowski JTOsupp 12:1152, Kiri et al, Ann Oncol 2015;11 et al, JCO 2016, Makris et al, Ann Oncol 2015;16 et al, JTO 2016, Heyman et al, Nature 2016, Gansler CCR 2016, Bonghai NEJM 2015, Hanna JCO 2014, Herbst Lancet 2015, Reimerer Lancet 2017

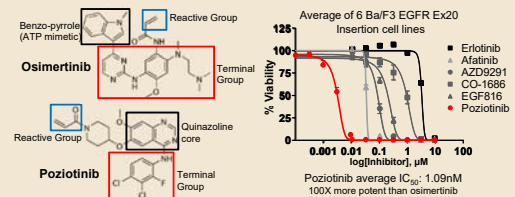
JV Heymach, WCLC, 2018.

## Ex20 Insertions have a Sterically Hindered Binding Pocket



Robichaux et al, Nature Medicine, 2018

## Steric Hindrance of Ex20 Insertions can be Overcome by Pozitotinib



Robichaux et al, Nature Medicine, 2018

## Patient characteristics from the Phase II trial of Poziotinib

Characteristic	EGFR cohort		HER2 cohort	
	Total (n=60)	Total (n=13)	Total (n=60)	Total (n=13)
Female/Male n(%)	30 (50%) / 20 (40%)	11 (85%) / 2 (15%)	30 (50%) / 20 (40%)	11 (85%) / 2 (15%)
Median age (range)	62 (26-77)	60 (54-64)	62 (26-77)	60 (54-64)
Brain metastases	14 (23%)	4 (31%)	14 (23%)	4 (31%)
<b>Mutation type</b>				
Exon 20 insertion n (%)	46 (82%)	13 (100%)	46 (82%)	13 (100%)
Exon 20 point mutation	4 (8%)	0 (0%)	4 (8%)	0 (0%)
<b>Prior systemic therapy</b>				
Naive	3 (8%)	2 (15%)	3 (8%)	2 (15%)
1 prior	13 (26%)	6 (46%)	13 (26%)	6 (46%)
2 prior	17 (34%)	2 (15%)	17 (34%)	2 (15%)
3 prior	11 (22%)	1 (8%)	11 (22%)	1 (8%)
≥4 prior	6 (12%)	2 (15%)	6 (12%)	2 (15%)
Prior platinum n (%)	43 (86%)	10 (77%)	43 (86%)	10 (77%)
Prior TKI n (%)	17 (34%)	2 (15%)	17 (34%)	2 (15%)
Prior PD1/PDL1 inhibitor n (%)	27 (54%)	8 (62%)	27 (54%)	8 (62%)

JV Heymach, WCLC, 2018

## Safety Summary from the Phase II trial of Poziotinib

(N=63)	
All Cause AE N(%)	N (%)
Grade 3-4	50 (79%)
Grade 5	12 (19%)
<b>Treatment related AEs N (%)</b>	
Grade 3-4	35 (56%)
Grade 5*	1 (1.5%)
AE leading to treatment dose reduction N (%)	38 (60%)
AE leading to treatment discontinuation N (%)	2 (3%)

Alatitib (Lus-Lung 3): 52% dose reduction, 8% discontinuation  
 Dacomitinib (Archer1050): 67% dose reduction, 10% discontinuation

\* 59 YOF with 3 prior lines of treatment presented with dyspnea and PD, dxs included lymphangitic spread, infection, vs pneumonitis. It was refractory to steroids and antibiotics. Outside treating physician attributed it as "possibly related" to drug vs PD. Sequist et al, JCO 2013; Wu et al, Lancet Oncol 2017

JV Heymach, WCLC, 2018

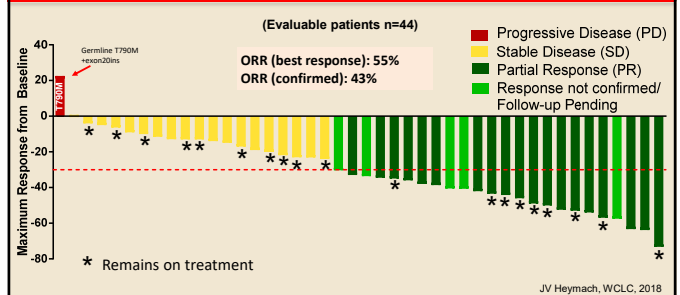
## Patient characteristics from the Phase II trial of Poziotinib

### Treatment related AEs in >10% of patients (N=63)

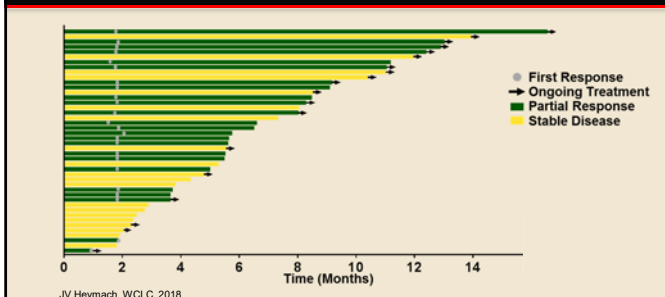
AE	All Grade N (%)	Grade 3-4 N(%)	Grade 5 N(%)
Diarrhea	44 (69.8%)	11 (17.5%)	-
Oral mucositis	44 (69.8%)	1 (1.6%)	-
Paronychia	38 (60.3%)	6 (9.5%)	-
Dry skin	37 (58.7%)	-	-
Skin rash	35 (55.6%)	22 (34.9%)	-
Alopecia	22 (34.9%)	-	-
Anorexia	19 (30.2%)	-	-
Nausea	15 (23.8%)	5 (7.9%)	-
Vomiting	13 (20.6%)	3 (4.8%)	-
Pruritus	9 (14.3%)	-	-
Weight loss	8 (12.7%)	3 (4.8%)	-
Weight loss	8 (12.7%)	3 (4.8%)	-
Fatigue	7 (11.1%)	3 (4.8%)	-
Hypokalemia	5 (7.9%)	2 (3.2%)	-

JV Heymach, WCLC, 2018

## Poziotinib efficacy in EGFR Exon 20 mutant NSCLC



## Duration of treatment on the Phase II trial of Poziotinib



## Take Home Points...

- Even after 15 years this is a continuously changing space diagnostically & therapeutically
- Resistance is still the biggest problem, but sequential testing & therapies make this more manageable
- EGFR TKI-based combinations may be a better option in some cases, but can have unexpected side effects.
- New treatment options are emerging for mutational types not sensitive to prior TKIs



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**Cancer Center**  
Making Cancer History™

## Thank you

Don L. Gibbons, MD, PhD  
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MD Anderson Cancer Center, Houston, TX

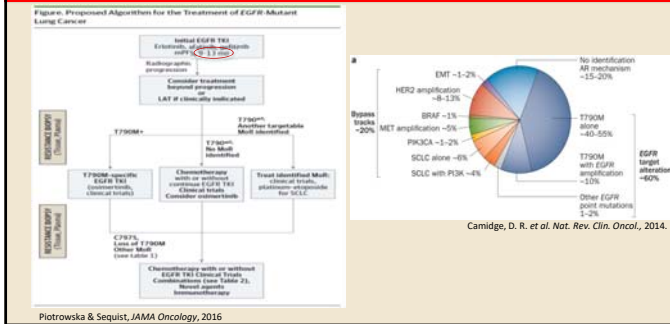


**Emerging Strategies and Challenges Due to Secondary or Acquired  
EGFR-TKI Resistance**

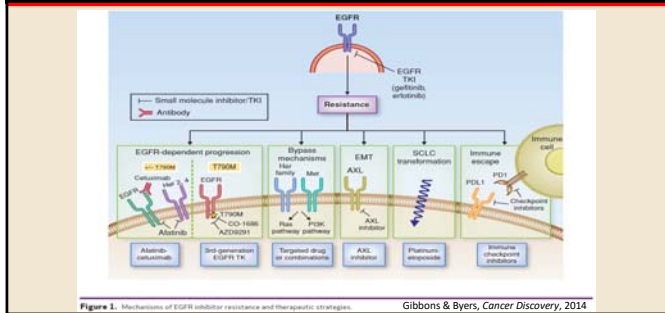
**Don L. Gibbons, MD, PhD**



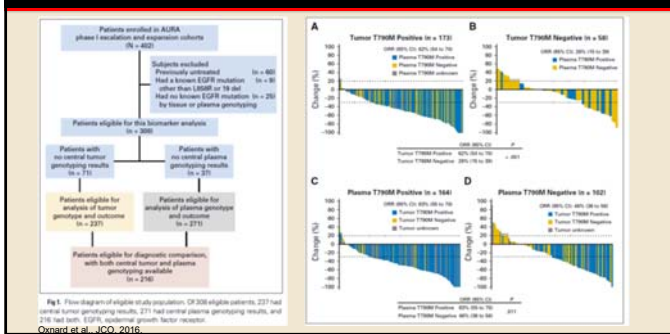
## Sequential Treatment Strategy for EGFR Mutant NSCLC



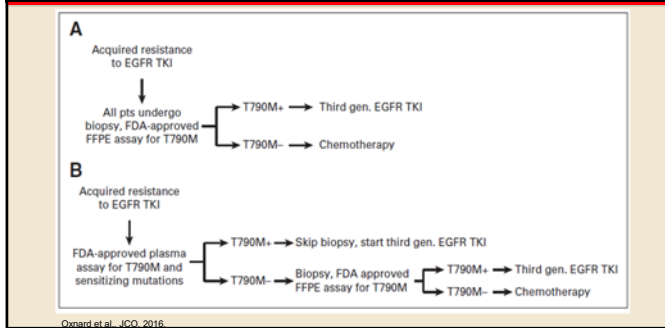
## Mechanisms of Resistance to EGFR Tyrosine Kinase Inhibitors



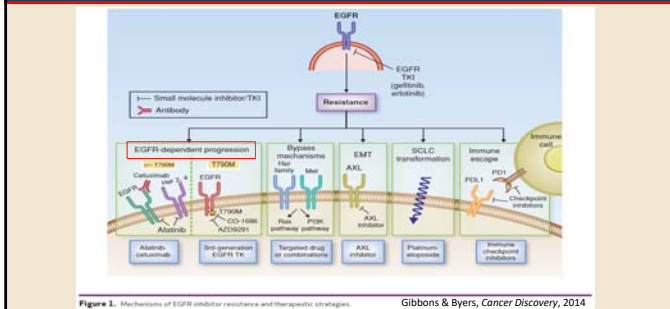
## cfDNA vs. Tumor Mutation Analyses from AURA trial



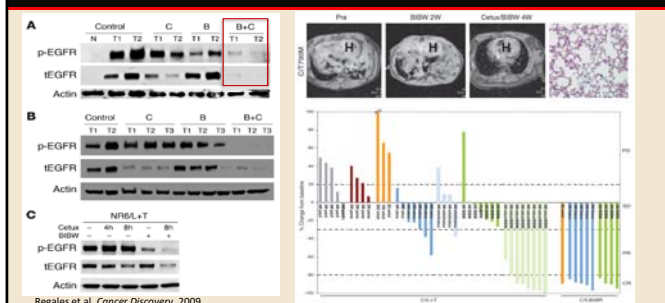
## Proposed Testing Schema Based on cfDNA Analyses from AURA Trial



## Mechanisms of Resistance to EGFR Tyrosine Kinase Inhibitors

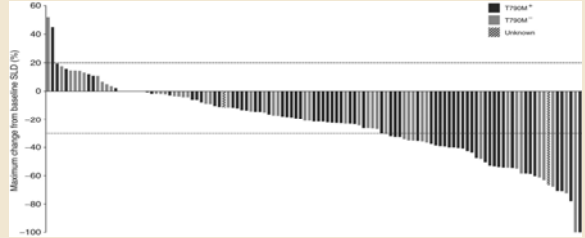


## Efficacy of Afatinib (BIBW2992) and Cetuximab in Pre-Clinical Models



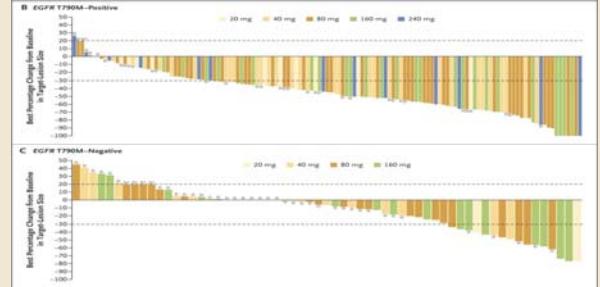
## Clinical Efficacy: Combination Treatment After Resistance to 1<sup>st</sup> Generation TKI

Waterfall plot showing maximum percentage change from baseline in size of tumors in patients who received the concurrent regimen of afatinib and cetuximab.



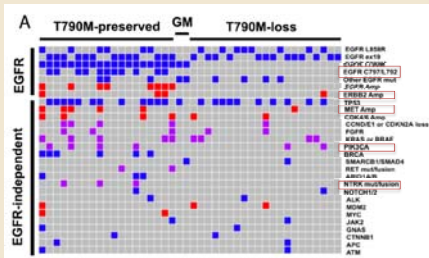
Janjigian et al., *Cancer Discovery*, 2014.

## Osimertinib (AZD9291) Treatment after Resistance to 1<sup>st</sup> Generation TKI Therapy



Jänne PA et al., *N Engl J Med*, 2015.

## Mechanisms of 2<sup>nd</sup>-line Osimertinib Treatment Resistance



Le et al., *CCR*, 2018

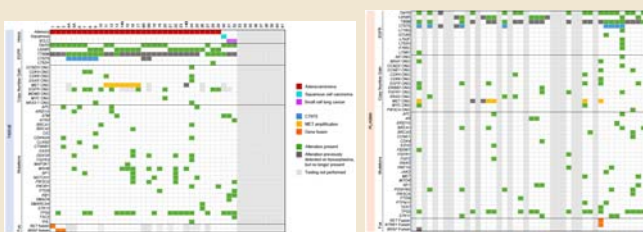
## Mechanisms of Early Treatment Resistance to 2<sup>nd</sup>-line Osimertinib, with T790M Loss



New targetable gene fusions identified

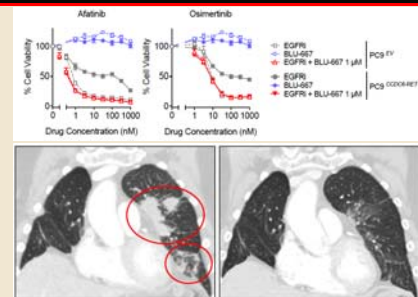
Opard et al., *JAMA Oncology*, 2018

## Mechanisms of 2<sup>nd</sup>-line Osimertinib Treatment Resistance: Tissue vs. Plasma Findings



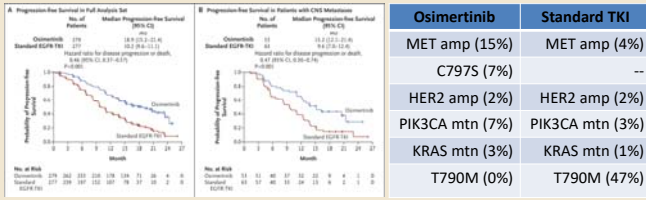
Piotrowska et al., *Cancer Discovery*, 2018

## Combination Osimertinib and BLU-667 Treatment Overcomes Resistance Due to RET Fusion



Piotrowska et al., *Cancer Disc*, 2018

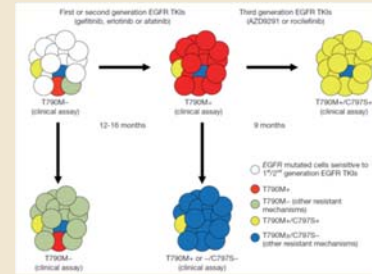
## Resistance to Front-line Use of Osimertinib in Phase III FLAURA Trial: Paired plasma analyses



Soria et al., *N Engl J Med*, 2018.

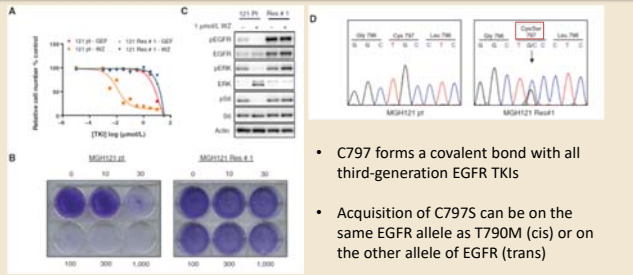
Ramalingam, *ESMO*, 2018.

## Mechanisms of Resistance to Third Generation EGFR Inhibitors



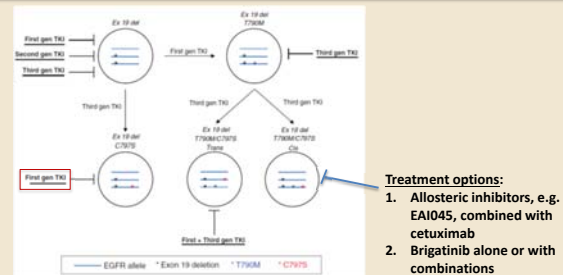
Costa et al., *Trans. Lung Cancer Res.*, 2015.

## Acquired Resistance in T790M Tumor Due to Secondary C797S Mutation



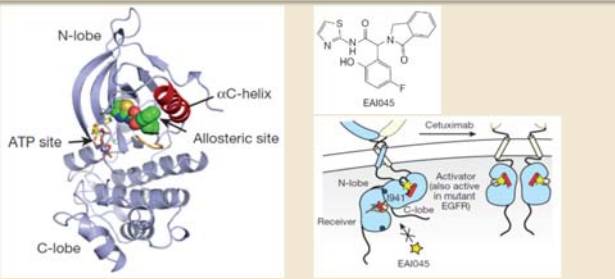
Niederst et al., *CCR*, 2015.

## Sensitivity of C797S Mutations to Other TKIs Depends on T790M Context



Niederst et al., *CCR*, 2015.

## Unique Mechanism of Allosteric Inhibitor Against T790M/C797S Mutant EGFR



Jia et al., *Nature*, 2016.

## Mechanisms of Resistance to EGFR Tyrosine Kinase Inhibitors

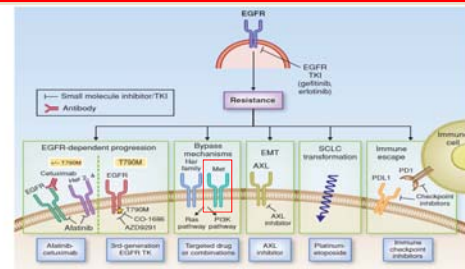


Figure 1. Mechanisms of EGFR inhibitor resistance and therapeutic strategies.

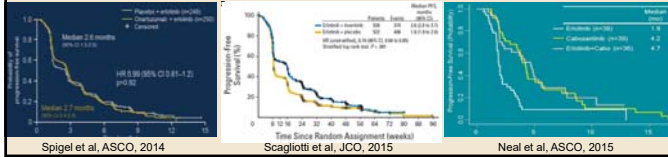
Gibbons & Byers, *Cancer Discovery*, 2014



## Targeting MET Bypass as a Mechanism of EGFR inhibitor resistance

### Co-targeting EGFR & MET has been disappointing

- Erlotinib +/- METmap
- Erlotinib +/- tivantinib
- Cabozantinib +/- erlotinib



## Ongoing Trials to Target Bypass Pathways

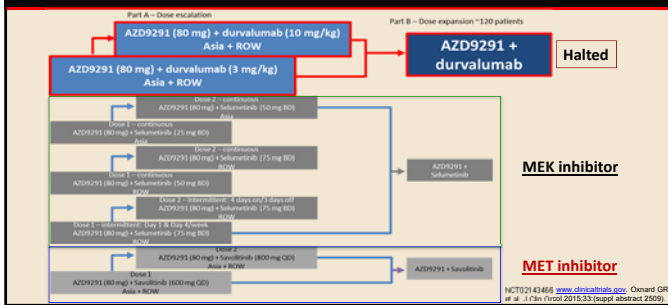
- 9 combination trials of MET & EGFR kinase inhibitors underway
- Most in EGFR-mutant disease with acquired resistance

	Geftinib	Erlotinib	Dacomitinib	Osimertinib	EGF816
Crizotinib		Phase 1 NCT00986731	Phase 1 NCT01121675		
Cabozantinib		Phase 2 NCT01866410			
Savolitinib	Phase 1b NCT02374645			Phase 1b/2 NCT02143466	
INC280	Phase 1b/2 NCT01610336	Phase 1b/2R NCT0268661			Phase 1b/2 NCT02335944
MSC2156119J	Phase 1b/2R NCT01982955				

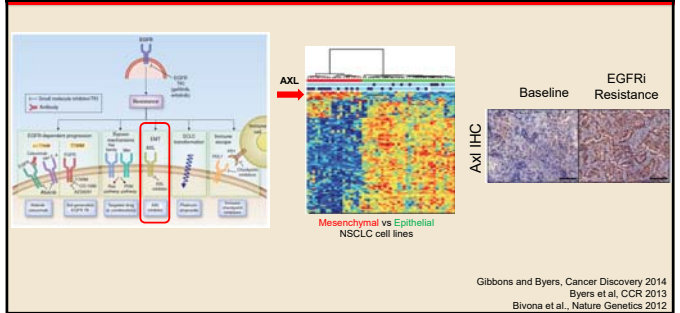
2R = randomized phase II

Clinicaltrials.gov

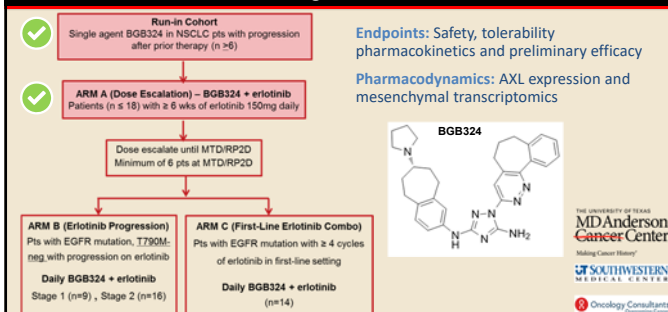
## TATTON: Multi-arm Phase IB Trial in Patients with Acquired Resistance to EGFR TKI



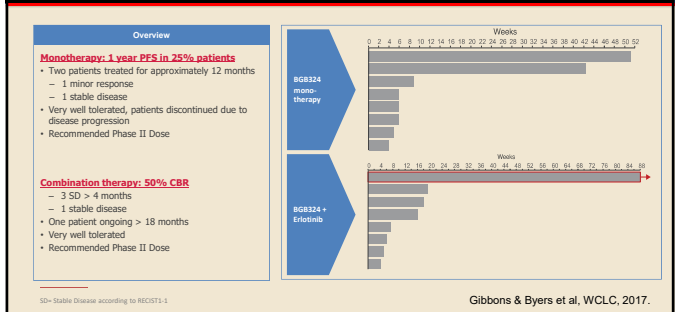
## Mechanisms of Resistance to EGFR Tyrosine Kinase Inhibitors



## A Phase I/II Study of BGB324 in Combination with Erlotinib in Stage IIIb/IV NSCLC



## Phase Ib: BGB324 monotherapy & erlotinib combination benefit



## Mechanisms of Resistance to EGFR Tyrosine Kinase Inhibitors

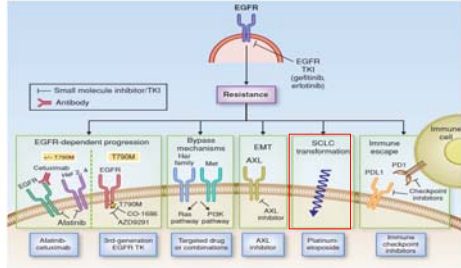
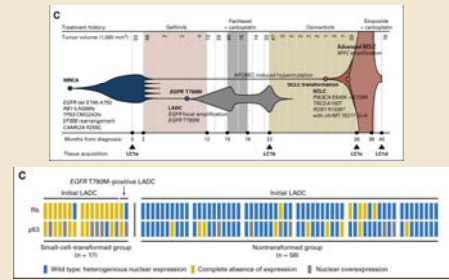


Figure 1. Mechanisms of EGFR inhibitor resistance and therapeutic strategies. Gibbons & Byers, *Cancer Discovery*, 2014

## Rb and p53 Inactivation Predispose EGFR Mutant NSCLC to Small Cell Transformation



Lee et al. *JCO*, 2017.

## Take Home Points...

- With 2<sup>nd</sup> and 3<sup>rd</sup> generation EGFR TKIs, patterns of resistance are evolving & new targetable alterations have been identified
- Serial monitoring/mutation testing is SOC to determine the basis for resistance in each patient
- Testing increasingly incorporates blood-based testing
- Additional new combination and sequential strategies to combat resistance mechanisms are in clinical trials




THE UNIVERSITY OF TEXAS  
**MD Anderson**  
**Cancer Center**  
Making Cancer History™

Thank you

Don L. Gibbons, MD, PhD  
Director, Translational Genetic Models  
Laboratory, Department of Thoracic/Head &  
Neck Medical Oncology, Department of  
Molecular and Cellular Oncology, MD  
Anderson Cancer Center, Houston, TX

**Immunotherapy with PD-1/PD-L1 (Nivolumab, Pembrolizumab, Durvalumab) and Anti CTLA-4 (Ipilimumab) Antibodies in NSCLC**

**John V. Heymach, MD**



**Immunotherapy with PD-1/PD-L1 (Nivolumab, Pembrolizumab, Durvalumab) and Anti-CTLA-4 (Ipilimumab) Antibodies in NSCLC**

**John Heymach, MD, PhD**  
 Chair, Dept. of Thoracic/Head and Neck Medical Oncology  
**David Bruton, Jr. Chair in Cancer Research**  
 MD Anderson Cancer Center  
 Houston, TX

Changing Treatment Paradigms with Immunotherapy and Targeted Therapy in Advanced Non-Small-Cell Lung Cancer and Head & Neck Cancer  
 Houston  
 Oct 27, 2018

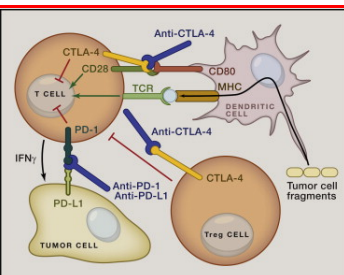
### Conflict of Interest Disclosure

**Advisory Committees** – AstraZeneca, Boehringer Ingelheim, Exelixis, Genentech, GSK, Guardant Health, Hengrui, Lilly, Novartis, Spectrum, EMD Serono, and Synta

**Research Support** – AstraZeneca, Bayer, GlaxoSmithKline, Spectrum

**Royalties and Licensing fees** – Spectrum

### PD-1 and CTLA-4 Immune Checkpoints




*Miller and Sadelain, Cancer Cell, 2015*

### PD-1 and CTLA-4 combination blockade expands infiltrating T cells and reduces regulatory T and myeloid cells within B16 melanoma tumors

Michael A. Curran<sup>1</sup>, Wilby Montalvo<sup>1</sup>, Hideo Yagita<sup>2</sup>, and James P. Allison<sup>1\*</sup>

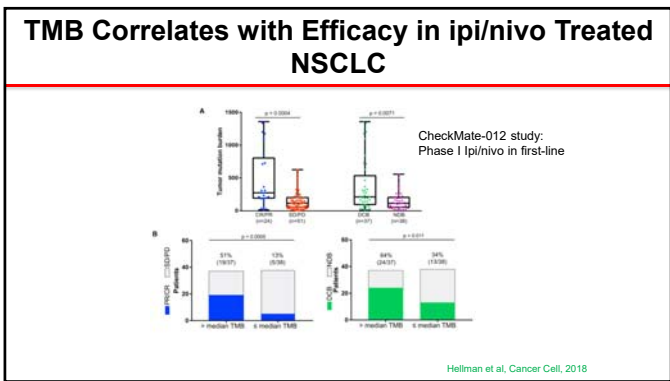
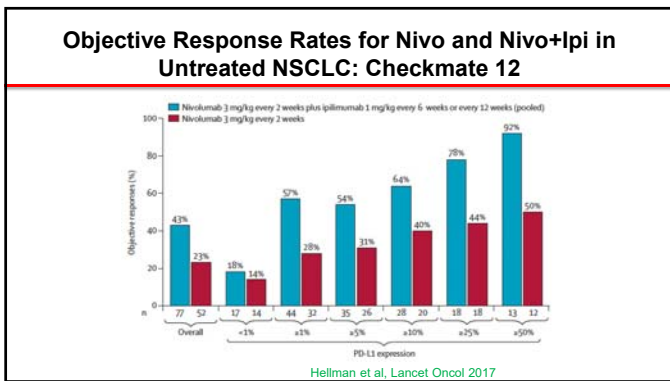
\*Howard Hughes Medical Institute, Department of Immunology, Memorial Sloan-Kettering Cancer Center, New York, NY 10021, and <sup>2</sup>Department of Immunology, Saitama University School of Medicine, 2-1-1 Honjo, Saitama No. 1, Japan

Contributed by James P. Allison, January 18, 2010 (first received December 13, 2009)

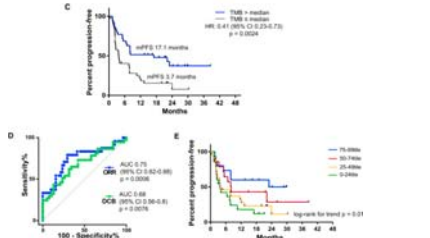


Jim Allison  
Nobel Laureate, 2018

- Blockade of CTLA-4 promoted rejection of B16 melanoma cells
- PD-1 interaction with PD-L1 or PD-L2 blunted T-cell proliferation and cytokine release
- The combination of PD-1 and CTLA-4 was more than twice as effective as either alone
  - Increased Teff infiltration, increased Teff to Treg ratio in tumor and Teff to MDSC ratios
  - Enhanced IFN-gamma/TNF-alpha TcEks
  - Shifted tumor from suppressive to inflammatory

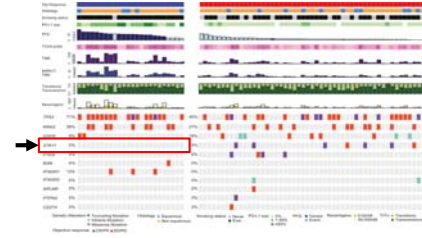


## TMB Correlates with Efficacy in Ipi/Nivo Treated NSCLC



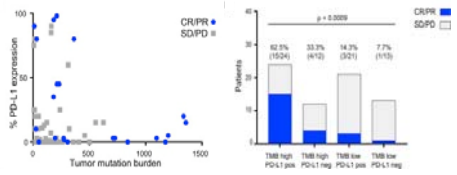
Hellman et al, Cancer Cell, 2018

## Clinical and Molecular Features Associated with Response to Ipi/Nivo



Hellman et al, Cancer Cell, 2018

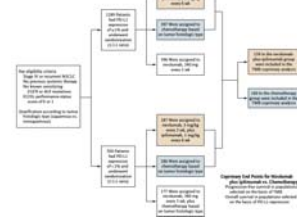
## PD-L1 and TMB do not correlate, but TMB-high, PD-L1pos patients do better



Hellman et al, Cancer Cell, 2018

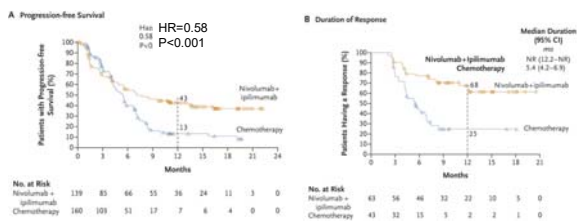
## Nivolumab plus Ipilimumab in Lung Cancer with a High Tumor Mutational Burden

M.D. Hellman, T.-E. Ciuleanu, A. Plazanski, J.S. Lee, C.A. Otterson, C. Audigier-Valette, E. Minenza, H. Usaridou, S. Burgers, P. Salman, H. Borghani, S.S. Ramalingam, J. Brahmer, M. Reck, K.J. O'Byrne, W.J. Geese, G. Green, H. Chang, J. Szustakowski, P. Bhagavatheswaran, D. Healey, Y. Fu, F. Nathan, and L. Paz-Ares



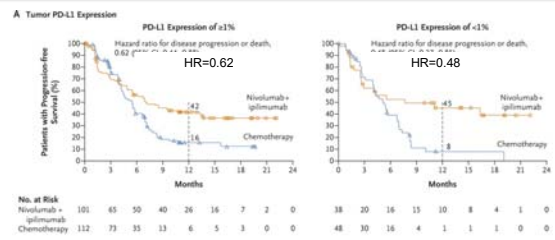
Hellman et al, NEJM 2018

## Ipi/nivo Prolongs PFS Compared with Chemo in TMB-high NSCLC



Hellman et al, NEJM, 2018

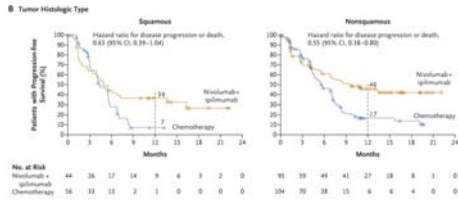
## Ipi/nivo Prolongs PFS Compared with Chemo in TMB-high NSCLC, Regardless of PD-L1 Level



Hellman et al, NEJM, 2018



## Ipi/nivo Prolongs PFS Compared with Chemo in TMB-high NSCLC, Regardless of Histology



Bottom Line: Early results indicate TMB-high NSCLC patients do better on ipi/nivo versus chemo

Hellman et al. NEJM, 2018

## Checkmate 227: Treatment-related AE in >10%

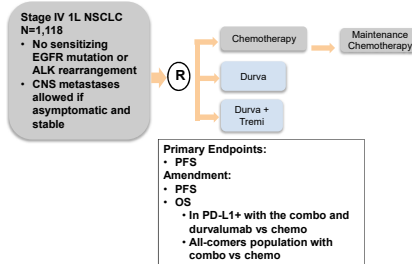
Table 1. Treatment-Related Adverse Events Reported in at Least 10% of Patients Treated with Nivolumab plus Ipilimumab, Nivolumab, or Chemotherapy\*

Event	Nivolumab plus Ipilimumab (N=292)		Nivolumab (N=302)		Chemotherapy (N=128)	
	Any Grade	Grade 3 or 4	Any Grade	Grade 3 or 4	Any Grade	Grade 3 or 4
Any event	433 (75.2)	289 (51.2)	251 (84.2)	74 (24.5)	440 (86.7)	206 (76.1)
Any event leading to discontinuation	106 (17.4)	68 (12.0)	45 (15.1)	27 (8.9)	11 (8.6)	28 (9.3)
Rash	96 (16.7)	9 (3.1)	41 (13.6)	3 (0.9)	29 (5.3)	0
Diarrhea	94 (16.5)	9 (3.1)	44 (14.6)	3 (0.9)	55 (9.4)	4 (0.7)
Fatigue	81 (14.2)	8 (2.8)	36 (12.0)	0	5 (0.8)	0
Decreased appetite	76 (13.2)	8 (2.8)	43 (14.3)	2 (0.7)	105 (18.4)	8 (1.4)
Hyperhidrosis	67 (11.6)	2 (0.7)	25 (8.4)	1 (0.3)	0	0
Edema	58 (10.1)	6 (2.1)	29 (9.6)	1 (0.3)	12 (1.6)	9 (3.1)
Nausea	56 (9.7)	3 (1.0)	22 (7.4)	1 (0.3)	203 (34.0)	12 (2.3)
Constipation	37 (6.5)	2 (0.7)	10 (3.4)	1 (0.3)	76 (13.3)	13 (2.3)
Arthralgia	33 (5.8)	0	4 (1.3)	0	86 (15.3)	2 (0.3)
Headlight count decreased	22 (3.8)	0	11 (3.7)	0	181 (32.3)	64 (11.2)
Neutrophil count decreased	4 (0.7)	0	0	0	64 (11.2)	36 (6.3)
Neutropenia	1 (0.2)	0	1 (0.3)	0	87 (12.0)	14 (2.3)

Hellman et al. NEJM, 2018

## Other Combinations Targeting the PD-1 + CTLA-4 Pathways

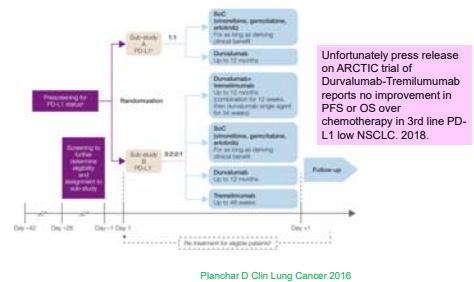
## MYSTIC: RP3 of Chemo vs Durva vs Durva/tremi



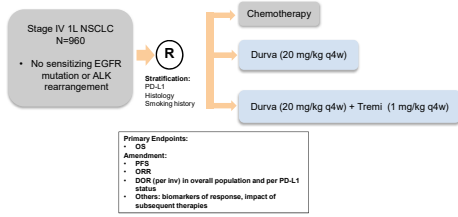
## Mystic: Durva/Tremi Fails to Prolong PFS in the >25% PD-L1 Group vs Chemo

- July 27, 2017 press release
- Durvalumab plus tremelimumab combination did not meet a primary endpoint of PFS vs chemotherapy
- Secondary endpoint: Durva monotherapy would not have met a pre-specified threshold of PFS benefit vs chemo
- The MYSTIC trial continues as planned to assess the additional primary endpoints of OS for durvalumab durvalumab plus tremelimumab arms

## ARCTIC: A Phase III Study of Durvalumab with or without Tremelimumab for Previously Treated NSCLC



## NEPTUNE: RP3 (open-label) of Durva/tremi vs Chemotherapy



## Incorporating PD-1 + CTLA-4 Blockade into Multidisciplinary Care

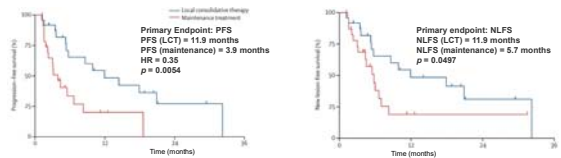
## LONESTAR Protocol

Randomized Phase II Trial of **Local Consolidation Therapy After Nivolumab and Ipilimumab** for immunotherapy-naïve Patients with **Metastatic Non-small Cell Lung Cancer**

PIs: J. Heymach, S. Swisher and D. Gomez  
PDOL: 2017-0311

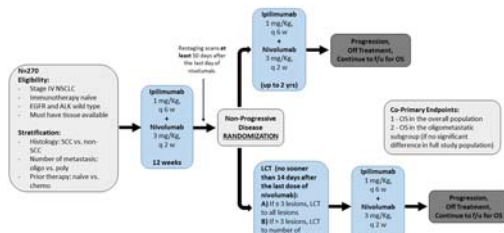
## Local Consolidative Therapy (LCT) in Patients with Oligometastatic NSCLC

Local consolidative therapy (LCT) increased the time for tumors to progress and delayed emergence of new metastatic sites



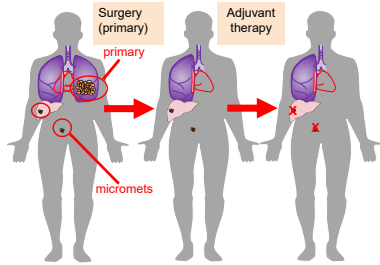
Gomez et al, Lancet Oncology, 2016

## LONESTAR: Trial Design

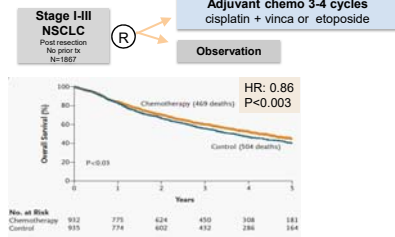


## Can PD-1 + CTLA-4 Blockade Increase Cures in Early Stage NSCLC?

## The Primary Goal of Adjuvant Treatment is to Eliminate Micrometastatic Disease

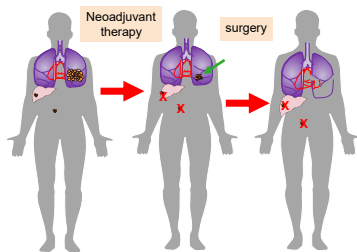


## Adjuvant Chemo Prolongs OS and Reduces Likelihood of Recurrence at 5y by ~5%: The IALT Study

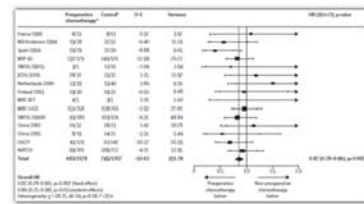


The International Lung Cancer Trial Collaborative (IALT) Group, N Engl J Med, 2004

## Neoadjuvant Treatment can "downstage" the Primary Tumor, Potentially Making Surgery Less Morbid, and Enables Analysis of Treated Tumor



## How well does neoadjuvant (induction) chemo work? About the same as adjuvant Meta-analysis - Efficacy



For stage IB-IIIa:

Neoadjuvant Chemo :  
HR 0.87 (0.78-0.96)

Adjuv Chemo :  
HR 0.89 (0.82-0.96)

Burdett et al., Lancet, 383:1561, 2014; Pignon et al., JCO, 26:3552, 2008

## Why might PD-1i Impact metastases? Role of PD-L1 in Facilitating Metastatic Spread



### ARTICLE

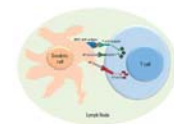
Received 13 Jul 2014 | Accepted 11 Sep 2014 | Published online xxx, 2014

DOI: 10.1038/ncomms2638

Metastasis is regulated via microRNA-200/ZEB1 axis control of tumour cell PD-L1 expression and intratumoral immunosuppression

Limo Chen<sup>1,2</sup>, Don L. Gibbons<sup>1,3</sup>, Sargata Goswami<sup>1</sup>, Maria Angelica Cortez<sup>1</sup>, Yong-Ho Ahn<sup>1</sup>, Lauren A. Byers<sup>1</sup>, Xuejun Zhang<sup>1</sup>, Xiaohui Yi<sup>2</sup>, David Dwyer<sup>4</sup>, Wei Liu<sup>1</sup>, Lina Diao<sup>1</sup>, Jing Wang<sup>1</sup>, Jonathan Roybal<sup>1</sup>, Mayuri Patel<sup>1</sup>, Christin Ungrewits<sup>1</sup>, David Peng<sup>1</sup>, Scott Antonia<sup>5</sup>, Melanie Madigan-Vasata<sup>1</sup>, Gordon Robertson<sup>1</sup>, Milind Surackar<sup>1,6</sup>, James W. Welsh<sup>6</sup>, Baruch Erez<sup>1</sup>, Ignacio I. Wistuba<sup>1</sup>, Ming-Chang Chen<sup>1,7</sup>, Di Peng<sup>1</sup>, Shaohua Wang<sup>1</sup>, Stephen E. Ulrich<sup>7</sup>, John V. Heymach<sup>1</sup>, Jonathan M. Kurie<sup>1</sup> & F. Xiao-Feng Qin<sup>1,2</sup>

## Why neoadjuvant instead of adjuvant? Take it from Henry V at Agincourt: it is easier to inspire the troops when the enemy is in sight.



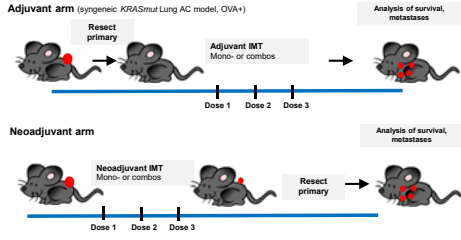
-Antitumor immune response to IMT depends at least in part on presence of tumor antigens

-Tumor and antigen burden highest pre-operatively

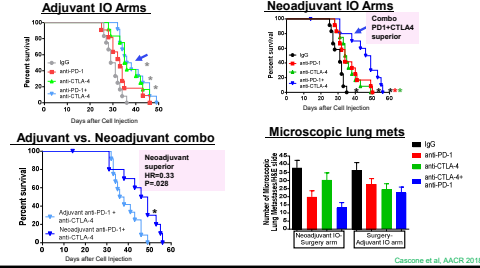
Photo: <http://kenel.com>

© 2013 Universal Studios

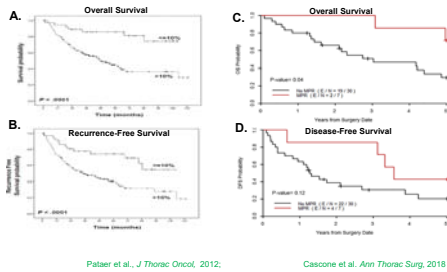
## Adjuvant Vs Neoadjuvant Immunotherapy in Murine Models of Lung Adenocarcinoma



## Neoadjuvant PD1/CTLA4 Blockade Prolongs Survival and Reduces Mets Compared with Adjuvant Combination Treatment or Monotherapy



## Is Pathological Response a Suitable Surrogate for Survival After Neoadjuvant Tx?



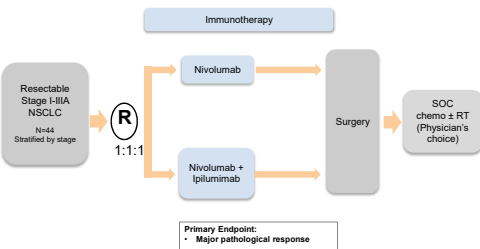
## NEOSTAR: NEOADJUVANT NIVOLUMAB (N) OR NIVOLUMAB PLUS IPILIMUMAB (NI) FOR RESECTABLE NON-SMALL CELL LUNG CANCER (NSCLC)

T. Cascone<sup>1</sup>, W.N. William Jr.<sup>1</sup>, A. Weissferdt<sup>2</sup>, C.H. Leung<sup>3</sup>, L. Federico<sup>4</sup>, C. Haymaker<sup>1</sup>, C. Bernatchez<sup>1</sup>, F.V. Fossella<sup>1</sup>, F.E. Mott<sup>1</sup>, V.A. Papadimitrakopoulou<sup>1</sup>, L.A. Byers<sup>1</sup>, V.K. Lam<sup>1</sup>, M.C. Godoy<sup>5</sup>, B. Carter<sup>5</sup>, J.J. Lee<sup>2</sup>, A. Vaporciyan<sup>6</sup>, D.L. Gibbons<sup>1</sup>, S.G. Swisher<sup>6</sup>, J.V. Heymach<sup>1</sup>, B. Sepesi<sup>6</sup> and the NEOSTAR investigators

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## Randomized Phase II NEOSTAR: Neoadjuvant Nivolumab or Nivolumab plus Ipilimumab for Resectable NSCLC (MDACC)



## Major Pathologic Response ≤10% Viable Cells

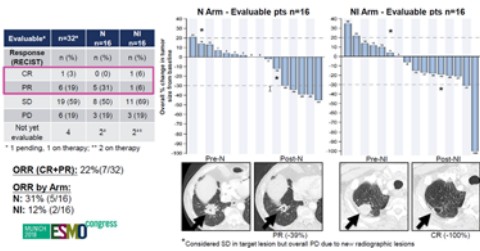
Evaluable (Resected)	n=26	N n=14	NI n=12	
MPR + pCR	8 (31%)	4 (29%)	4 (33%)	
0% viable tumor cells (pCR)	5 (19%)	2 (14%)	3 (25%)	
1-10% viable tumor cells	3 (11%)	2 (14%)	1 (8%)	
*5 no surgery (2 N, 3 NI)				
Evaluable (Resected)	N n=14	NI n=12		P-value
Median % viable tumor cells (IQR)	65 (0, 95)	27.5 (0, 100)		0.364
*2 no surgery; 1 awaiting surgery; 1 on therapy				
**3 no surgery; 1 awaiting surgery; 2 on therapy				

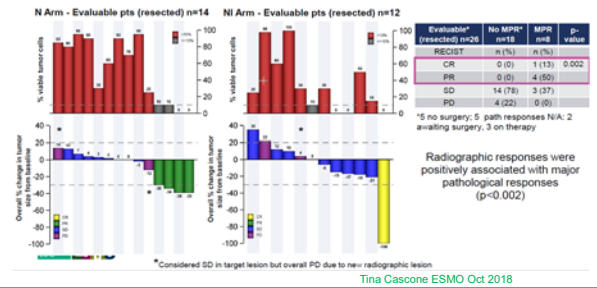
Overall <sup>†</sup> Resected + unresectable	n=31	N n=15	NI n=15
MPR + pCR	8 (26%)	4 (27%)	4 (27%)
0% viable tumor cells (pCR)	5 (16%)	2 (13%)	3 (20%)
1-10% viable tumor cells	3 (10%)	2 (13%)	1 (7%)
Path response pending	5**	2	3
**5 pending (2 N, 3 NI)			

MPR calculated as described in Pataer A. et al. J Thorac Oncol 2012  
Tina Cascone ESMO Oct 2018

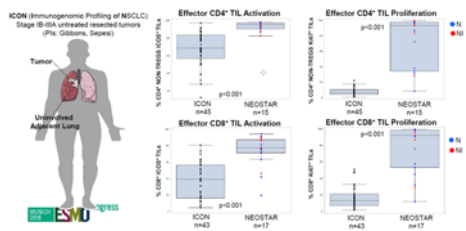
## Radiographic Responses



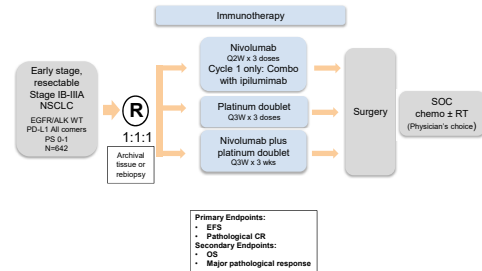
## Radiographic Responses and Association with MPR



## Neoadjuvant N and NI increase proliferative and activated effector TILs vs. untreated lung tumors (ICON set)



## Randomized phase III Checkmate 816: Neoadjuvant Nivo/ipi or Nivo Plus Chemotherapy vs Chemotherapy in Early Stage NSCLC



## The Bottom Line

- Preclinical data suggests PD-1 + CTLA-4 blockade is superior to either alone
- Clinical trials indicate:
  - Ipi/Nivo has higher response rates than Nivo alone and higher but manageable toxicities than Nivo alone
  - Ipi/Nivo improves PFS compared to chemo in 1L high-TMB NSCLC (Checkmate 227)
  - Awaiting results of Durva/Tremi phase III studies although initial results negative
- Our group and others are exploring the use of combination immunotherapy in combination with RT and in neoadjuvant setting in an effort to increase cures.



## Immunotherapy with PD-1/PD-L1 (Nivolumab, Pembrolizumab, Durvalumab) and Anti-CTLA-4 (Ipilimumab) Antibodies in NSCLC

John Heymach, MD, PhD  
Chair, Dept. of Thoracic/Head and Neck Medical Oncology  
David Bruton, Jr. Chair in Cancer Research  
MD Anderson Cancer Center  
Houston, TX

Thank you

# **Immunotherapy with Anti-PD-L1 (Pembrolizumab) and Combination Therapy Approaches in NSCLC**

**Jianjun Zhang, MD, PhD**





# Immunotherapy with Anti-PDL1 and Combination Therapy Approaches in NSCLC

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Department of Genomic Medicine  
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October 27, 2018  
Houston

## Conflict of Interest Disclosure

Advisory Board: AstraZeneca

Consultant: Geneplus

Speakers' Bureau: Origimed, Geneplus, Innovent



## Outline

- Combination of anti-PD1/PDL1 and anti-CTLA4 in NSCLC
- Combination of anti-PD1/PDL1 and chemotherapy in NSCLC
- Combination of anti-PD1/PDL1 and chemotherapy in SCLC
- Combination of immune checkpoint blockade with X in NSCLC



## Why combination?

- Tackle the inter-tumor heterogeneity
- Tackle the intra-tumor heterogeneity
- Achieve deeper response: longer duration of response and less risk of resistance
- Produce synergistic effects

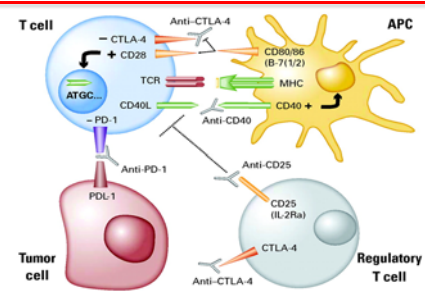


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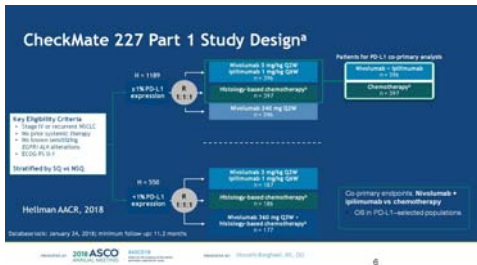


## Scientific Rationale: IO+IO



Lana F. Kandathil, JCO, 2011

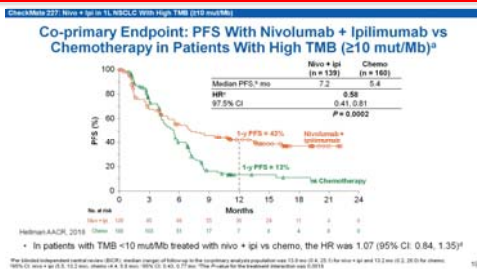
## Nivolumab (PD1) + Ipilimumab (CTLA4) in NSCLC



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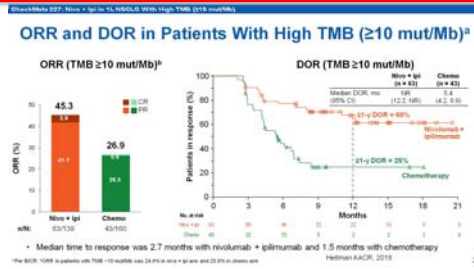


## Nivolumab + Ipilimumab vs chemotherapy in NSCLC patients with high TMB: PFS



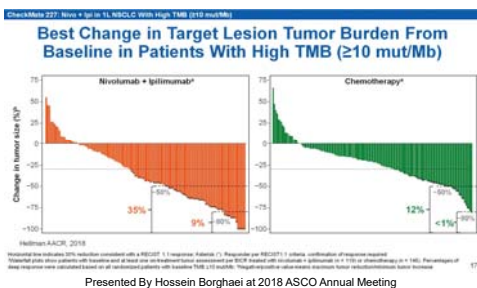
Presented By Hossein Borghaei at 2018 ASCO Annual Meeting

## Nivolumab + Ipilimumab vs chemotherapy in NSCLC patients with high TMB: ORR and DOR



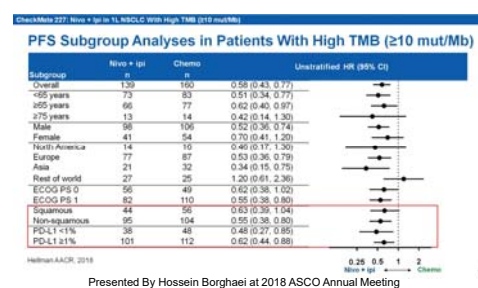
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## Nivolumab + Ipilimumab vs chemotherapy in NSCLC patients with high TMB: depth of response



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## Nivolumab + Ipilimumab vs chemotherapy in NSCLC patients with high TMB: independent of PDL1



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## Nivolumab + Ipilimumab vs chemotherapy in NSCLC patients with high TMB: adverse effects

TRAE, %	Nivolumab + Ipilimumab (n = 376)		Chemotherapy (n = 370)	
	Any grade	Grade 3-4	Any grade	Grade 3-4
Any TRAE	75	31	81	36
TRAE leading to discontinuation <sup>a</sup>	17	12	9	5
<b>Most frequent TRAEs (≥15%)</b>				
Rash	17	2	3	0
Diarrhea	16	2	10	1
Fatigue	13	1	18	1
Decreased appetite	13	<1	19	1
Nausea	10	<1	36	2
Constipation	4	0	15	<1
Anemia	4	2	32	11
Neutropenia	<1	0	17	9
Treatment-related deaths <sup>b</sup>	1		1	

Median duration (range) of therapy was 4.3 mo (0.03-24.0) with nivolumab + ipilimumab and 3.8 mo (0.03-22.1) with chemotherapy. Median number of doses of nivolumab (204) and ipilimumab (204) received were 9 and 3, respectively.

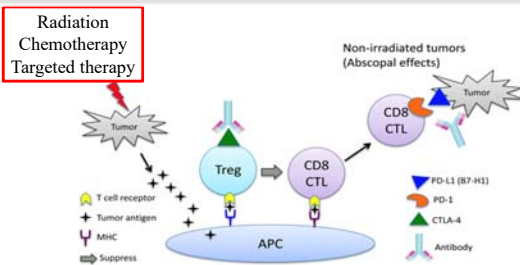
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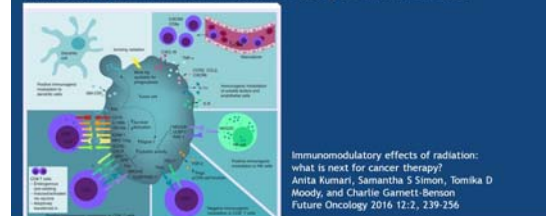
## Scientific Rationale: IO + chemotherapy, XRT or TKI



Aaron S. Mansfield, Aging, 2015

## Scientific Rationale: IO + chemotherapy, XRT or TKI

### Immunogenic modulation following focal radiation

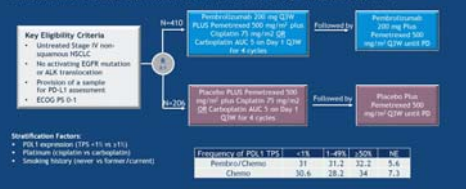


Immunomodulatory effects of radiation: what is next for cancer therapy?  
Anita Kumari, Samantha S Simon, Tomika D Meedy, and Charlie Gamwell-Senson  
Future Oncology 2016; 12:2, 239-256

Presented by 2018 ASCO Annual Meeting

## Pembrolizumab + chemotherapy for treatment of non-squamous NSCLC

### KEYNOTE 189: Randomized, Double-Blind, Phase III Study of Platinum+Pemetrexed Chemotherapy With or Without Pembrolizumab in First Line Metastatic Non-squamous Non-small Cell Lung Cancer Subjects



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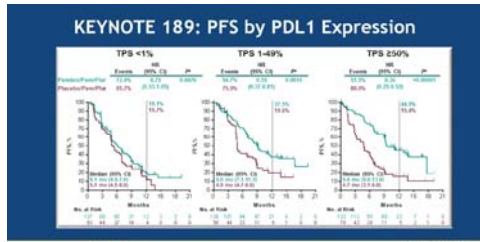
## Pembrolizumab + chemotherapy for treatment of non-squamous NSCLC

### KEYNOTE 189 Co-primary endpoints: mPFS and mOS



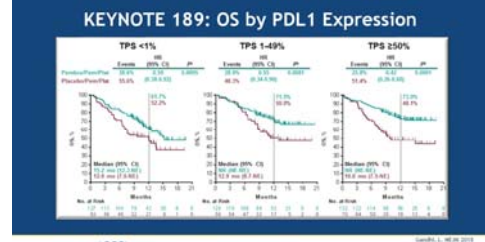
Presented By Melissa Johnson at 2018 ASCO Annual Meeting

### Pembrolizumab + chemotherapy for treatment of non-squamous NSCLC: PFS and PDL1 status



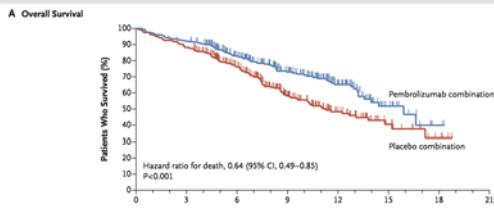
Presented By Melissa Johnson at 2018 ASCO Annual Meeting

### Pembrolizumab + chemotherapy for treatment of non-squamous NSCLC: OS and PDL1 status



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### Pembrolizumab + chemotherapy for treatment of squamous NSCLC (Keynote 407): OS

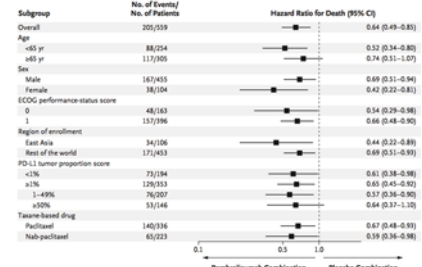


No. at Risk	0	3	6	9	12	15	18	21
Pembrolizumab combination	278	256	188	124	62	17	2	0
Placebo combination	281	246	175	93	45	16	4	0

Paz-Ares, NEJM, 2018

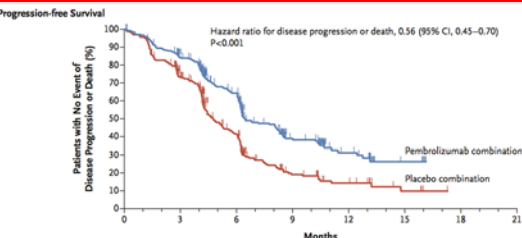
### Pembrolizumab + chemotherapy for treatment of squamous NSCLC (Keynote 407): OS

#### B Subgroup Analysis of Overall Survival



Paz-Ares, NEJM, 2018

### Pembrolizumab + chemotherapy for treatment of squamous NSCLC (Keynote 407): PFS

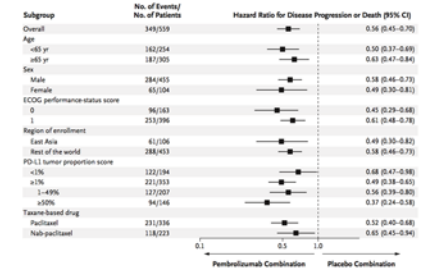


No. at Risk	0	3	6	9	12	15	18	21
Pembrolizumab combination	278	223	142	57	23	5	0	0
Placebo combination	281	190	90	26	12	4	0	0

Paz-Ares, NEJM, 2018

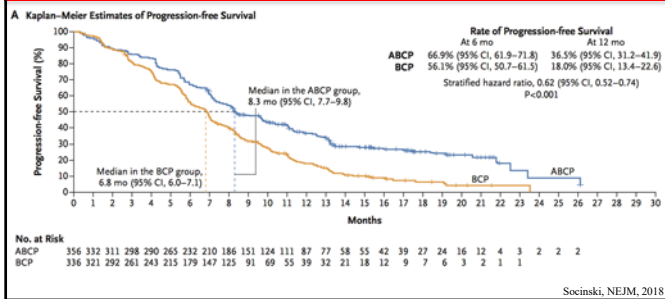
### Pembrolizumab + chemotherapy for treatment of squamous NSCLC (Keynote 407): PFS

#### B Subgroup Analysis of Progression-free Survival

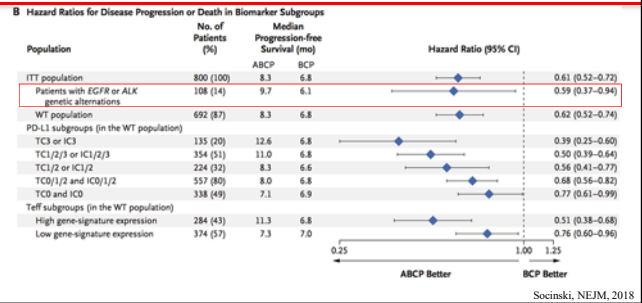


Paz-Ares, NEJM, 2018

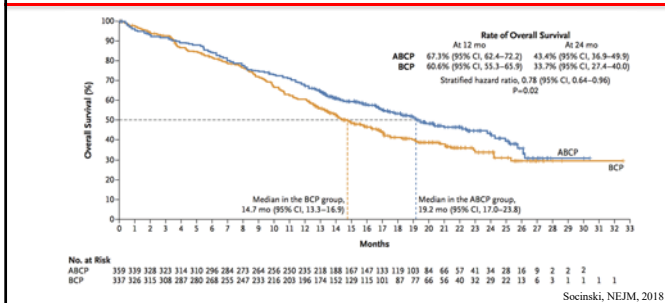
## Atezolizumab + chemotherapy + bevacizumab for treatment of non-squamous NSCLC: PFS



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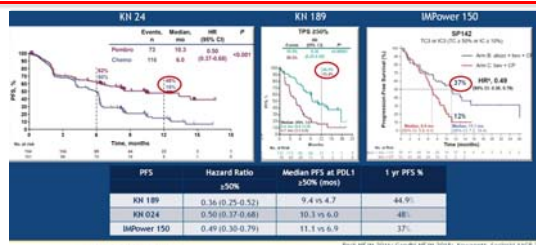
## Atezolizumab + chemotherapy + bevacizumab for treatment of non-squamous NSCLC: PFS



## IO + chemo versus IO single agent for NSCLC patients with PDL1 ≥ 50%: OS



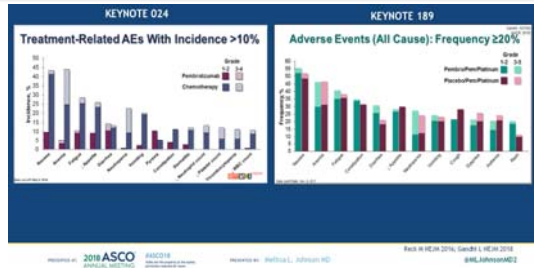
## IO + chemo versus IO single agent for NSCLC patients with PDL1 ≥ 50%: PFS



## IO + chemo versus IO single agent for NSCLC patients with PDL1 ≥ 50%: RR



## IO + chemo versus IO single agent for NSCLC patients with PDL1 ≥ 50%: toxicity

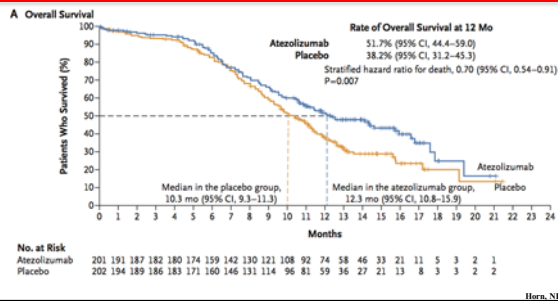


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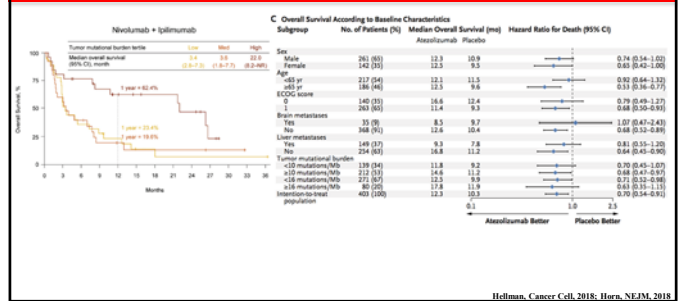
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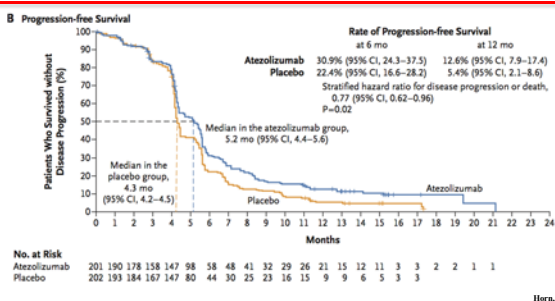
## Atezolizumab + chemotherapy for the treatment of SCLC (IMpower 133): OS



## Atezolizumab + chemotherapy for the treatment of SCLC (IMpower 133): OS



## Atezolizumab + chemotherapy for the treatment of SCLC (IMpower 133): PFS



## Outline

- Combination of anti-PD1/PDL1 and anti-CTLA4 in NSCLC
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- **Combination of immune checkpoint blockade with X in NSCLC**



# IO + radiation in Stage III NSCLC (PACIFIC)

- Patients with stage III, locally advanced, unresectable NSCLC who have not progressed following definitive platinum-based cCRT (52 cycles)
- 18 years or older
- WHO PS score 0 or 1
- Estimated life expectancy of ≥12 weeks
- Archived tissue was collected

**All-comers population**

1-42 days post-cCRT

**Randomization:** 2:1 randomization, stratified by age, race, and smoking history (N=1715)

- Arm 1 (Durva):** Durvalumab 10 mg/kg q4w for up to 12 months (N=572)
- Arm 2 (Pembro):** Pembrolizumab 10 mg/kg q4w for up to 12 months (N=343)

**Co-primary endpoints:** PFS by BQR using RECIST v1.1\*, OS

**Key secondary endpoints:** ORR (per BQR), CRF (per BQR), Safety and tolerability, PRDCs

**Stratified hazard ratio, 0.52 (95% CI, 0.42-0.65)** (Tensored: Post-OS)

	HR (PFS)	HR (OS)	Stage
Nivo for SCC	0.62	0.59	IV
Pembro for ADC	0.92	0.73	IV
Atzo	0.95	0.74	IV
Durva	0.52	0.68	III

# IO+ VEGF inhibitor: Ramucirumab + pembrolizumab

**Progression-free survival**

PD-L1 Status	Patients	Events	Median PFS, Mo (95% CI)
All Patients	27	8	NR (3.98, -)
Negative	10	2	NR
Weak positive	4	2	3.98 (2.76, -)
Strong positive	7	2	NR
Not reported	6	2	NR

**ITT Population NSCLC (n=27)**

- Objective response rate, n (%)
- Disease control rate, n (%)

Herbst et al, 2018 ESMO

# IO + X

**DURING THIS MEETING**

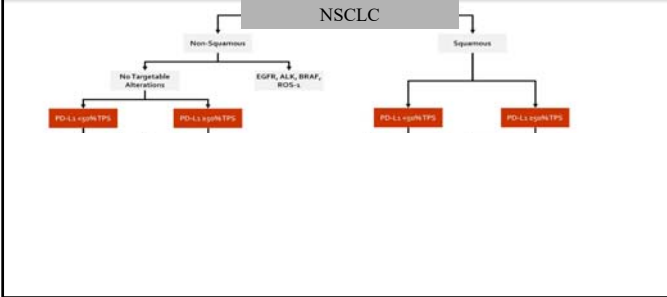
ARMO/Eli Lilly's IL10 + PD-1  
 ARMO/Eli Lilly's IL10 + FOLFOX  
 Novartis LAG-3 + PD-1  
 Idera TLR9 + Ipilimumab  
 Nektar IL-2 + Nivolumab  
 Jounce's ICOS + Nivolumab  
 Merck KGaA bifunctional TGFβ/PD-L1  
 NewLink's Indoximod + Gem+ Abraxane  
 AZ CD73 + durvalumab  
 Syndax HDAC + Pembrolizumab  
 Merck GTR + Pembrolizumab  
 Incyte/Merck IDO + Pembrolizumab

Presented By Solange Peters at 2018 ASCO Annual Meeting

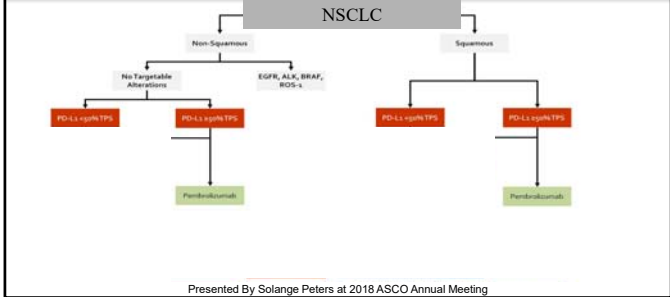
# Take home message

- Combination of nivolumab + ipilimumab is superior to chemotherapy for treating NSCLC patients with TMB>10 independent of PDL1 status.
- Immune checkpoint inhibitor + chemotherapy is superior to chemotherapy for treating NSCLC as well as SCLC patients overall with regards to response and survival.
- Single agent pembrolizumab is non-inferior to IO/chemotherapy combination in NSCLC patients with PDL1 ≥ 50%.

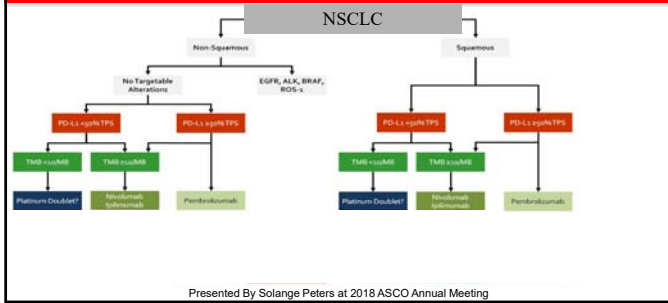
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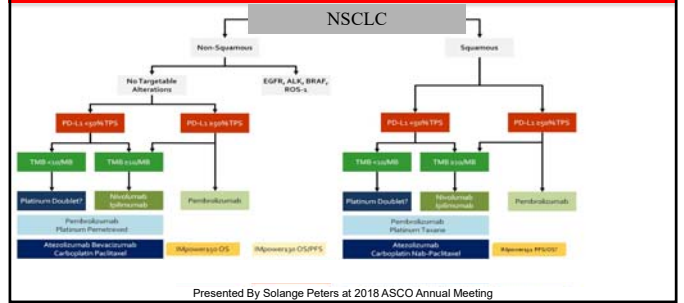
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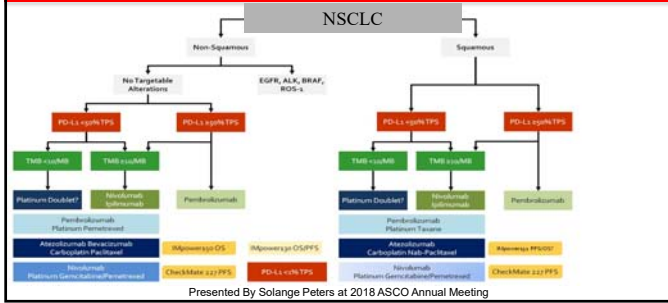
### Take home message



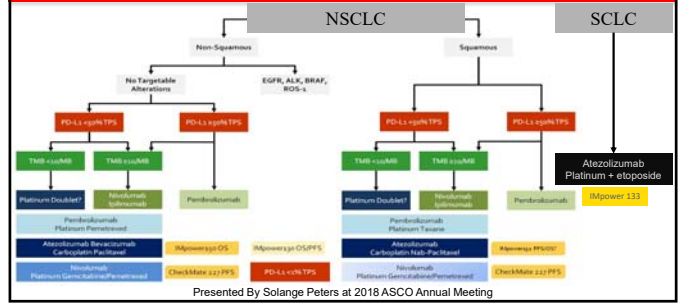
### Take home message



### Take home message



### Take home message




**Overview of Molecular, Histologic Tumor Testing, High Mutational Burden and Implication of Immune Resistance in HPV-associated Head & Neck Cancer**

**Tanguy Seiwert, MD**

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**UChicago  
Medicine**

**Overview of Molecular, Histologic Tumor Testing,  
High Mutational Burden in SCCHN and IO Resistance**



Tanguy Seiwert, MD  
*Director, Head and Neck Cancer Program  
The University of Chicago*

**Overview:**

1. HNC Background – Genetic Backgrounds
2. HNC Immune Microenvironment - Inflammation
3. Biomarkers
  - PD-L1 (TPS / CPS → KN048 ESMO 2018)
  - Inflammation Signature
  - Mutational Burden (TMB)
4. Resistance to Immune Checkpoint inhibitors
5. Research outlook – new biomarkers

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**OVERVIEW**

- I. HNC Disease Background
- II. Mutational Burden / Viral Antigens
- III. Tumor Microenvironment
  - PD-L1
  - T-cell inflammation
  - IDO
  - Macrophages /MDSCs

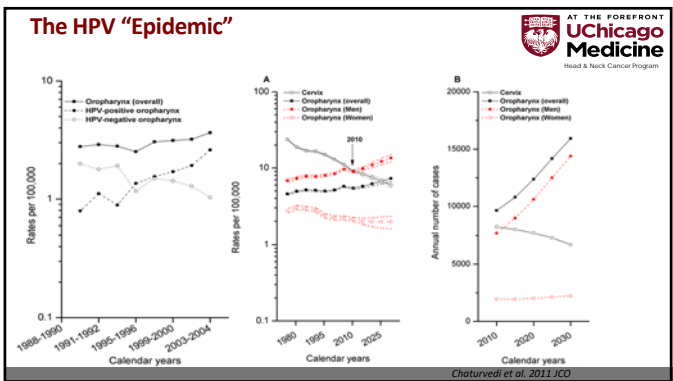
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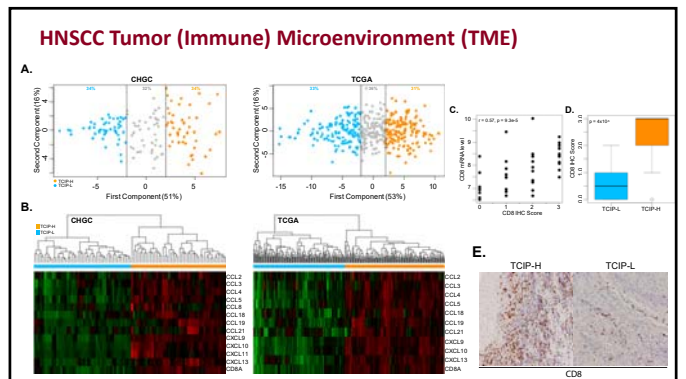
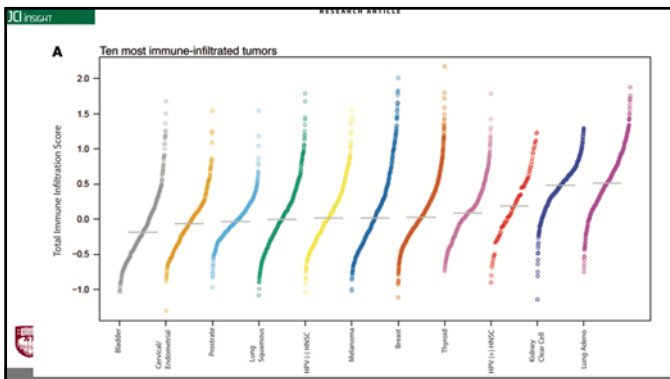
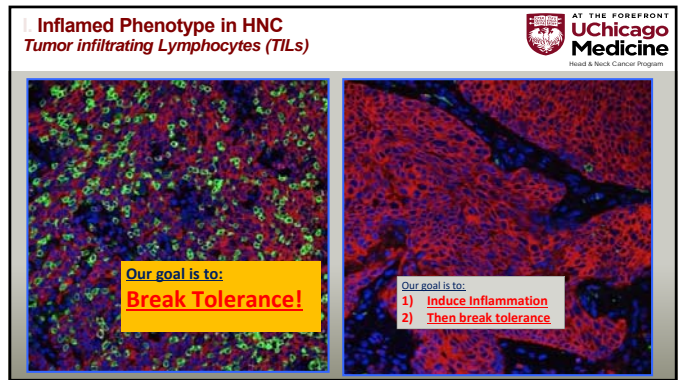
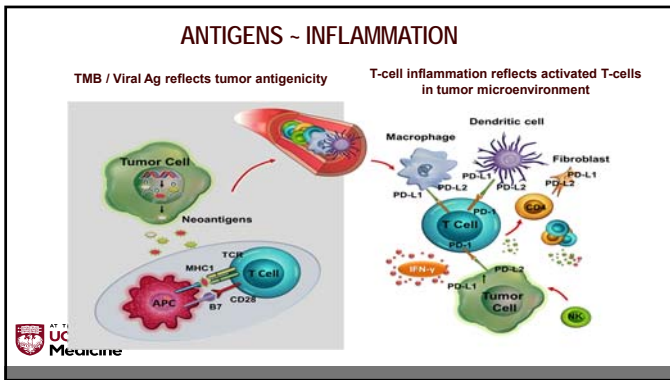
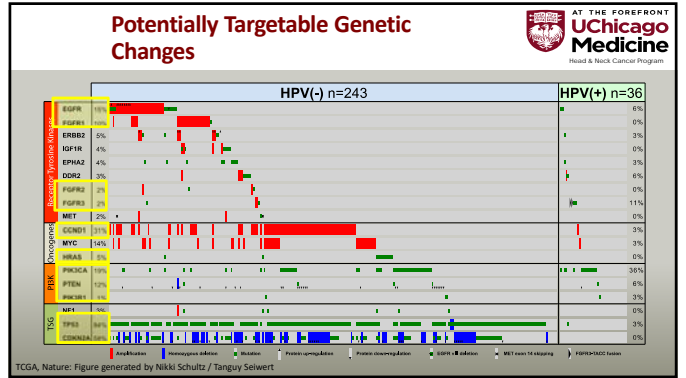
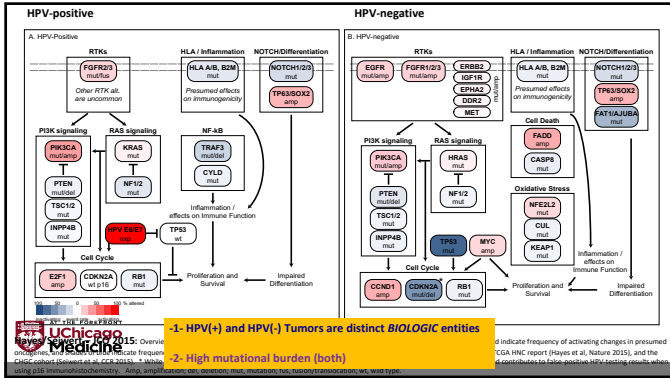


**I. HNC Disease Background**

- Head and neck cancer (HNC) is 6<sup>th</sup> most common cancer worldwide; 60,000 new cases per year in the United States
- Human Papilloma Virus (HPV) is involved in the etiology of ~60-80% of Oropharyngeal HNC in the US
- HPV(-)/Tobacco-related HNC AND HPV(+) HNC are distinct clinical entities.

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Head & Neck Cancer Program





## The Yin and Yang of Immune Escape

**Immune Surveillance:**

- Immune system recognizes malignant cells

**Immune Escape:**

1. Antigen Presentation: Loss of Antigen (Immune-editing), MHC
2. Immune Checkpoints: PD-1, PD-L1, CTLA4, TIM3
3. Cytokines: TGF- $\beta$ , IL-4, IL-6
4. Immunosuppressive ME: IDO
5. Cellular Immune Escape: T-regs, M2 macrophages, MDSCs
6. T-cell Anergy

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## IMMUNE MICROENVIRONMENT (IME)

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## Association of IFN $\gamma$ Signature and Progression-Free Survival in Patients with Head and Neck Cancer

**1. IB-group: Inflamed – Benefitting**

- Gamma-IFN Inflamed
- Benefitting from anti-PD1 therapy

**2. INB-group: Inflamed – NonBenefitting**

- Gamma-IFN Inflamed
- Not Benefitting from anti-PD1 therapy
- Given biologic signal - Can these patients be converted into responders e.g. via combinations, vaccine etc.

**3. NI-group: Non-Inflamed**

- Very high negative predictive value
- Not benefiting from anti-PD1 therapy
- Clinically potentially useful: Identify patients who should NOT receive PD-1 therapy
- Unclear whether non-inflamed phenotype can be converted into inflamed phenotype

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Presented by: Tanguy Sewert, ASCO 2015

## Evidence for a Role of the PD-1:PD-L1 Pathway in Immune Resistance of HPV-Associated Head and Neck Squamous Cell Carcinoma

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## HNSCC Tumor (Immune) Microenvironment (TME)

**D.**

**E.**

TCIP status	TCIP-L (n=37)	TCIP-H (n=37)
IHC Score		
PD-L1 negative (IC+TC)	26 (70%)	14 (38%)
PD-L1 positive (IC+TC)	11* (30%)	23* (62%)

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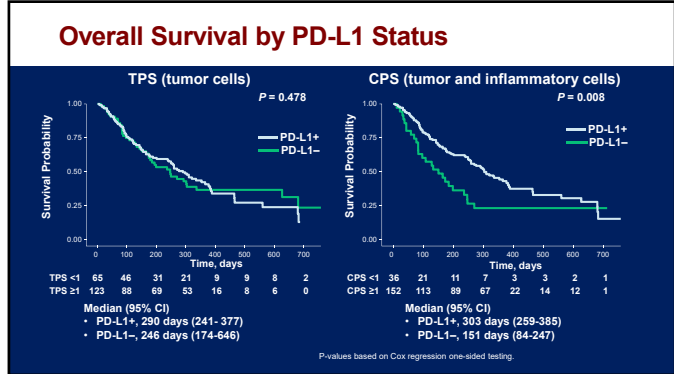
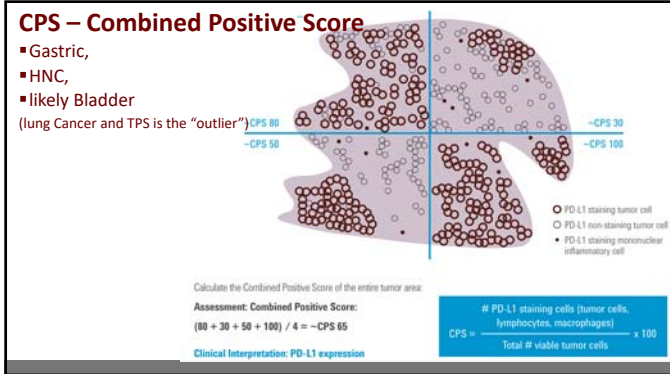
## FREQUENCY OF PTS WITH TPS $\geq$ 50 OR CPS $\geq$ 20

	CPS $\geq$ 20	TPS $\geq$ 50
SCCHN	39-44%	22-25%
NNSCLC		25-30%

Paz-Ares et al. N. Engl. J. Med 2018, Reck et al. N. Engl. J. Med 2016, Cohen et al. ESMO 2017

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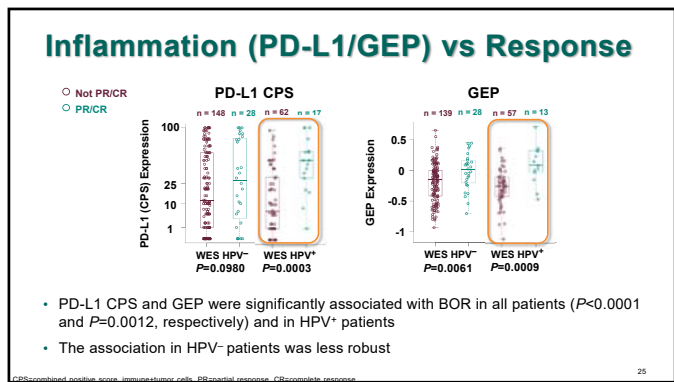




## Biomarkers predictive of response to pembrolizumab in head and neck cancer (HNSCC)

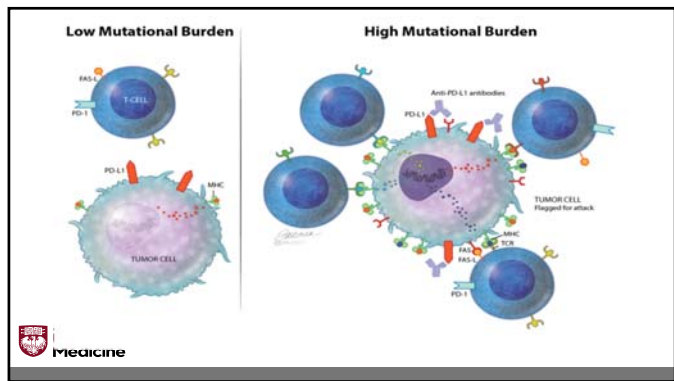
Tanguy Y. Seiwert, MD<sup>1</sup>; Robert Haddad, MD<sup>2</sup>; Joshua Baum, MD<sup>3</sup>; Jared Weiss, MD<sup>4</sup>; David G. Pfister MD<sup>5</sup>; Shilpa Gupta, MD<sup>6</sup>; Raneeh Mehra, MD<sup>7,8</sup>; Iris Gluck, MD<sup>9</sup>; Hyunseok Kang, MD<sup>10</sup>; Francis Worden, MD<sup>11</sup>; J. Paul Eder, MD<sup>12</sup>; Makoto Tahara, MD<sup>13</sup>; Barbara Burtness, MD<sup>12</sup>; Stephen V. Liu, MD<sup>14</sup>; Andrea Webber, PhD<sup>15</sup>; Lingkang Huang, PhD<sup>15</sup>; Robin Mogg, PhD<sup>15</sup>; Razvan Cristescu, PhD<sup>15</sup>; Jonathan Cheng, MD<sup>15</sup>; Laura Q. M. Chow, MD<sup>16</sup>

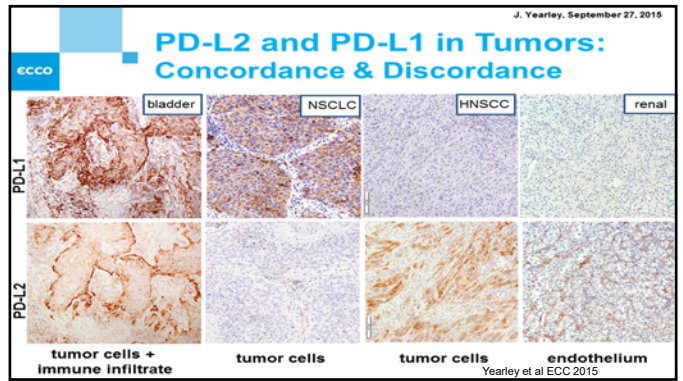
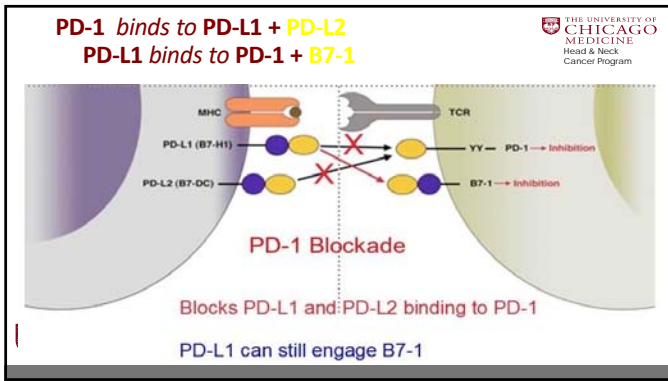
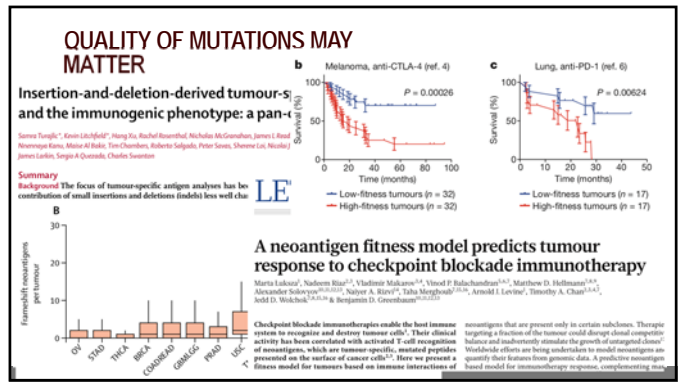
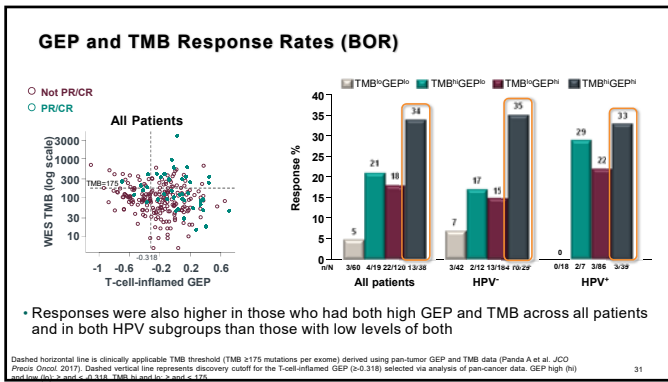
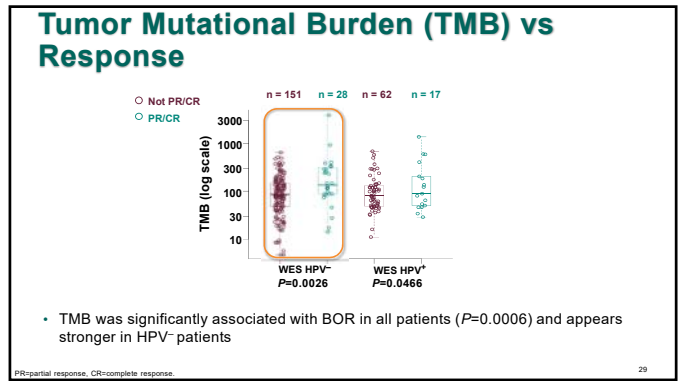
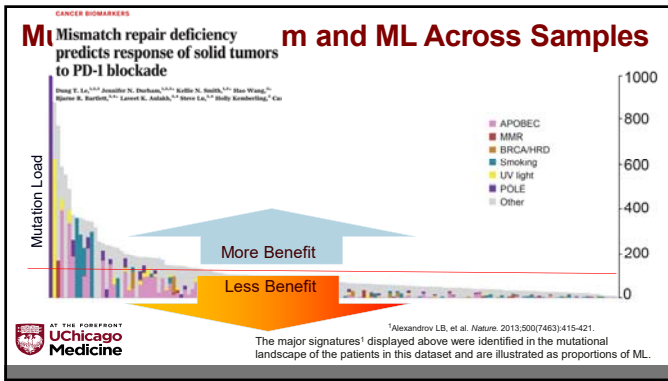
<sup>1</sup>University of Chicago, Chicago, IL, USA; <sup>2</sup>Dana Farber Cancer Institute, Boston, MA; <sup>3</sup>University of Pennsylvania, Philadelphia, PA, USA; <sup>4</sup>Lineberger Comprehensive Cancer Center at the University of North Carolina, Chapel Hill, NC, USA; <sup>5</sup>Memorial Sloan Kettering Cancer Center, New York, NY, USA; <sup>6</sup>Lee Moffitt Cancer center and Research Institute, Tampa FL, USA; <sup>7</sup>Fox Chase Cancer Center, Philadelphia, PA, USA (study conduct); <sup>8</sup>Johns Hopkins University, Baltimore, MD, USA; <sup>9</sup>Sheba Medical Center, Tel Hashomer, Israel; <sup>10</sup>Sidney Kimmel Comprehensive Cancer Center at Johns Hopkins University, Baltimore, MD, USA; <sup>11</sup>University of Michigan, Ann Arbor, MI, USA; <sup>12</sup>Yale University Cancer Center, New Haven, CT, USA; <sup>13</sup>National Cancer Center Hospital East, Kashiwa, Japan; <sup>14</sup>Georgetown University Hospital, Washington, DC, USA; <sup>15</sup>Merck & Co., Inc. Kenilworth, NJ, USA; <sup>16</sup>University of Washington, Seattle, WA, USA

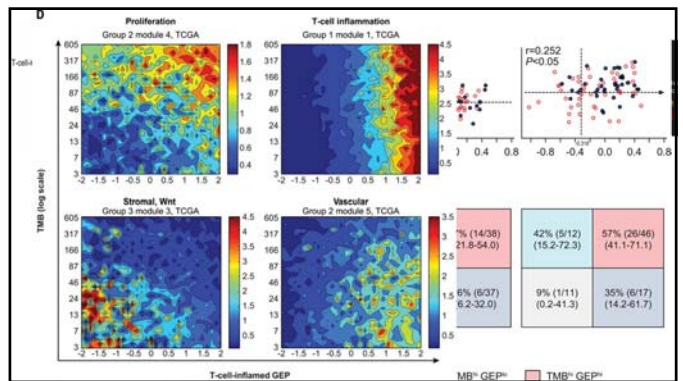
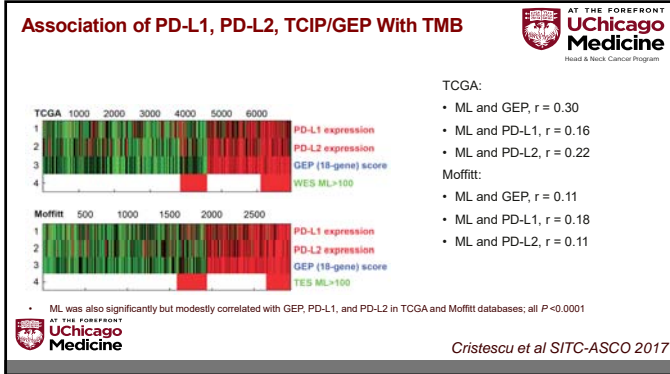


## TUMOR MUTATIONAL BURDEN (TMB)

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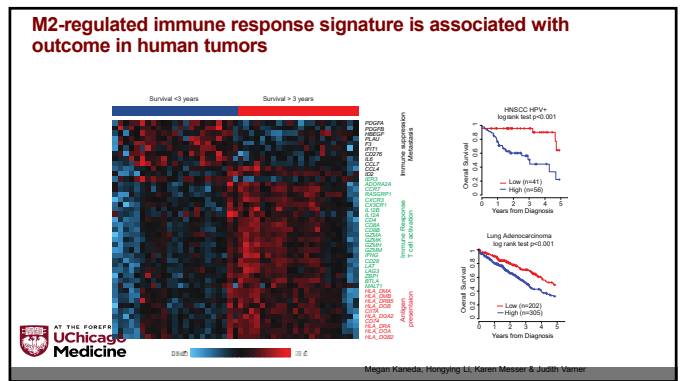
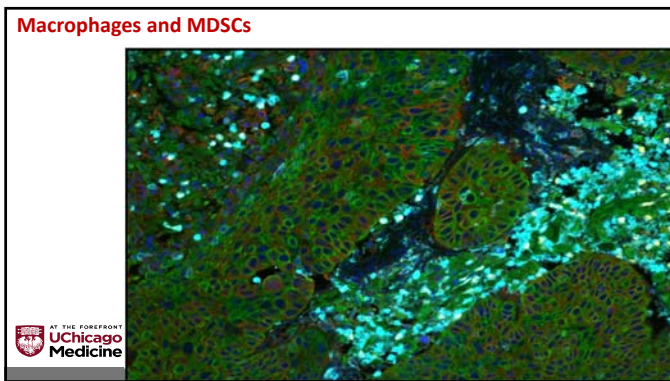
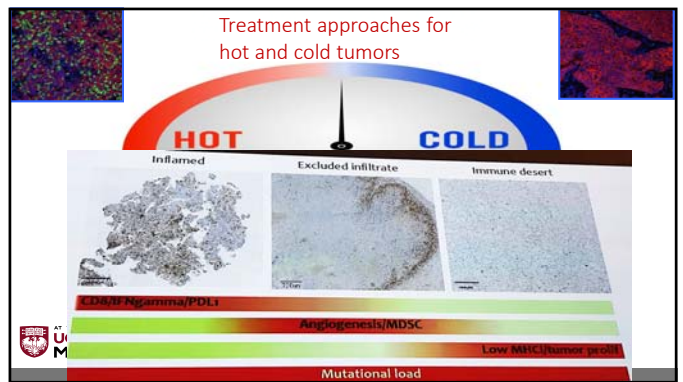




### OTHER FACTORS IMPACTING THE IMMUNE MICRO- ENVIRONMENT (MIE)

IDO, Macrophages/MDSCs, etc

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## Hyperprogression / Rapid

**Hyperprogressive Disease in Patients With Advanced Non-Small Cell Lung Cancer Treated With PD-1/PD-L1 Inhibitors or With Single-Agent Chemotherapy**

58-year-old woman with metastatic urothelial carcinoma

**Before**      **Base**

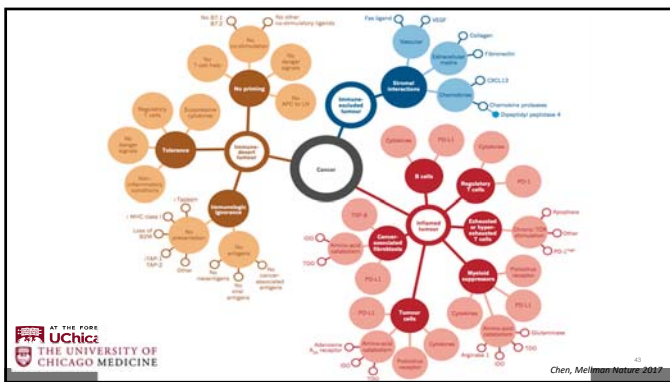
**ORIGINAL ARTICLE**

Hyperprogression during anti-PD-1/PD-L1 therapy in patients with recurrent and/or metastatic head and neck squamous cell carcinoma

E. Sabbatini, C. De Luca, A. Rinaldi, V. P. Colonna, V. Serrano, M. Paoletti, C. Eves, J. Fontana, J. Guigay, D. Latorre, F. Peyrade, M. An, J. Gal, & C. Le Tourneau

## B2M loss is a mechanism of acquired PD-1 resistance in HNSCC Tumor

**Baseline B2M positive**      **AR B2M negative**



## Bioreactor / Histoculture

### Digital QPCR from Fluidigm

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## scRNAseq and AbSeq

BD Rhapsody system

### Antibody-Oligo Construct

**BD Developed Applications**

- Sample Multiplexing
- Simultaneous RNA + Protein
- High parameter proteomics
- Combined with mRNA profiles
- Simple workflow

ABO Conjugate

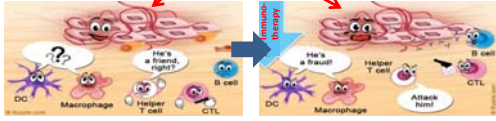
## CONCLUSIONS

- Both HPV(+) and HPV(-) HNSCC show:
  - High levels of immune cell infiltration
  - High Mutational burden (TMB) (but viral antigens may matter more for HPV/EBV+)
  - An inflamed phenotype (INF-G, PD-L1/2, IDO)
- Checkpoint blockade with PD-(L)1 agents alone is unlikely to be sufficient for optimal benefit
  - Tregs, NK-cells, Macrophages/MDSC all may contribute to additional therapeutic opportunities (e.g. PD-1/IDO ASCO 2017).
- HNSCC is an excellent disease to develop Immunotherapeutic agents (Tob + Viral tumor, high levels of TMB/Inflammation, IDO/Macrophages / STILL only modest response rate to PD-1, injectable / accessible for biopsies)

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Thanks!

Cancer Immunotherapy



Slide Modified from Jason Luke, MD  
<http://goldenprague.us/strategies-for-cancer-vaccine-development/>




# **Immunotherapy Options in the Treatment of Metastatic Head & Neck Cancer**

**Tanguy Seiwert, MD**



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## Immunotherapy Options for the Treatment of R/M Head and Neck Cancers




Tanguy Seiwert, MD  
Director, Head and Neck Cancer Program  
The University of Chicago

## Overview:

- Background and HNC Immune Biology
- Approved Use - Platinum refractory
  - Approval trials to date (KN12, CM141, CN40)
- The Future:
  - First line -- Platinum naïve
  - Curative intent
  - Combinations
- A quick word on biomarkers

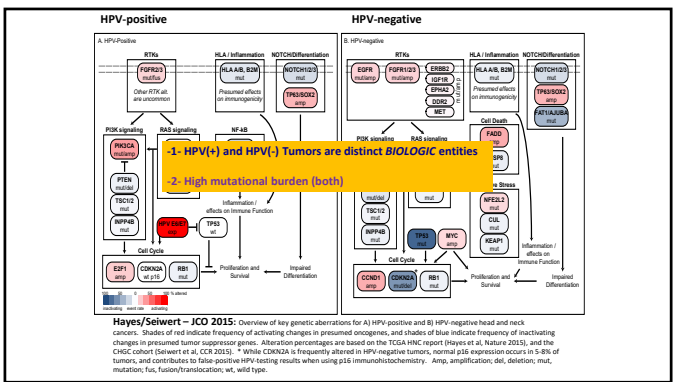
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## HNC -- I-O exceptional responder



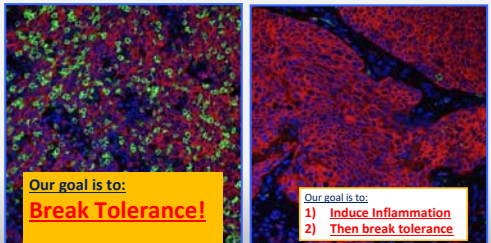
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Slide courtesy of Tanguy Seiwert, University of Chicago



## I. Inflamed Phenotype in HNC

### Tumor infiltrating Lymphocytes (TILs)



**Our goal is to:**  
**Break Tolerance!**

**Our goal is to:**  
**1) Induce Inflammation**  
**2) Then break tolerance**

Reck/Seiwert, CCR 2015

## Two US FDA Approvals in 2016 for HNSCC

### FDA Approves Pembrolizumab for Head and Neck Cancer

Subscribe  
August 24, 2016 by NCI Staff

The Food and Drug Administration (FDA)

### FDA Approves Nivolumab for Head and Neck Cancer

Subscribe  
December 1, 2016 by NCI Staff

The Food and Drug Administration (FDA) approved (Opdivo) on November 10 for the treatment of cancer of the head and neck (SCCHN).

**Both pembrolizumab and nivolumab are included in the latest NCCN recommendations**

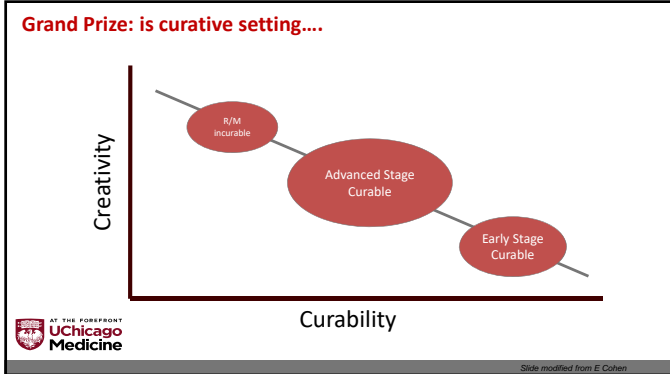
an advanced form of head and neck cancer. The approval is for patients with recurrent or metastatic head and neck squamous cell carcinoma (HNSCC) that has continued to progress despite standard-of-care treatment with chemotherapy.

patients with SCCHN that has progressed during chemotherapy with a platinum-based drug or that has returned or metastasized after platinum-based chemotherapy.

Nivolumab is the second immunotherapy drug approved to treat SCCHN. In August of this year, the FDA approved pembrolizumab (Keytruda) for patients with SCCHN whose

Opioids: T cells bind attaching an oral squamous cancer cell (white). Immune checkpoint inhibitors like nivolumab prevent tumors from

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**Immunotherapy Trials for HNSCC with Approval Potential**

Company	Treatment Setting				
	R/M: first-line Plat. naive	R/M: post-Platinum	Locally Advanced	Neo-adjuvant	Adjuvant/Consolidation
MSD/Merck	KN048, KN669	KN012, KN055, KN040, KN37	KN412 (w / CRT)	KN689	
BMS	CM651 (ipi-nivo), CM714	CM141 (nivo), CM351, P1 combo expansions	RTOG3504/ BMS fu Study (w / CRT)		
Astra-Zeneca	KESTREL	HAWK, CONDOR, EAGLE			
Pfizer/EMD			Javelin 100 REACH		
Roche/ Genentech					IMVoke HN

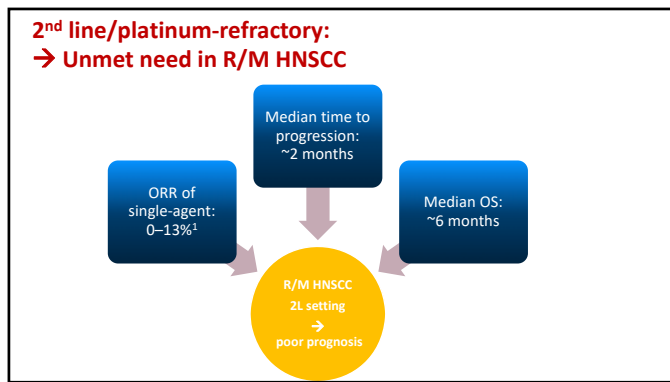
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Slide modified from JP.Michelin, 2017

**II. Approved Use:**  
→ Platinum Refractory

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**HNSCC Cohorts of Nonrandomized, Phase 1b, Multi-cohort KEYNOTE-012 Trial†**

**Patients**

- R/M HNSCC
- Measurable disease (RECIST v1.1)
- ECOG PS 0-1
- PD-L1+ (initial cohort)
- PD-L1+ or PD-L1- (expansion cohort)

**Initial Cohort**  
Pembrolizumab 10 mg/kg Q2W  
N = 60

**Expansion Cohort**  
Pembrolizumab 200 mg Q3W  
N = 132

**Continue until:**

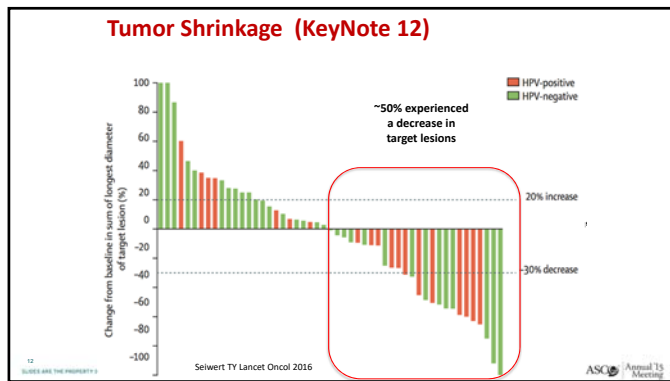
- 24 months of treatment\*
- PD
- Intolerable toxicity

**Combined analyses of Initial and Expansion cohorts**

†Additional cohorts included bladder cancer, TN breast cancer, and gastric cancer. \*Treatment beyond progression was allowed. †Data cutoff only.

Response assessments: Every 8 weeks  
Primary end points: ORR (RECIST v1.1, central imaging vendor), safety  
Secondary end points: ORR (investigator), PFS, OS, response duration, ORR in HPV+ patients†

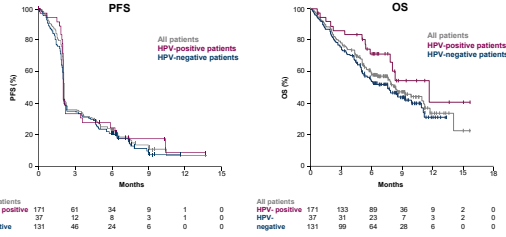
ASCO ANNUAL MEETING '16



### Pembrolizumab (anti-PD-1): accelerated approval for HNSCC – further supported by 2<sup>nd</sup> trial

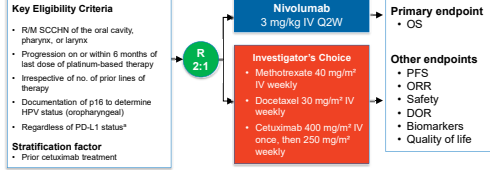
Approval further supported by KEYNOTE-055 (Phase II, n=171)<sup>2,3</sup>

- pre-treated with
  - Platinum
  - cetuximab
- ORR 16%; no effect of HPV status on ORR
- Median OS: 8 months



### Phase 3 CheckMate 141 Study Design Nivolumab in R/M SCCHN After Platinum Therapy

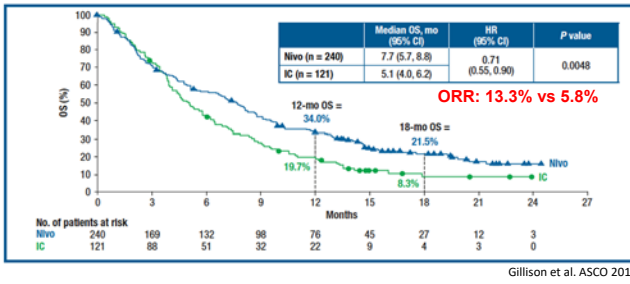
Randomized, global, phase 3 trial of the efficacy and safety of nivolumab vs investigator's choice in patients with RM SCCHN



OS = duration of response; IV = intravenous; ORR = objective response rate; Q2W = once every 2 weeks; R = randomized; Cetuximab: gov NCT02109303  
Farris/Gillison NEJM 2016

### CheckMate 141: updated OS data

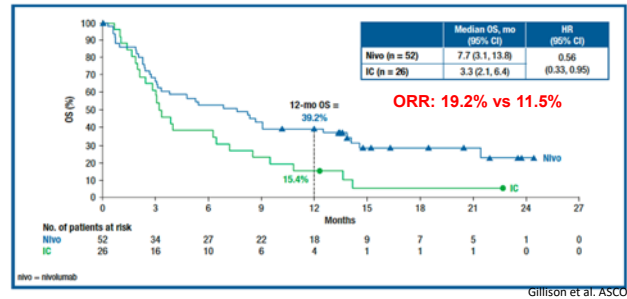
Figure 3. OS, CheckMate 141 all-randomized population Median follow-up: 11.4 months



Gillison et al. ASCO 2017

### CheckMate 141: outcomes in the first-line R/M

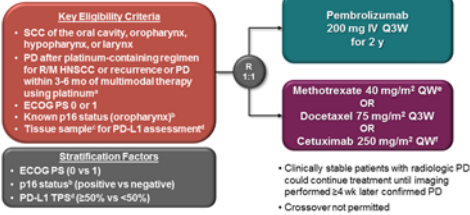
Figure 2. OS among patients receiving 1L R/M nivolumab or IC after platinum-based therapy in the primary/adjvant setting



Gillison et al. ASCO 2017

### Phase 3 KEYNOTE-040 Study (NCT02252042)

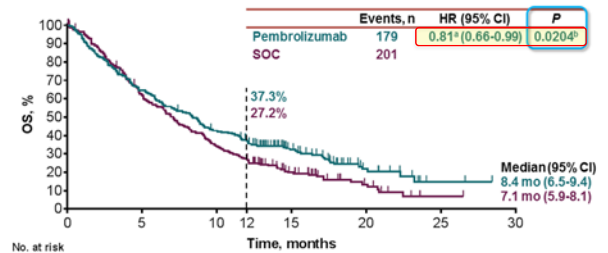
E Cohen, ESMO 2017



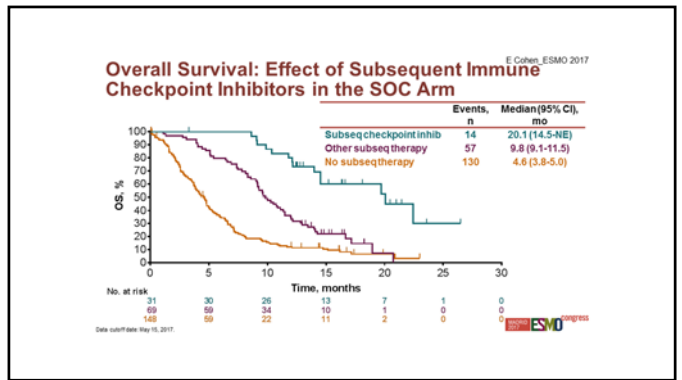
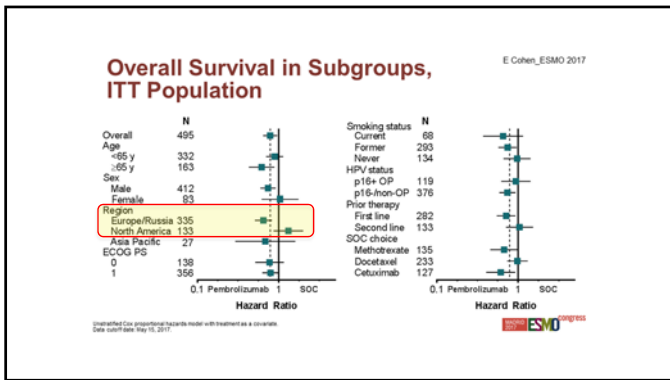
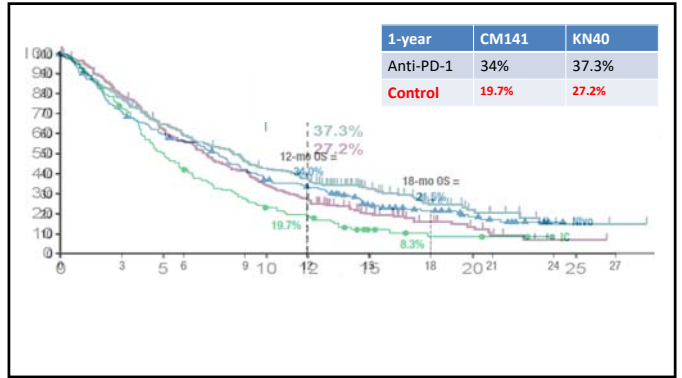
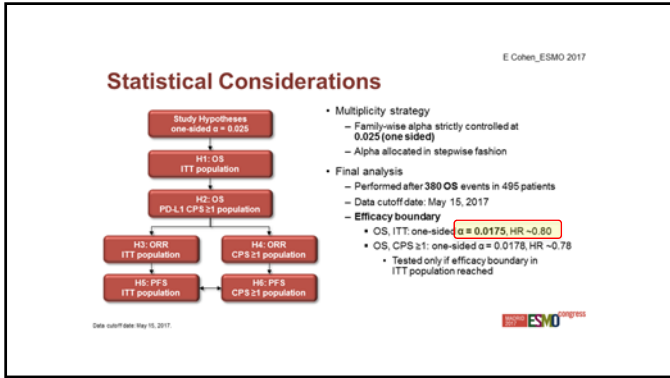
\*ECOG PS 0 vs 1; \*\*ECOG PS 0 or 1; \*\*\*p16 status (positive vs negative); \*\*\*\*PD-L1 TPS (≥50% vs <50%)  
\*ECOG PS 0 vs 1; \*\*ECOG PS 0 or 1; \*\*\*p16 status (positive vs negative); \*\*\*\*PD-L1 TPS (≥50% vs <50%)  
\*ECOG PS 0 vs 1; \*\*ECOG PS 0 or 1; \*\*\*p16 status (positive vs negative); \*\*\*\*PD-L1 TPS (≥50% vs <50%)

### Overall Survival in ITT Population

E Cohen, ESMO 2017



\*Cox proportional hazards model with treatment as a covariate stratified by the randomization stratification factors. Initially reported data: HR: 0.82 (95% CI: 0.67-1.01), P = 0.0336. After the initial report, updated survival data were obtained for 4 patients. \*Cox added P value based on the log-rank test stratified by the randomization stratification factors. Data cutoff date: May 15, 2017.



**III. Platinum Naïve – First Line**  
→ 1 week ago ESMO 2018

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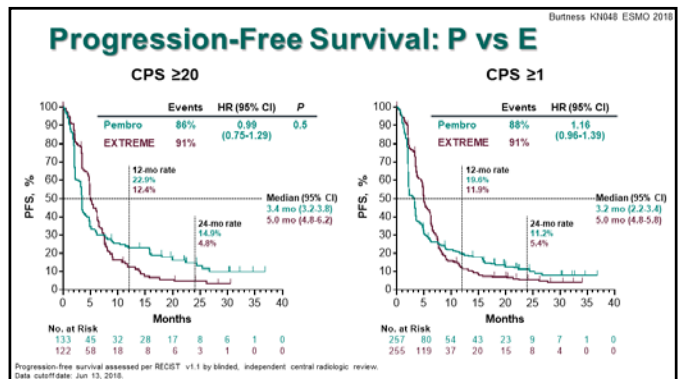
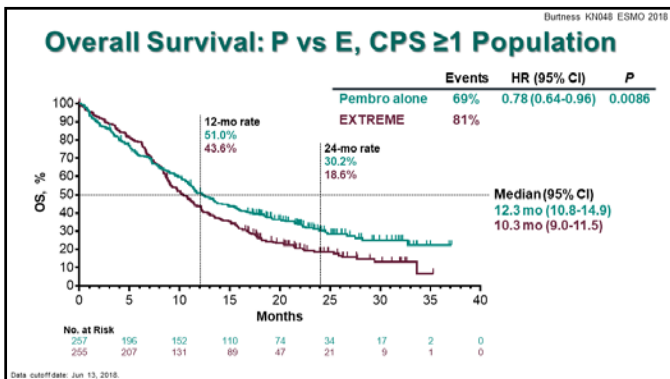
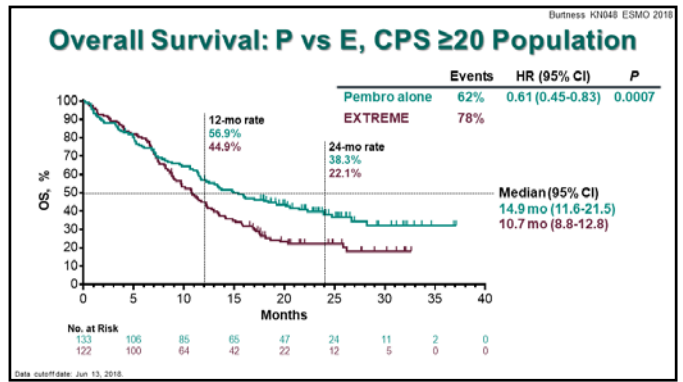
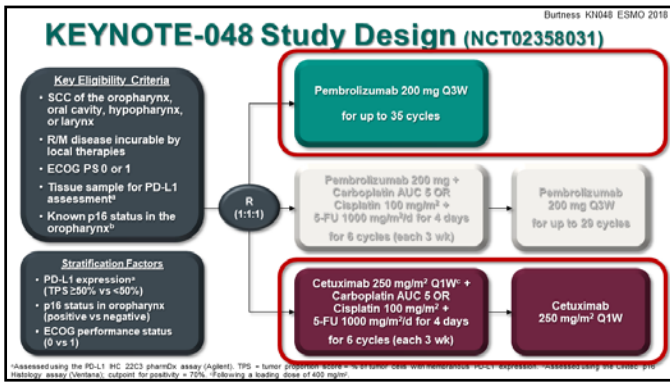
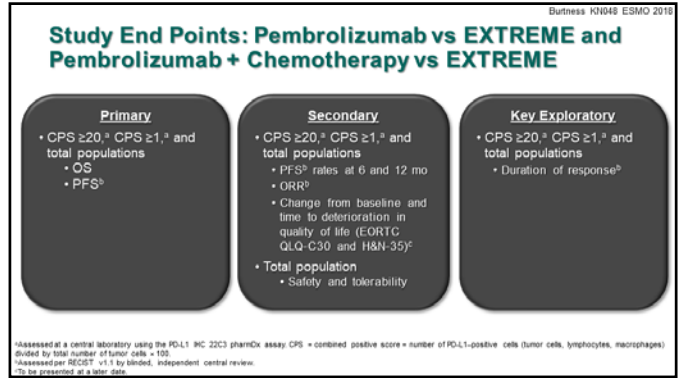
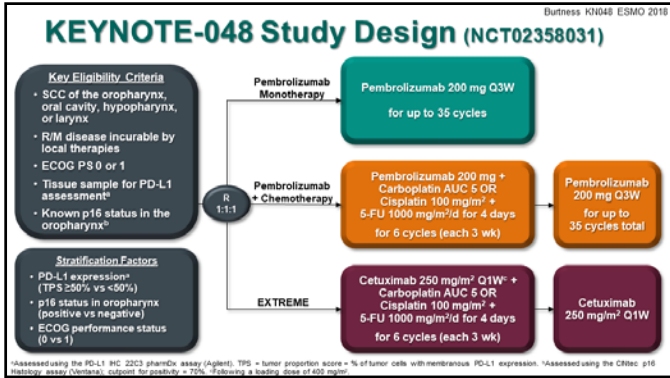
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Burtness KN048 ESMO 2018

## KEYNOTE-048: Phase 3 Study of First-Line Pembrolizumab for Recurrent/Metastatic Head and Neck Squamous Cell Carcinoma (R/M HNSCC)

Barbara Burtness,<sup>1</sup> Kevin Harrington,<sup>2</sup> Richard Greil,<sup>3</sup> Denis Soulières,<sup>4</sup> Makoto Tahara,<sup>5</sup> Gilberto de Castro,<sup>6</sup> Amanda Psyrri,<sup>7</sup> Neus Basté Rolitan,<sup>8</sup> Prakash Neupane,<sup>9</sup> Åse Bratland,<sup>10</sup> Thorsten Fuereider,<sup>11</sup> Brett GM Hughes,<sup>12</sup> Ricard Mesia,<sup>13</sup> Nutapong Ngamphaiboon,<sup>14</sup> Tamara Rordorf,<sup>15</sup> Wan Zamaniah Wan Ishak,<sup>16</sup> Ananya Roy,<sup>17</sup> Jonathan Cheng,<sup>17</sup> Fan Jin,<sup>17</sup> Danny Rischin<sup>18</sup>

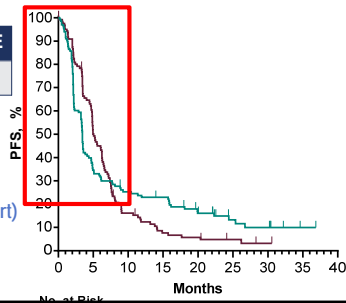
<sup>1</sup>Yale School of Medicine and Yale Cancer Center, New Haven, CT, USA; <sup>2</sup>The Institute of Cancer Research/The Royal Marsden NHS Foundation Trust National Institute of Health Research Biomedical Research Centre, London, UK; <sup>3</sup>Hararebus Medical University, Salzburg Cancer Research Institute, and Cancer Cluster Salzburg, Salzburg, Austria; <sup>4</sup>Centre Hospitalier de l'Université de Montréal, Montréal, QC, Canada; <sup>5</sup>National Cancer Center Hospital East, Kashiwa, Japan; <sup>6</sup>Instituto do Câncer de Estado de São Paulo, São Paulo, Brazil; <sup>7</sup>National Kapodistrian University of Athens, Attikon University Hospital, Athens, Greece; <sup>8</sup>Osaka University Hospital, Osaka, Japan; <sup>9</sup>University of Kansas Medical Center, Kansas City, KS, USA; <sup>10</sup>Oslo University Hospital, Oslo, Norway; <sup>11</sup>Medical University of Vienna, Vienna, Austria; <sup>12</sup>Hobart Brisbane and Victoria Hospital and University of Queensland, Brisbane, QLD, Australia; <sup>13</sup>Catalan Institute of Oncology, Hospital de Llobregat, Barcelona, Spain; <sup>14</sup>Marumbe Hospital, Maribor University, Maribor, Slovenia; <sup>15</sup>University Hospital, Zurich, Switzerland; <sup>16</sup>University Malaysia, Kuala Lumpur, Malaysia; <sup>17</sup>Merck & Co., Inc., Kenilworth, NJ, USA; <sup>18</sup>Peter MacCallum Cancer Centre, Melbourne, VIC, Australia



### ARE WE TAKING RISKS IN CPS ≥ 20 ?

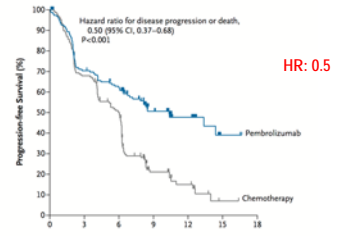
	Pembro	EXTREME
ORR	23%	36%

ORR: +13 % in favor of EXTREME  
 PFS in favor of EXTREME (at the start)



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### PEMBROLIZUMAB VS CT IN NSCLC WITH TPS ≥ 50



No. at Risk  
 Pembrolizumab: 154, 104, 89, 44, 22, 11, 6, 3, 1  
 Chemotherapy: 151, 99, 70, 18, 9, 1  
 Reck et al. N. Engl. J. Med 2016

MUNICH 2018 ESMO

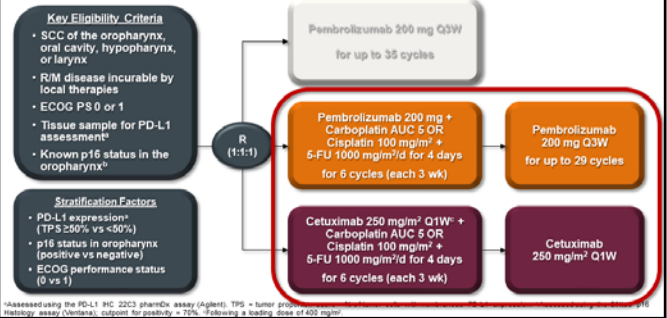
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	CPS ≥ 20	TPS ≥ 50
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NSCLC		25-30%

Paz-Ares et al. N. Engl. J. Med 2018, Reck et al. N. Engl. J. Med 2016, Cohen et al. ESMO 2017

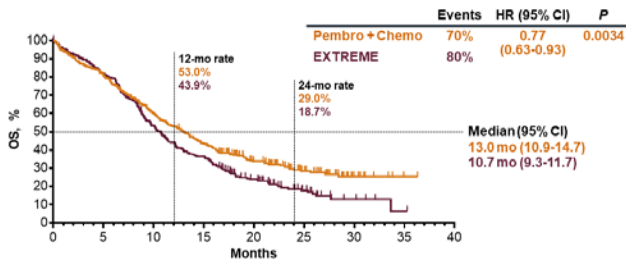
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### KEYNOTE-048 Study Design (NCT02358031)



\*Assessed using the PD-L1 IHC 22C3 pharmDx assay (Agilent). TPS = tumor proportion score. †Assessed using the p16 IHC VENTANA assay (Ventana); cutoff for positivity = 70%. ‡Following a loading dose of 400 mg/m².

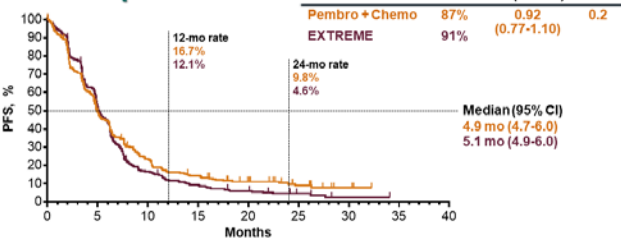
### Overall Survival: P+C vs E, Total Population



No. at Risk  
 Pembro + Chemo: 281, 227, 169, 122, 75, 40, 10, 1, 0  
 EXTREME: 278, 227, 147, 100, 51, 20, 5, 1, 0

Date cutoff date: Jun 13, 2018

### Progression-Free Survival: P+C vs E, Total Population



No. at Risk  
 Pembro + Chemo: 281, 134, 62, 37, 22, 11, 3, 0, 0  
 EXTREME: 278, 136, 42, 23, 14, 6, 1, 0, 0

Progression-free survival assessed per RECIST v1.1 by blinded, independent central radiologic review.  
 Date cutoff date: Jun 13, 2018

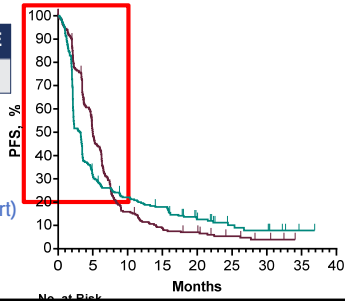


### ARE WE TAKING RISKS IN CPS $\geq 1$ ?

	Pembro	EXTREME
ORR	19%	35%

ORR: +16 % in favor of EXTREME

PFS in favor of EXTREME (at the start)



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### WHAT ABOUT $1 \leq \text{CPS} < 20$ ?

NO DATA

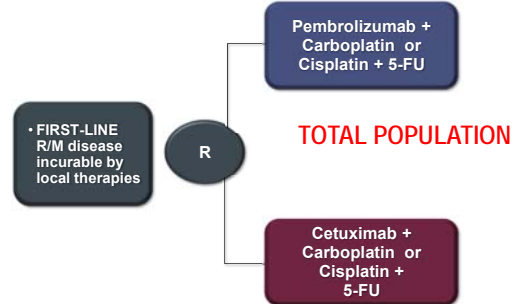
Let's do some mathematics !

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### $1 \leq \text{CPS} < 20$ ? (USE WITH CAUTION, NOT VALIDATED)

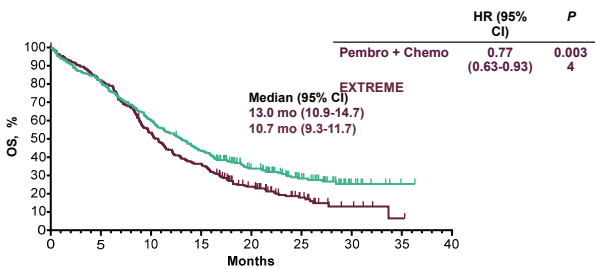
	Pembro N = 124	EXTREME N = 133
ORR	15%	34%
CR	3 %	2 %
PR	11%	32 %
SD	26 %	31 %
PD	47 %	16 %

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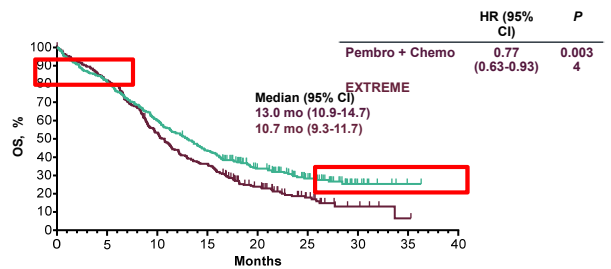
### TOTAL POPULATION: SURVIVAL



No. at Risk	0	5	10	15	20	25	30	35	40
Pembro + Chemo	227	169	122	75	40	10	1	0	0
EXTREME	227	147	100	51	20	5	1	0	0

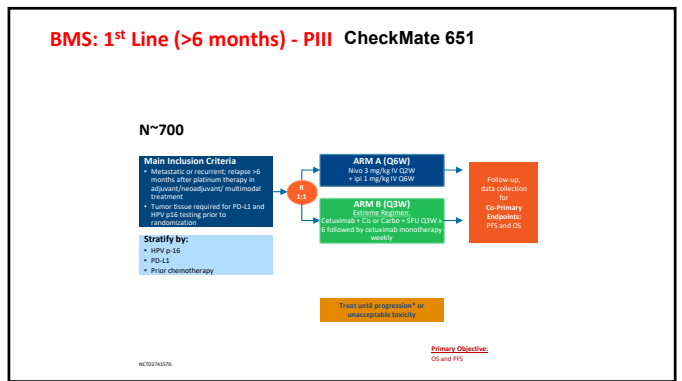
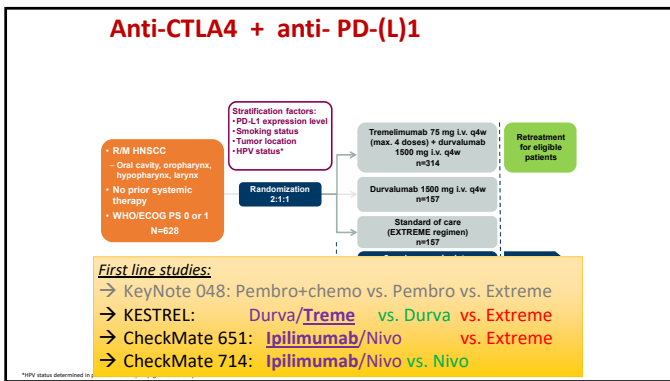
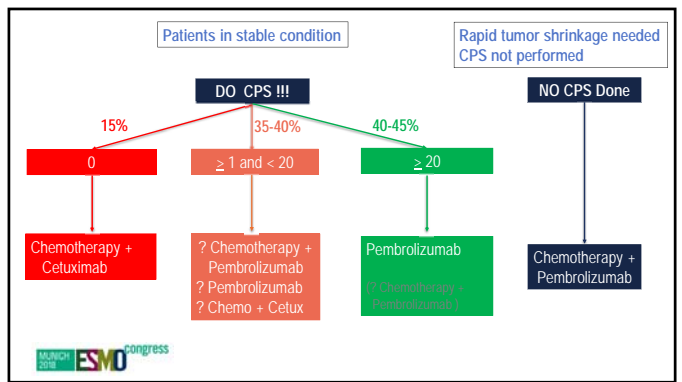
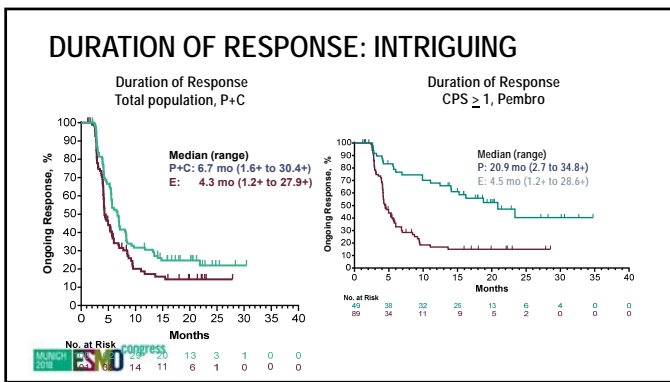
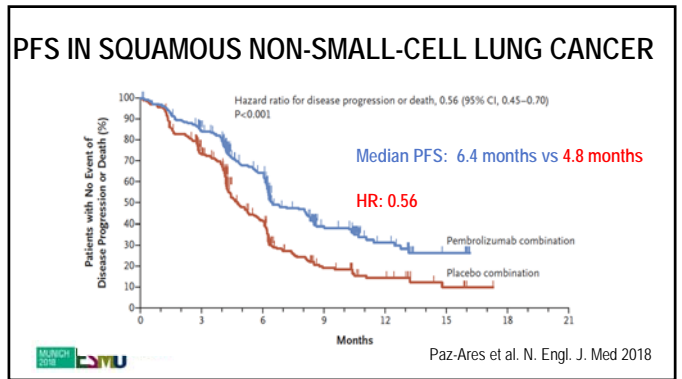
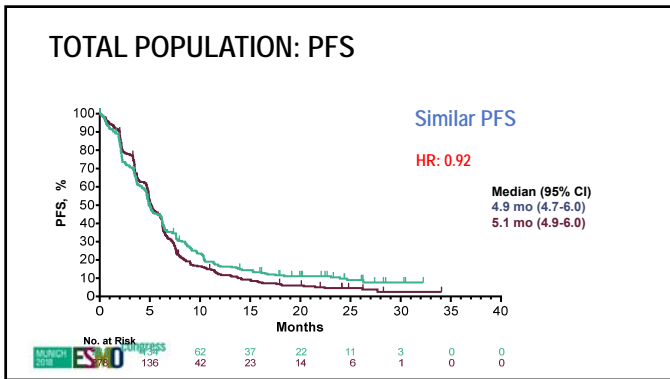
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### TOTAL POPULATION: SURVIVAL



No. at Risk	0	5	10	15	20	25	30	35	40
Pembro + Chemo	227	169	122	75	40	10	1	0	0
EXTREME	227	147	100	51	20	5	1	0	0

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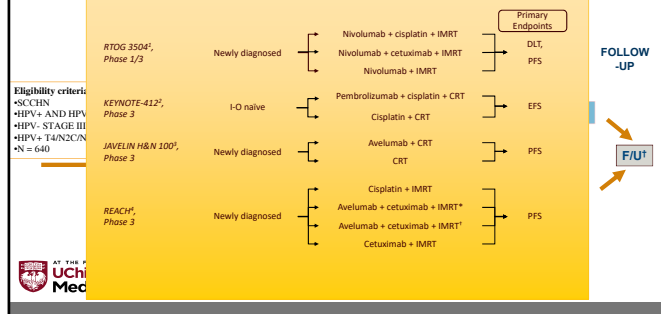


### III. The Future

→ Curative Intent

→ years away (~2019/2020)

### I-O CRT/RT combination trial for LA HNSCC:



### Adjuvant for High Risk

#### Patients at High-risk for recurrence:

1. After definitive treatment (surgery or RT/CRT) due to advanced Stage/ (+)Margins etc
2. High-risk – due Leukoplakia/recurrent early stage tumors

Curative intent treated HNC:

- A) High risk after Sx or RT/CRT
- OR
- B) Chemoprevention

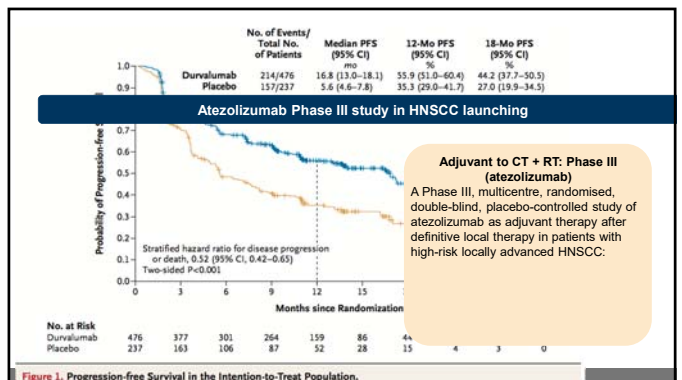
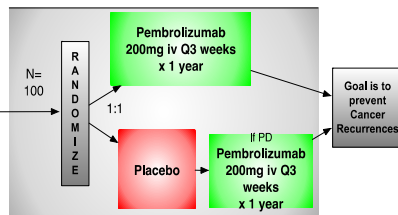


Figure 1. Progression-free Survival in the Intention-to-Treat Population.

### Take Home messages:

• HNSCC is a good target for immune checkpoint inhibitors

• Highly immunogenic, both HPV(-) and HPV(+)

• Pembrolizumab and Nivolumab both approved by the FDA

• The field of HNSCC is likely going to change dramatically in 2018

• First line trials are coming – KN48, CM651, with IDO (KN669, first line)

• Curative intent trials are coming – KN412, Javelin, BMS/RTG3504, as well as neoadjuvant (KN689) and PACIFIC-like Pembro/Atezo trials.

• Immunotherapy combination are coming (CTLA-4, STAT3, TLR...)

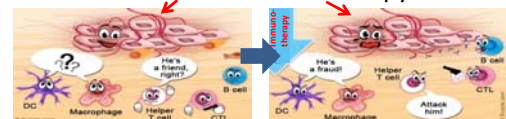
**Biomarkers** : may improve upon PD-L1 IHC – and may find clinical utility (not SOC currently)

**The Present!**

**The Future!**

Thanks!

### Cancer Immunotherapy



Slide Modified from Jason Luke, MD  
<http://goldenregue.us/strategies-for-cancer-vaccine-development/>

**Overview of Molecular Targeted Therapy on the Outcome of Early-stage NSCLC Patients with EML4-ALK Fusion Gene and the Application of TKIs**

**Anne Tsao, MD**

## ALK – Frontline Option 2018



**Anne S. Tsao, M.D.**  
 Professor  
 Director, Mesothelioma Program  
 Director, Thoracic Chemo-XRT Program

October 27, 2018

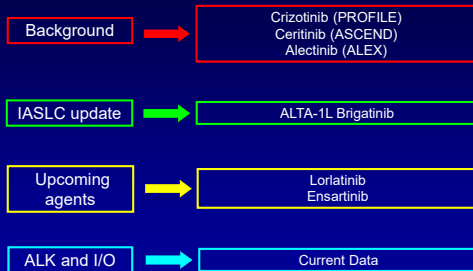
The University of Texas  
 MD ANDERSON  
 CANCER CENTER

Department of Thoracic/Head & Neck  
 Medical Oncology

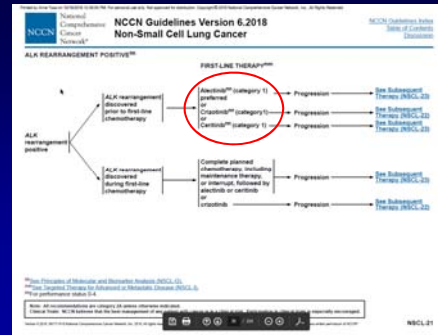
## Conflict of Interest Disclosure

**Advisory Board:** BMS, Genentech/Roche, Merck, Eli Lilly, Novartis, Ariad, EMD Serono, Boehringer Ingelheim, AstraZeneca, Takeda Oncology

## Outline: ALK Frontline

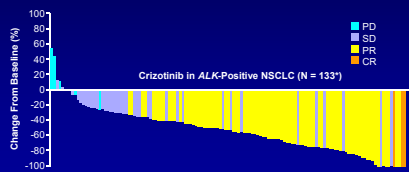


## NCCN Guidelines



## Targeting ALK Gene Translocations

- **ALK/EML4 fusions**
  - Younger patients with light/never smoking history; males > females
  - Found in 2% to 5% of adenocarcinomas
  - Adenocarcinoma ± signet ring morphology
  - Generally mutually exclusive with *EGFR*, *KRAS*, and other driver mutations



\*Excluded patients with early death before reimaging, nonmeasurable nontarget disease, or indeterminate responses.

Camidge DR, et al. *Lancet Oncol*. 2012;13:1011-1019.

## Crizotinib PROFILE Trials

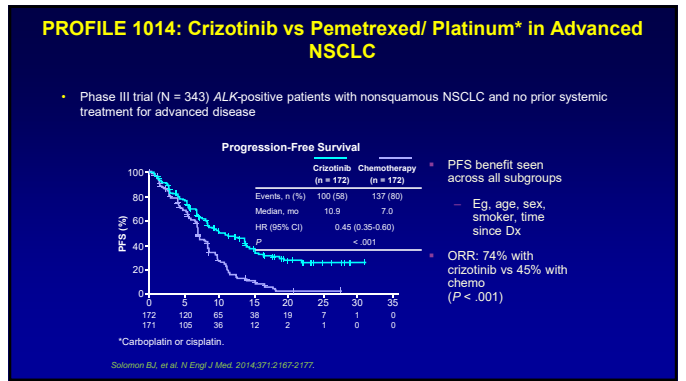
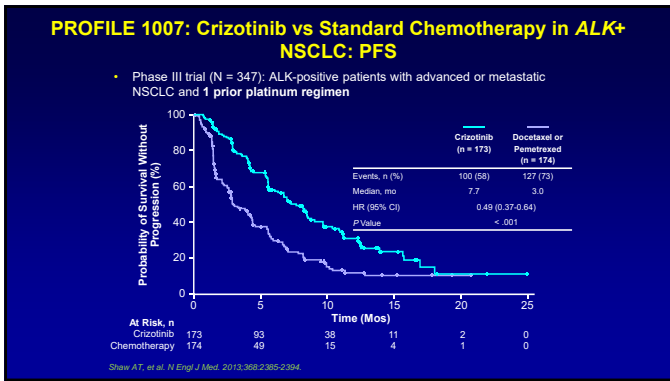
	PROFILE 1001 <sup>1</sup> (N=143)	PROFILE 1005 <sup>2</sup> (N=259)	PROFILE 1007 <sup>3</sup> (N=172)	PROFILE 1014 <sup>4</sup> (N=172)
Phase	1	2	3	3
Line of therapy	Any line	2 <sup>nd</sup> line and beyond	2 <sup>nd</sup> line	1 <sup>st</sup> line
ORR	61%	60%	65%	74%
PFS, median (mos)	9.7	8.1	7.7	10.9
Survival probability at 12 mos	75%	NA	70%	NA

<sup>1</sup>Camidge et al., *Lancet Onc* 13(10): 1011-9, 2012

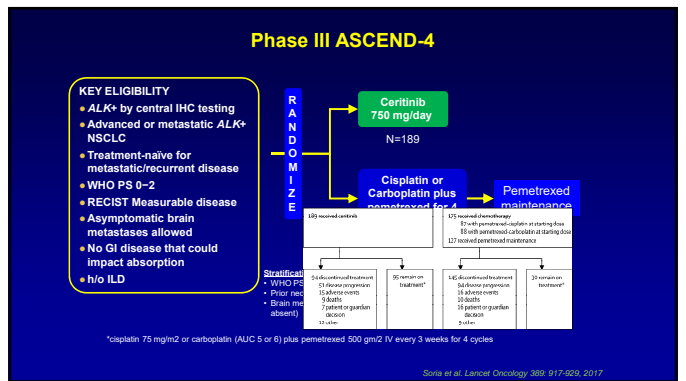
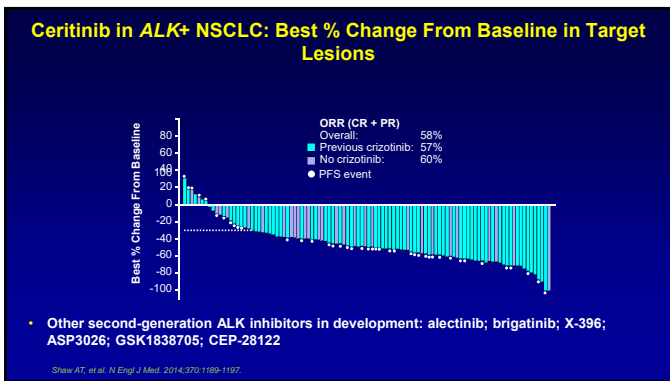
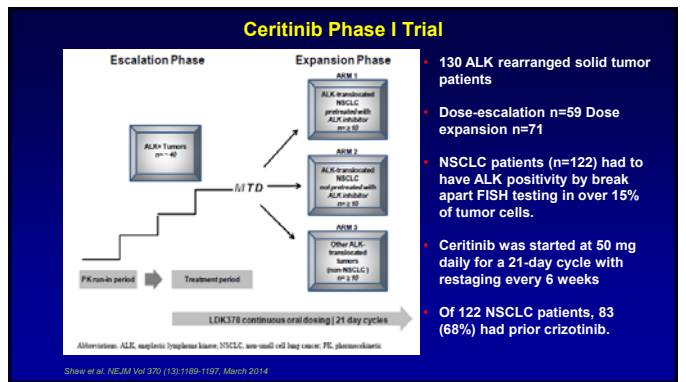
<sup>2</sup>Kim et al., *ASCO* 2012

<sup>3</sup>Shaw et al., *NEJM* 368(25): 2385-94, 2013

<sup>4</sup>Mok et al., *ASCO Abstract* #8002, 2014



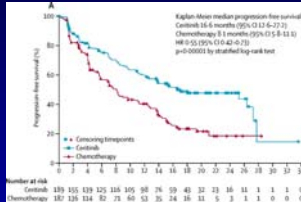
- ### Crizotinib
- Crizotinib had superior RR, PFS, OS compared to frontline chemo in ALK+ NSCLC.
  - Crizotinib is well-tolerated with twice daily dosing.
  - Crizotinib was FDA approved August 26, 2011
  - Crizotinib was also FDA approved March 11, 2016 for ROS-1+ NSCLC.
  - However, limited CNS penetration.



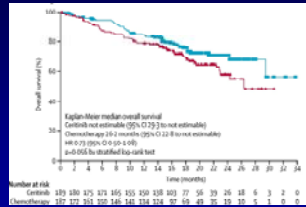


## ASCEND-4 Survival

### Progression-free Survival



### Overall Survival

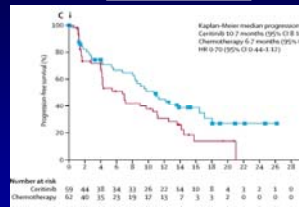


HR 0.55, p<0.0001  
Ceritinib 16.6 vs 8.1 months chemo

HR 0.56, p=0.056  
Ceritinib NR vs 26.2 months chemo

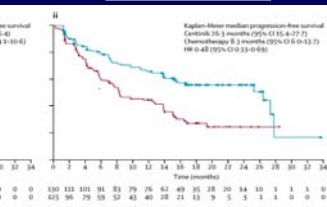
## ASCEND-4 PFS by Brain Mets

### Brain mets at baseline



HR 0.7  
Ceritinib 10.7 vs 6.7 months chemo

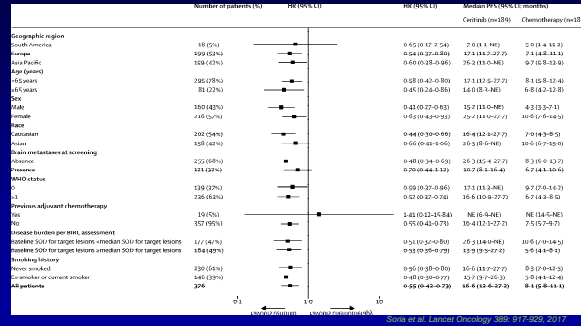
### No Brain mets at baseline



HR 0.48  
Ceritinib 26.3 vs 8.3 months chemo

Soria et al. Lancet Oncology 389: 917-929, 2017

## ASCEND-4 PFS



Soria et al. Lancet Oncology 389: 917-929, 2017

## ASCEND-4 Toxicity

Toxicity	Ceritinib (n=189)		Chemotherapy (n=173)	
	All patients	Grade 3 or 4	All patients	Grade 3 or 4
Any adverse event	180 (95.2%)	148 (78.3%)	170 (98.3%)	168 (97.1%)
Diarrhea	140 (74.1%)	10 (5.3%)	19 (11.0%)	2 (1.1%)
Nausea	120 (63.5%)	1 (0.5%)	57 (32.9%)	6 (3.5%)
Vomiting	125 (66.2%)	10 (5.3%)	62 (35.8%)	10 (5.8%)
Alanine aminotransferase increased	134 (70.9%)	18 (9.5%)	38 (21.9%)	5 (2.9%)
Aspartate aminotransferase increased	100 (53.0%)	21 (11.1%)	34 (19.6%)	3 (1.7%)
Gamma-glutamyltransferase increased	79 (41.8%)	14 (7.4%)	18 (10.4%)	3 (1.7%)
Increased appetite	64 (33.9%)	2 (1.1%)	55 (31.8%)	2 (1.1%)
Blind alkaline phosphatase increased	55 (29.1%)	14 (7.4%)	9 (5.2%)	1 (0.6%)
Fatigue	55 (29.1%)	8 (4.2%)	52 (29.9%)	5 (2.9%)
Abdominal pain	47 (24.8%)	4 (2.1%)	43 (24.8%)	0
Cough	46 (24.3%)	0	38 (21.9%)	0
Weight decreased	45 (23.8%)	7 (3.7%)	35 (20.2%)	3 (1.7%)
Blind creatinine increased	43 (22.7%)	4 (2.1%)	37 (21.4%)	0
Upper abdominal pain	39 (20.6%)	3 (1.6%)	30 (17.3%)	0
Non-cardiac chest pain	35 (18.5%)	2 (1.1%)	27 (15.6%)	0 (0%)
Back pain	35 (18.5%)	3 (1.6%)	32 (18.5%)	4 (2.3%)
Constipation	35 (18.5%)	0	38 (21.9%)	2 (1.1%)
Pyrexia	34 (18.0%)	0	24 (13.9%)	2 (1.1%)
Arthralgia	33 (17.5%)	5 (2.6%)	35 (20.2%)	6 (3.5%)
Headache	33 (17.5%)	0	25 (14.4%)	3 (1.7%)
Dyspnea	29 (15.3%)	4 (2.1%)	35 (20.2%)	11 (6.3%)
Anemia	28 (14.8%)	4 (2.1%)	62 (35.8%)	12 (7.0%)
Nausea/pain	9 (4.8%)	1 (0.5%)	38 (21.9%)	10 (5.8%)
White blood cell count decreased	7 (3.7%)	0	33 (19.1%)	7 (4.0%)

Soria et al. Lancet Oncology 389: 917-929, 2017

## Ceritinib Practical GI Toxicity Management

- Standard practice:
  - Patient education
  - Symptomatic treatment with antiemetics and/or antidiarrheal medication
  - Take at night
  - Consider taking with food.
    - Prior food effect study in healthy volunteers showed high-fat meals increased ceritinib systemic exposure by 43%
- Preventive measures
  - Empiric use of anti-emetics, anti-diarrheals, and anti-cholinergics
- Dose interruption
- Dose modification (600 mg, 450 mg)

## Proposed Prophylactic GI Management Strategies for Ceritinib



**Regimen A:** ondansetron 8 mg, along with either diphenoxylate and atropine 2.5 mg or loperamide 2 mg, to be taken orally 30 minutes prior to the ceritinib dose.

**Regimen B:** dicyclanole 20 mg twice daily (to be taken orally starting with the first ceritinib dose), ondansetron 8 mg (to be taken orally 30 minutes prior to ceritinib dose for the first seven doses), and loperamide 2 mg (to be taken orally as needed with the onset of diarrhea; two tablets at onset and one tablet with every loose stool).

All agents were stopped at week 3 unless symptoms persisted.

Schaefer et al. Cancer Management Research 8: 33-38, 2016

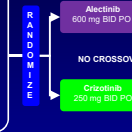
## Ceritinib

- Ceritinib has improved RR, PFS and OS compared to chemotherapy in ALK+ NSCLC.
- GI toxicity management is necessary and possibly dose-reductions as well.
- FDA approved ceritinib for ALK+ salvage therapy in April 2014.
- Ceritinib was FDA approved for frontline use in ALK+ NSCLC on May 26, 2017.

## ALEX Study Design

### KEY ELIGIBILITY

- ALK+ by central IHC testing
- Advanced or metastatic ALK+ NSCLC
- Treatment-naïve
- ECOG PS 0-2
- Measurable disease
- Asymptomatic brain metastases allowed



### ENDPOINTS

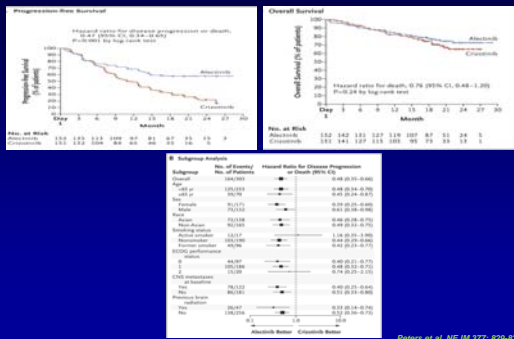
- Primary
  - PFS (RECIST 1.1), by investigator review
- Secondary
  - PFS by IRC
  - Time to CNS progression
  - ORR, DOR
  - OS
  - Safety and tolerability
  - Patient-reported outcomes

Stratification factors:  
 • ECOG PS (0/1 vs 2)  
 • Race (Asian vs non-Asian)  
 • Brain metastases (present vs absent)

ALK, anaplastic lymphoma kinase; IHC, immunohistochemistry; NSCLC, non-small-cell lung cancer; ECOG PS, Eastern Cooperative Oncology Group Performance Status; PO, by mouth; PFS, progression-free survival; IRC, independent review committee; CNS, central nervous system; ORR, objective response rate; DOR, duration of response; OS, overall survival

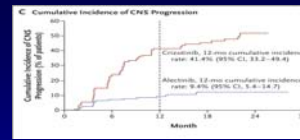
Peters et al. NEJM 377: 829-838, Aug 2017

## ALEX Survival



Peters et al. NEJM 377: 829-838, Aug 2017

## ALEX CNS Activity

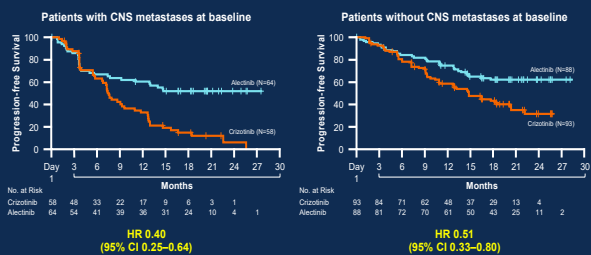


### CNS objective response rate\*

	Measurable CNS lesions at baseline		Measurable and non-measurable CNS lesions at baseline	
	Ceritinib (N=22)	Alectinib (N=21)	Ceritinib (N=58)	Alectinib (N=44)
CNS responders, n (%) (95% CI)	11 (50) (28-72)	17 (81) (58-95)	15 (26) (15-39)	38 (86) (72-92)
CNS complete response, n (%) (95% CI)	1 (5) (0-18)	8 (38) (14-61)	2 (3) (0-10)	20 (45) (31-59)
Median DOR in the CNS, months (95% CI)	5.5 (2.1-17.5)	17.3 (14.8-NE)	5.7 (3.2-8.4)	NE (17.3-NE)

Peters et al. NEJM 377: 829-838, Aug 2017

## ALEX PFS by Baseline CNS Metastases



\*Investigator assessment

Peters et al. NEJM 377: 829-838, Aug 2017

## ALEX Toxicity

	Ceritinib (N=111)	Alectinib (N=112)
Diarrhea	13 (12%)	13 (12%)
Stomatitis	10 (9%)	10 (9%)
Weight increased	0	18 (16%)
Weight decreased	0	18 (16%)
Constipation	0	18 (16%)
Headache	0	18 (16%)
Upper respiratory tract infection	0	18 (16%)
Pharyngitis	0	18 (16%)
Headache	0	18 (16%)
Upper respiratory tract infection	0	18 (16%)
Pharyngitis	0	18 (16%)
Headache	0	18 (16%)
Upper respiratory tract infection	0	18 (16%)
Pharyngitis	0	18 (16%)

Peters et al. NEJM 377: 829-838, Aug 2017

### Alectinib

- Alectinib has improved RR, PFS and OS compared to chemotherapy in ALK+ NSCLC.
- Alectinib is well-tolerated.
- FDA approved alectinib for ALK+ salvage therapy in December 2015.
- Alectinib (600 mg BID) was FDA approved for frontline use in ALK+ NSCLC on November 6, 2017 after the ALEX trial.
- Note – J-ALEX (Japan) uses 300 mg BID.

### Comparison First Line ALK Inhibitors

FL Trial Agent	ORR	Median PFS (months)	Intracranial median PFS (months)	OS
PROFILE 1014 (crizotinib)	74%	10.9	-	56.6% (4 Yrs)
ASCEND-4 (ceritinib)	73%	16.6	10.7 (BM+) 26.3 (BM-)	NR
ALEX (alectinib)	83%	NR	NR	NR

Solomon BJ, et al. *N Engl J Med*. 2014;371:2167-2177  
 Soria et al. *Lancet Oncology* 389: 917-926, 2017  
 Peters et al. *NEJM* 377: 802-819, Aug 2017

### Toxicity Comparison of Selected ALK inhibitors

Agent	Toxicities
Crizotinib	Vision Transaminitis Nausea, diarrhea Peripheral edema
Ceritinib	Abdominal pain Nausea Diarrhea Transaminitis
Alectinib	Myalgia LFT elevation
Brigatinib	Pulmonary toxicity
Lorlatinib	Hypercholesterolemia CNS (delirium, MS changes)

### Indirect Comparison\* of ALK Inhibitors: Safety

ALK inhibitors	Ceritinib	Alectinib	Brigatinib*
Common AEs All grades (≥20%)	Diarrhea 85% Nausea 69% Vomiting 67% Fatigue 45% Abdominal pain 40% Appetite 34% Weight loss 24% Cough 25%	Rash 21% Non-cardiac chest pain 21% Constipation 20%	Fatigue 41% Constipation 34% Edema 30% Myalgia 29%
Grade 3-4 AEs / Laboratory abnormalities (≥3%)	Fatigue 7% Vomiting 5% Diarrhea 4.8% Abdominal pain 3.7% Weight loss 3.7%	↑GGT 49% ↑ALT 34% ↑AST 21% ↑Alkaline phosphatase 12% ↑Amylase 8% ↑Lipase 6% Anemia 4.2% ↑Creatinine 4.2% ↓Phosphate 3.7%	Dyspnea 3.6% ↑ALT 4.8% ↑CPK 4.6% Lymphopenia 4.6% Hypokalemia 4% TAST 3.6%
			Nausea 40% Diarrhea 38% Fatigue 36% Cough 34% Headache 27% Vomiting 23% Dyspnea 21% Hypertension 21% Hypertension 6.4% Pneumonia 5.5% Rash 3.6% ↑CPK 12% ↑Lipase 5.5% Lymphopenia 4.5% Hyperglycemia 3.6% ↓Phosphorous 3.6%

\*AEs are for the 90-180 mg dose of brigatinib  
 \*Cross-trial comparisons are confounded by differences in trial design and study populations  
 \*Diarrhea (median [range] 85% [69-92])  
 \*Nausea (median [range] 69% [67-71])  
 \*Vomiting (median [range] 67% [65-69])  
 \*Fatigue (median [range] 45% [43-47])  
 \*Abdominal pain (median [range] 40% [38-42])  
 \*Appetite (median [range] 34% [32-36])  
 \*Weight loss (median [range] 24% [22-26])  
 \*Cough (median [range] 25% [23-27])  
 \*Rash (median [range] 21% [19-23])  
 \*Non-cardiac chest pain (median [range] 21% [19-23])  
 \*Constipation (median [range] 20% [18-22])  
 \*Edema (median [range] 30% [28-32])  
 \*Myalgia (median [range] 29% [27-31])  
 \*Dyspnea (median [range] 3.6% [3.4-3.8])  
 \*↑ALT (median [range] 4.8% [4.6-5.0])  
 \*↑CPK (median [range] 4.6% [4.4-4.8])  
 \*Lymphopenia (median [range] 4.6% [4.4-4.8])  
 \*Hypokalemia (median [range] 4% [3.8-4.2])  
 \*TAST (median [range] 3.6% [3.4-3.8])  
 \*Nausea (median [range] 40% [38-42])  
 \*Diarrhea (median [range] 38% [36-40])  
 \*Fatigue (median [range] 36% [34-38])  
 \*Cough (median [range] 34% [32-36])  
 \*Headache (median [range] 27% [25-29])  
 \*Vomiting (median [range] 23% [21-25])  
 \*Dyspnea (median [range] 21% [19-23])  
 \*Hypertension (median [range] 21% [19-23])  
 \*Hypertension (median [range] 6.4% [6.2-6.6])  
 \*Pneumonia (median [range] 5.5% [5.3-5.7])  
 \*Rash (median [range] 3.6% [3.4-3.8])  
 \*↑CPK (median [range] 12% [10-14])  
 \*↑Lipase (median [range] 5.5% [5.3-5.7])  
 \*Lymphopenia (median [range] 4.5% [4.3-4.7])  
 \*Hyperglycemia (median [range] 3.6% [3.4-3.8])  
 \*↓Phosphorous (median [range] 3.6% [3.4-3.8])

Safety overview based on US prescribing information

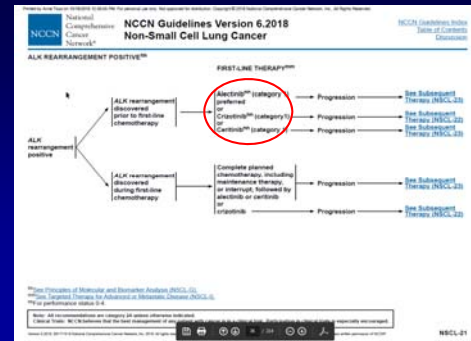
Cambridge, R WCLC 2017 Satellite symposium

### First Line ALK Inhibitors

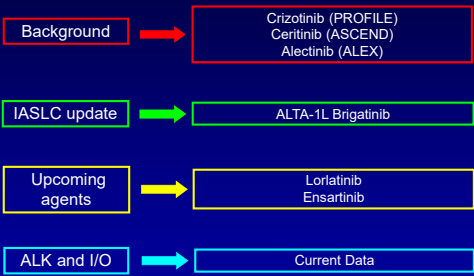


1. Sakamoto H et al. *Cancer Cell* 2011, 2. Kodama T et al. *Mol Cancer Ther* 2014, 3. Gadgeel SM et al. *WCLC* 2013, 4. Adjei AA *ASCO* 2015

### NCCN Guidelines



### Outline: ALK Frontline



### ALTA-1L: Phase 3, Open-label, Randomized, Multicenter, Study (NCT02737501)



Disease assessment every 8 weeks, including brain MRI for all patients

- Primary endpoint:** Blinded independent review committee (BIRC) assessed PFS per RECIST v1.1
- Key secondary endpoints:** Confirmed ORR, confirmed intracranial ORR, intracranial PFS, OS, safety, and tolerability
- Statistical considerations:** ~276 total patients (138 events); 135 in each arm to detect a 6-month improvement in PFS (HR=0.625), assuming:
  - 13-month PFS in crizotinib arm
  - 3 planned interim analyses at 99 (50%) and 148 (75%) total expected events

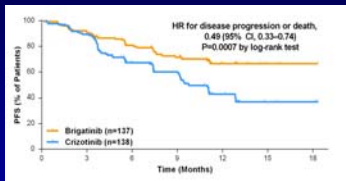
Trial fully accrued in August 2017 (N=276)

First Interim Analysis:  
 \* A total of 99 PFS events are included  
 \* According to the pre-specified O'Brien Fleming-Lan-DeMets alpha spending function, a 2-sided P-value of 0.001 will be used to define the threshold for significance

Camidge et al. JASLC abstract 2018

### Primary Endpoint: BIRC-Assessed PFS

Brigatinib met the prespecified threshold for statistical superiority vs crizotinib



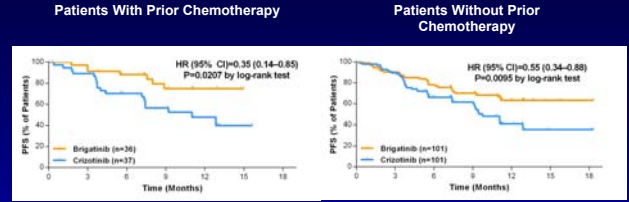
Treatment	No. (%) of Patients With Events	Median PFS (95% CI)	1-Year PFS, % (95% CI)
Brigatinib (n=137)	38 (28)	NR (NR-NR)	87 (56-75)
Crizotinib (n=138)	63 (46)	9.8 months (9.0-12.9)	43 (32-53)

Investigator-assessed median PFS was NR (95% CI, NR-NR) in the brigatinib arm and 9.2 months (95% CI, 7.4-12.9 months) in the crizotinib arm (HR, 0.45 [95% CI, 0.30-0.68]; log-rank P=0.0001)

1-year OS probability: brigatinib, 85% (95% CI, 76%-91%); crizotinib, 86% (77%-91%)

Camidge et al. JASLC abstract 2018

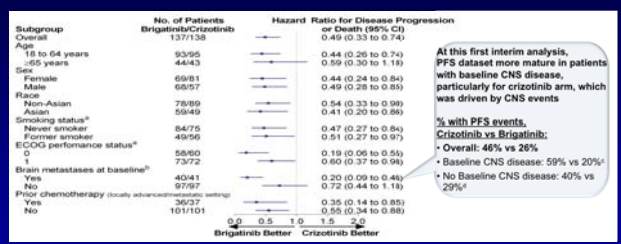
### PFS Based on Prior Chemotherapy in the Locally Advanced or Metastatic Setting



Patients With Prior Chemotherapy			Patients Without Prior Chemotherapy		
Treatment	Median PFS (95% CI)	1-Year PFS, % (95% CI)	Treatment	Median PFS (95% CI)	1-Year PFS, % (95% CI)
Brigatinib (n=36)	NR (NR-NR)	75 (54-87)	Brigatinib (n=101)	NR (NR-NR)	63 (50-74)
Crizotinib (n=37)	11.0 months (7.2-12.9)	48 (29-64)	Crizotinib (n=101)	9.8 months (9.0-12.9)	41 (28-53)

Camidge et al. JASLC abstract 2018

### BIRC-Assessed PFS by Subgroup



At this first interim analysis, PFS dataset more mature in patients with baseline CNS disease, particularly for crizotinib arm, which was driven by CNS events.

% with PFS events, Crizotinib vs Brigatinib:  
 \* Overall: 46% vs 26%  
 \* Baseline CNS disease: 59% vs 20%  
 \* No Baseline CNS disease: 40% vs 29%

\*HR not calculated for patients who were current smokers (brigatinib, n=4; crizotinib, n=7) or who had ECOG performance status of 2 (brigatinib, n=6; crizotinib, n=6) due to insufficient patient numbers, as dictated by the Statistical Analysis Plan. †Baseline brain metastases as assessed by investigator. ‡Cumulative incidence by competing risk analysis (crizotinib vs brigatinib), 45% vs 28% with CNS progression (without prior systemic progression or death); 5% vs 1% with CNS progression (without prior systemic progression or death).

Camidge et al. JASLC abstract 2018

### ORR

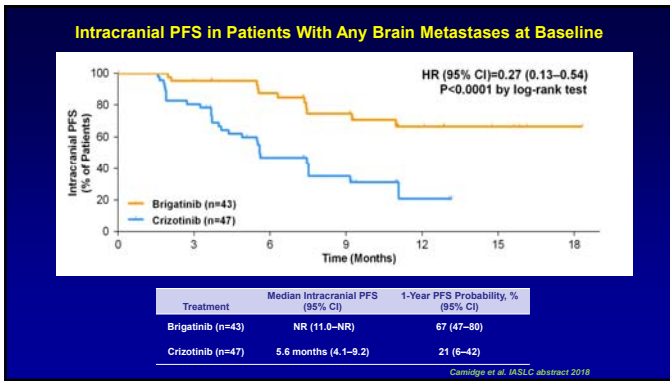
Systemic Objective Response <sup>a</sup> (ITT Population)			Intracranial Objective Response <sup>a</sup> in Patients with Brain Metastases at Baseline			
	Brigatinib n=137	Crizotinib n=138	OR (95% CI)	Brigatinib n=118	Crizotinib n=21	OR (95% CI)
Confirmed ORR, % (95% CI)	71 (52-79)	60 (51-68)	1.89 (0.96-2.62) P=0.073	18 (15-21)	29 (11-42)	10.42 (1.90-57.26) P=0.002
Confirmed CR, %	4	5		11	0	
Confirmed PR, %	67	56		47	29	
ORR at 21 assessment, % (95% CI)	76 (65-83)	73 (65-80)	1.13 (0.66-1.97) P=0.6512	43 (36-50)	23 (15-27)	9.29 (1.88-46.88) P=0.002
CR, %	7	8		17	0	
PR, %	65	58		47	17	13.90 (4.38-38.61) P=0.001
Median DoR in confirmed responders, mo (95% CI)	NR (NR-NR)	11.1 (8.2-NR)		17 (8-31)	13 (8-31)	
12-month probability of maintaining response, % (95% CI)	75 (63-83)	41 (28-54)		37 (26-48)	13 (8-20)	16.30 (5.32-49.82) P=0.001

<sup>a</sup>Assessed by the BIRC.

<sup>a</sup>Assessed by the BIRC.

<sup>a</sup>≥10 mm in diameter.

Camidge et al. JASLC abstract 2018



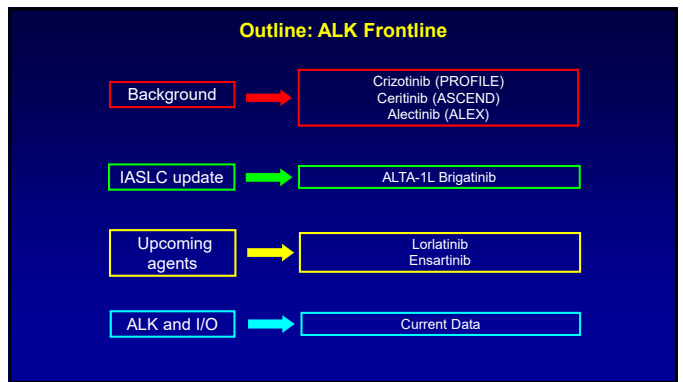
### TEAEs Reported in >20% of All Patients or That Differed by >5 Percentage Points Between Arms

	Brigatinib (n=136), %		Crizotinib (n=137), %		Brigatinib (n=136), %		Crizotinib (n=137), %		
	Any Grade	Grade ≥3	Any Grade	Grade ≥3	Any Grade	Grade ≥3	Any Grade	Grade ≥3	
Diarrhea	49	1	55	2	Dyspnea	6	0	13	0
Increased blood CPK	28	16	15	1	Stomatitis	6	0	0	0
Nausea	26	1	56	3	Brucyrdia	5	1	12	0
Cough	22	0	58	0	Peripheral edema	4	1	20	1
Increased AST	23	1	25	6	Dysgeusia	4	0	19	0
Hypertension	22	10	7	3	Upper abdominal pain	4	1	13	1
Increased ALT	19	1	32	9	Pain in extremity	4	0	12	1
Increased lipase	19	12	12	5	Increased blood creatinine	2	0	14	1
Vomiting	18	1	39	2	Neutropenia	1	0	9	4
Constipation	18	0	42	1	Periorthitis	1	1	7	1
Increased amylase	14	9	7	1	Photophobia	1	0	20	1
Pruritus	13	1	4	1	GERD	1	0	9	0
Rash	10	0	2	0	Hypoferrinemia	1	0	6	1
Decreased appetite	7	1	29	3	Visual impairment	0	0	16	0
Dermatitis acneiform	7	0	1	0	Deep vein thrombosis	0	0	6	0

**Brigatinib excess AEs dominated by CPK, lipase, and amylase increases**

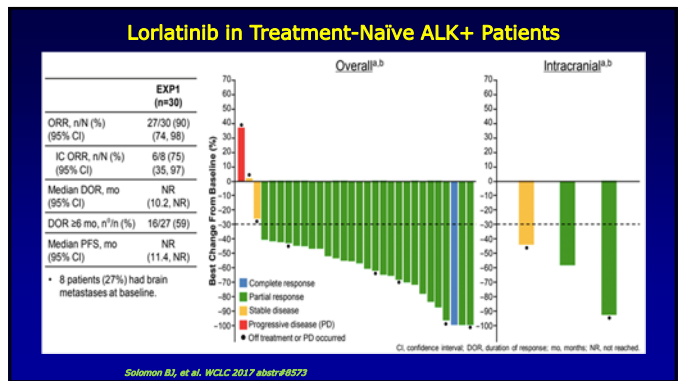
*Camidge et al. IASLC abstract 2018*

- ### Brigatinib
- ALTA-1L was conducted in ALK+ patients defined using multiple ALK diagnostics and allowed for prior chemotherapy exposure
  - Brigatinib has a superior PFS versus crizotinib by BIRC (HR, 0.49; P=0.0007)
  - Brigatinib was well tolerated.
  - Early-onset pneumonitis may be unique to brigatinib among ALK TKIs, but is rare (3%) and the event rate appears lower in ALTA-1L than in later line trials.
  - Brigatinib was granted FDA accelerated approval for crizotinib-refractory ALK+ NSCLC on April 28, 2017.
  - Brigatinib is a new first-line treatment option for ALK+ NSCLC
- Camidge et al. IASLC abstract 2018*



### First Line ALK-TKI - Phase III Trials

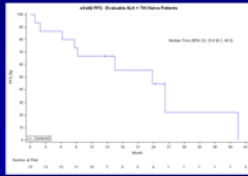
Sponsor	Trial	Agent	Comparison	N	Anticipated dates	NCT
Pfizer	CROWN	Lorlatinib	Crizotinib	280	Dec 2019	NCT03052608
XCoverly	eXalt3	Ensartinib	Crizotinib	402	April 2020	NCT02767804



### Ensartinib in Treatment-Naïve ALK+ Patients

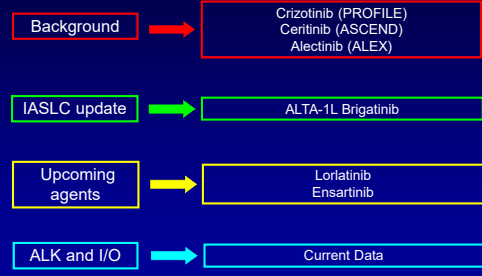
- Ensartinib targets EML4-ALK and point mutations T1151M, G1269A, L1196M, G1202R, and V1149M.
- Ensartinib also targets MET, ABL, Axl, EPHA2, LTK, ROS1, and SLK.
- In crizotinib refractory ALK patients, ORR 72%
- In pretreated patients with at least one 2<sup>nd</sup> gen TKI, ORR 23% and DCR 50%
- Ensartinib 225 mg po daily

Best Response, n (%)	ALK+ TKI Naïve Evaluable Pts at ≥ 200 mg (n=15)
PR	12 (80%)
SD	1 (7%)
PD	2 (13%)
Overall Response Rate	12 (80%)



Wakabe et al. WCLC 2017, MA07.02

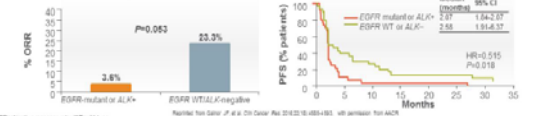
### Outline: ALK Frontline



### PD-1 Immunotherapy Is No Longer Recommended by the NCCN as a Treatment Alternative for ALK+ NSCLC

NCCN Guidelines previously recommended PD-1 immunotherapy as a treatment alternative after progression on a second-line ALK inhibitor in PD-L1 expression positive (≥50%) patients.<sup>1</sup> This recommendation has been removed.<sup>2</sup>

- Never-smokers may have decreased responses to a PD-1/PD-L1 antibody in comparison with current/former smokers<sup>3-5</sup>
- A retrospective analysis of 58 patients treated with PD-1/PD-L1 inhibitors suggested that patients with ALK rearrangements may have shorter PFS and lower response rates to PD-1/PD-L1 immunotherapy compared with ALK-negative patients<sup>6</sup>



ORR: 3.6% vs 23.3% (P=0.053). PFS: HR=0.515, P=0.018. References: 1. NCCN Guidelines for Non-Small Cell Lung Cancer, Version 6.2018. 2. NCCN Guidelines for Non-Small Cell Lung Cancer, Version 6.2018. 3. ...

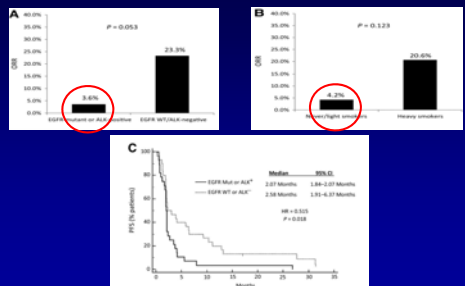
NCCN Guidelines Version 6.2018 Non-Small Cell Lung Cancer

TARGETED THERAPY FOR ADVANCED OR METASTATIC DISEASE

Subsequent therapy: Response assessment with CT of known sites of disease with or without contrast every 6-12 weeks. Timing of CT scans within Guidelines parameters is a clinical decision.

Benzotizing EGFR Mutation	ROS1 Rearrangement
<ul style="list-style-type: none"> <li>First-line therapy</li> <li>Alectinib<sup>1</sup></li> <li>Crizotinib<sup>2</sup></li> <li>Erlofinib<sup>3</sup></li> <li>Gefitinib<sup>4</sup></li> <li>Osimertinib<sup>5</sup></li> </ul>	<ul style="list-style-type: none"> <li>First-line therapy</li> <li>Ceritinib<sup>1</sup></li> <li>Crizotinib<sup>17</sup></li> <li>BRAP V600E Mutation</li> <li>First-line therapy</li> <li>Cabozantinib/trametinib<sup>18</sup></li> <li>Subsequent therapy</li> <li>Cabozantinib/trametinib<sup>18,20</sup></li> </ul>
ALK Rearrangement	PD-L1 Expression
<ul style="list-style-type: none"> <li>First-line therapy</li> <li>Alectinib<sup>1</sup></li> <li>Ceritinib<sup>2</sup></li> <li>Crizotinib<sup>3,11</sup></li> <li>Subsequent therapy</li> <li>Alectinib<sup>1,18</sup></li> <li>Brigatinib<sup>14</sup></li> <li>Ceritinib<sup>18</sup></li> </ul>	<ul style="list-style-type: none"> <li>First-line therapy</li> <li>Pembrolizumab<sup>1,22</sup></li> <li>Subsequent therapy</li> <li>Atezolizumab<sup>23</sup></li> <li>Nivolumab<sup>24,25</sup></li> <li>Pembrolizumab<sup>24</sup></li> </ul>

### EGFR Mutant or ALK positive NSCLC or Never-smokers have Lower Response Rates to Immunotherapy



Getiner et al. COR 22 (18) 4585-4593, 2016

### CheckMate 057: Treatment Effect on OS in Predefined Subgroups

	N	Unstratified HR (95% CI)
Overall	592	0.75 (0.52, 0.91)
Age Categorization (years)		
<65	339	0.81 (0.62, 1.04)
≥65 and <75	200	0.63 (0.45, 0.89)
≥75	43	0.90 (0.43, 1.97)
Gender		
Male	319	0.73 (0.56, 0.96)
Female	263	0.78 (0.58, 1.04)
Baseline ECOG PS		
0	179	0.64 (0.44, 0.93)
≥1	402	0.80 (0.63, 1.00)
Smoking Status		
Current/Former Smoker	458	0.70 (0.56, 0.86)
Never Smoked	118	1.02 (0.64, 1.61)
EGFR Mutation Status		
Positive	82	1.18 (0.69, 2.00)
Not Detected	360	0.59 (0.51, 0.66)
Not Reported	150	0.74 (0.51, 1.06)

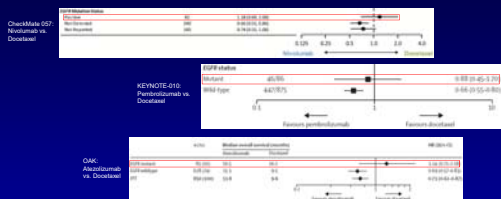
These data suggest that perhaps PD-1 inhibitors are less effective in EGFR-mutated cancers.

Note: EGFR-mutated cancers generally carry low mutational load

Paz-Ares et al. ASCO 2015, abstr: LBA109



## OS With Immune Checkpoint Inhibitors in Patients With EGFR-mutant Lung Cancers



These data suggest that PD-1 inhibitors are less effective in EGFR-mutated cancers.

Shorghani et al. *N Engl J Med*. 2015;373:1627  
 Herbst et al. *Lancet*. 2016;387:1540  
 Rittmeyer et al. *Lancet*. 2017;389:255

## Immune Checkpoint Inhibitors Indications

- PD-1
  - Nivolumab
    - Previously treated patients with advanced NSCLC, regardless of histology; no requirement for PD-L1 expression testing. **Patients with EGFR or ALK mutations should have disease progression on FDA approved therapy for these mutations prior to receiving nivolumab**
  - Pembrolizumab
    - Patients whose disease progresses on or after platinum-based chemotherapy whose tumors express PD-L1 ( $\geq 1\%$ ). **Patients with EGFR or ALK mutations should have disease progression on FDA-approved therapy for these mutations**
    - First line treatment in patients with PD-L1 expression levels  $\geq 50\%$ , **with no EGFR or ALK genomic tumor aberrations**
    - First-line combination therapy with pemetrexed and carboplatin irrespective of PD-L1 expression (conditional approval 5/17) in Non-Squamous NSCLC in absence of EGFR or ALK aberrations
- PD-L1
  - Atezolizumab
    - For patients whose disease progresses during or following platinum-containing chemotherapy, regardless of histology or PDL1 level. **Patients with EGFR or ALK mutations should have disease progression on FDA approved therapy for these mutations prior to receiving atezolizumab**
  - Durvalumab
    - **Indicated as consolidation post chemo-XRT in pts with stage III NSCLC, independent of histology or EGFR/ALK status, based on superior PFS**

Data from prescribing information:  
[www.fda.gov/Drugs/InformationOnDrugs/ApprovedDrugs/csr625760.htm](http://www.fda.gov/Drugs/InformationOnDrugs/ApprovedDrugs/csr625760.htm)

## Thank you



The University of Texas  
 MD ANDERSON  
 CANCER CENTER

Anne S. Tsao, M.D.  
 Professor  
 Director, Mesothelioma Program  
 Director, Thoracic Chemo-XRT Program

October 27, 2018

Department of Thoracic/Head & Neck  
 Medical Oncology

**Discuss Emerging Strategies and Challenges Due to Secondary or  
Acquired Resistance to Small Molecule TKIs in Patients with ALK-  
rearranged NSCLC**

**Vincent Lam, MD**

# Strategies for Acquired Resistance to ALK TKIs

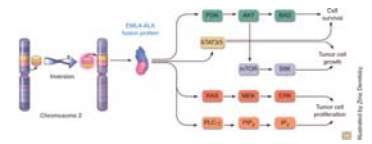
Vincent Lam, M.D.

Assistant Professor, MD Anderson Cancer Center  
Houston, TX

October 27, 2018

## ALK fusions are heterogeneous

- Diagnosed by FISH, IHC, RT-PCR, NGS
- More than 20 different ALK fusion partners across different cancers
- Multiple variants per given fusion protein
  - *EML4-ALK* has over 10 variants
  - Variants can have different ALK TKI sensitivity

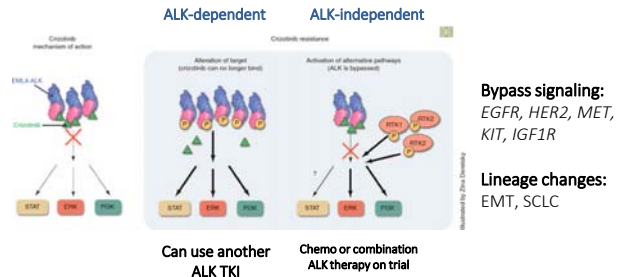


Solomon, Clinical Pharmacology & Therapeutics 2013

## Conflict of Interest Disclosure

No relevant financial disclosures

## ALK TKI resistance can be grouped into 2 main categories



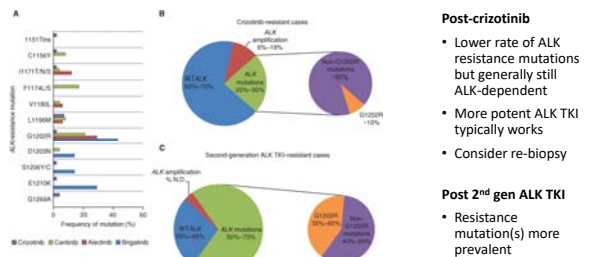
Solomon, Clinical Pharmacology & Therapeutics 2013

## ALK TKIs have different sensitivities

Mutation data	Cellular ALK phosphorylation mean $IC_{50}$ (nM)				
	Crizotinib	Carboc	Alocic	Bingridis	Lorlatinib
Parental A549	36.9	36.7	10.1	10.7	2.3
EML4-ALK V1	31.9	1.2	11.1	1.3	1.1
EML4-ALK V2	19.1	1.2	10.7	1.1	1.1
EML4-ALK V3	14.1	1.2	11.2	1.1	1.1
EML4-ALK V4	11.4	1.2	10.7	1.1	1.1
EML4-ALK V5	11.5	1.2	11.2	1.1	1.1
EML4-ALK V6	11.2	1.2	11.2	1.1	1.1
EML4-ALK V7	11.2	1.2	11.2	1.1	1.1
EML4-ALK V8	11.2	1.2	11.2	1.1	1.1
EML4-ALK V9	11.2	1.2	11.2	1.1	1.1
EML4-ALK V10	11.2	1.2	11.2	1.1	1.1
EML4-ALK V11	11.2	1.2	11.2	1.1	1.1
EML4-ALK V12	11.2	1.2	11.2	1.1	1.1
EML4-ALK V13	11.2	1.2	11.2	1.1	1.1
EML4-ALK V14	11.2	1.2	11.2	1.1	1.1
EML4-ALK V15	11.2	1.2	11.2	1.1	1.1
EML4-ALK V16	11.2	1.2	11.2	1.1	1.1
EML4-ALK V17	11.2	1.2	11.2	1.1	1.1
EML4-ALK V18	11.2	1.2	11.2	1.1	1.1
EML4-ALK V19	11.2	1.2	11.2	1.1	1.1
EML4-ALK V20	11.2	1.2	11.2	1.1	1.1

Galnor et al, Cancer Discovery 2016

## ALK resistance is varied and TKI dependent



- Post-crizotinib**
- Lower rate of ALK resistance mutations but generally still ALK-dependent
  - More potent ALK TKI typically works
  - Consider re-biopsy
- Post 2<sup>nd</sup> gen ALK TKI**
- Resistance mutation(s) more prevalent
  - Re-biopsy!

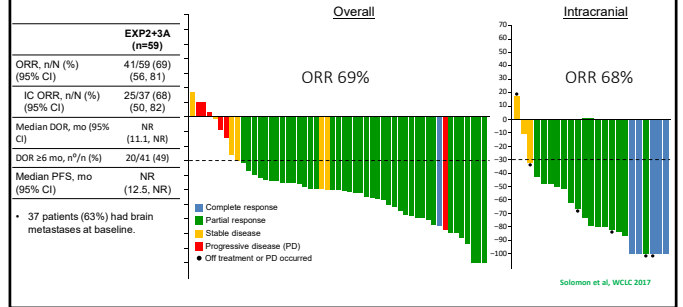
Lin et al, Ca Discovery 2017

## Current post-crizotinib landscape

	Ceritinib ASCEND-5 (Ph 3) <sup>2</sup>	Alectinib ALUR (Ph 3) <sup>2</sup>	Brigatinib 180mg ALTA (Ph 2) <sup>3</sup>	Lorlatinib (Ph 1/2) <sup>4</sup>	Ensartinib (Ph 2) <sup>5</sup>
	FDA approval		FDA breakthrough		
ORR	39%	38%	55%	73%	69%
Intracranial ORR	35%	54%	67%	70%	64%
Median PFS	5.4 mo	9.6 mo	16.7 mo	11.1	9.0 mo
Toxicity considerations	GI (diarrhea, nausea, vomiting)	Constipation, fatigue, myalgia	GI, elevated CPK, early onset pulmonary events (6%)	Hypercholesterolemia, hypertriglyceridemia, weight gain, confusion/hallucinations (3%)	Rash, nausea, pruritis
Dose reduction	61%	4%	30%	25%	25%

1. Shaw et al, Lancet Onc 2017 2. Novello et al, Ann Onc, 2018 3. Ahn et al, WCLC 2017 4. Besse et al, ASCO 2018 5. Horn et al, CCR 2018

## Lorlatinib – after crizotinib ± chemo



## Crizotinib resistance is generally still ALK-dependent

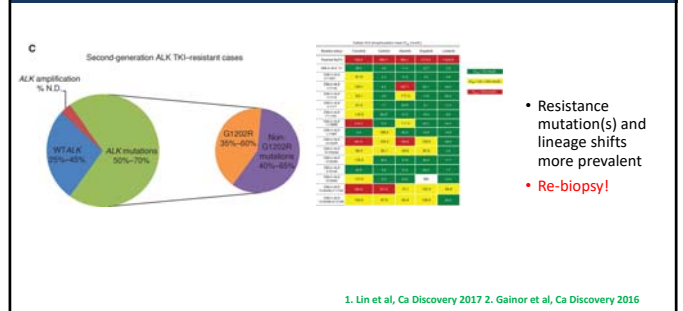
### Brigatinib post-crizotinib pooled mutational analysis

	Phase 1/2	ALTA	Total
Patients with baseline NGS data, n	15 <sup>a</sup>	17 <sup>b</sup>	32
Confirmed ORR, % (n/N)	80 (12/15)	59 (10/17)	69 (22/32)
Patients with secondary ALK mutations at baseline, n	5	4	9
Confirmed ORR, % (n/N)	80 (4/5)	75 (3/4)	78 (7/9)
Patients without secondary ALK mutations at baseline, n	10 <sup>a</sup>	13 <sup>b</sup>	23
Confirmed ORR, % (n/N)	80 (8/10)	54 (7/13)	65 (15/23)

- Lower rate of ALK resistance mutations but generally still ALK-dependent
- More potent ALK TKI typically works
- Consider re-biopsy, but not required

Gettinger et al, ASCO 2016

## 2<sup>nd</sup> gen TKI resistance is more varied



## Current post-alectinib landscape

	Ceritinib ASCEND-9 (Ph 2, n=20) <sup>1</sup>	Brigatinib (retrospective, n=22) <sup>2</sup>	Lorlatinib (Ph 1/2) <sup>3</sup> , awaiting FDA approval	Ensartinib (Ph 2) <sup>4</sup> , trial on-going
ORR	25%	17%	40%	25%
Intracranial ORR	25%	25%	41%	NR
Median PFS	3.7 mo	4.4 mo	5.5 mo	1.9 mo

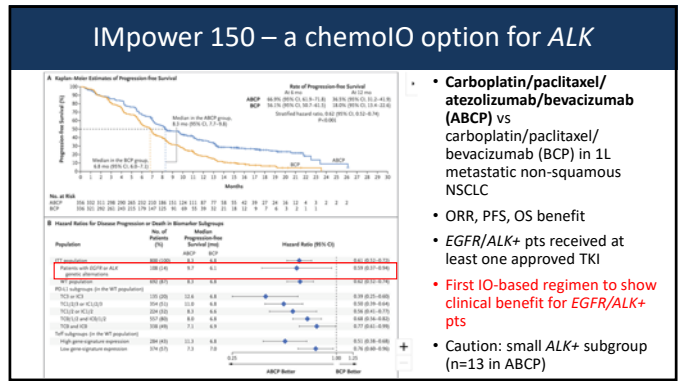
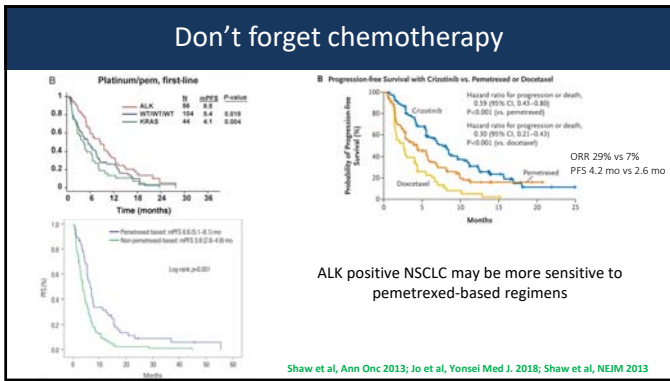
- Current Brigatinib FDA label is only for post-crizotinib progression
- MDACC clinical trial evaluating Ceritinib + Everolimus (NCT02321501)

1. Hida et al, Cancer Sci 2018 2. Lin et al, JTO 2018 3. Besse et al, ASCO 2018 4. Horn et al, CCR 2018

## Lorlatinib has broad post-2<sup>nd</sup> gen TKI activity

	Table 3. Efficacy by Last Prior Second-Generation ALK TKI Received (EXP2-5)		
	Alectinib	Ceritinib	Brigatinib
<b>OVERALL</b>			
N	62	47	8
ORR			
%	40.3	42.6	37.5
95% CI	28.1–53.6	28.3–57.8	8.5–75.5
DOR, <sup>a</sup> months			
Median	5.6	6.9	NC <sup>b</sup>
95% CI	4.2–24.4	5.6–NR	
Progression-free survival, <sup>a</sup> months			
Median	5.5	7.3	NC <sup>b</sup>
95% CI	4.1–7.1	5.5–11.1	
<b>INTRACRANIAL – Overall</b>			
N	37	35	5
IC ORR			
%	40.5	54.3	40.0

Besse et al, ASCO 2018



### IMpower 150 – NCCN category 1

NCCN National Comprehensive Cancer Network

**NCCN Guidelines Version 1.2019**  
**Non-Small Cell Lung Cancer**

SYSTEMIC THERAPY FOR ADVANCED OR METASTATIC DISEASE<sup>1,2</sup>

**Initial Systemic Therapy Options**  
Adenocarcinoma, Large Cell, NSCLC NOS (PS 0-1)

No contraindications to the addition of pembrolizumab or atezolizumab<sup>3</sup>

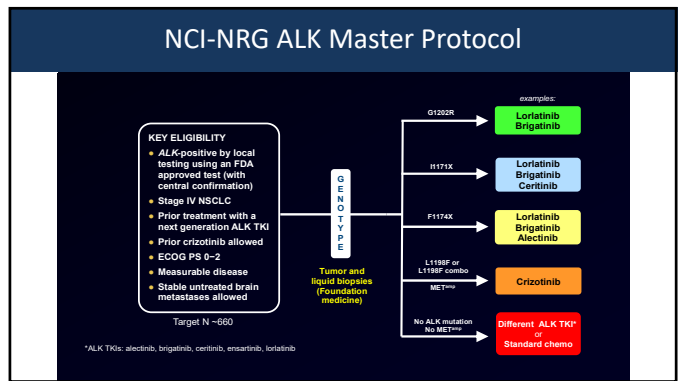
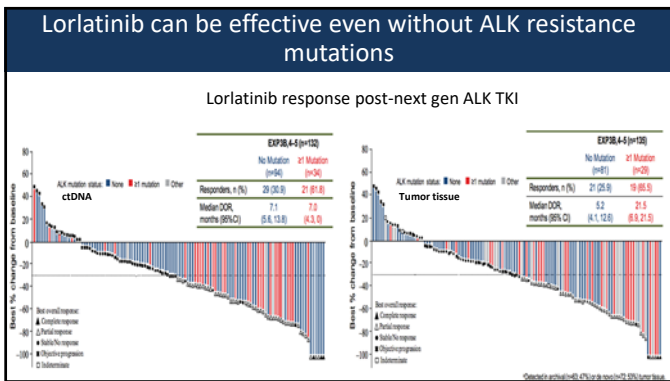
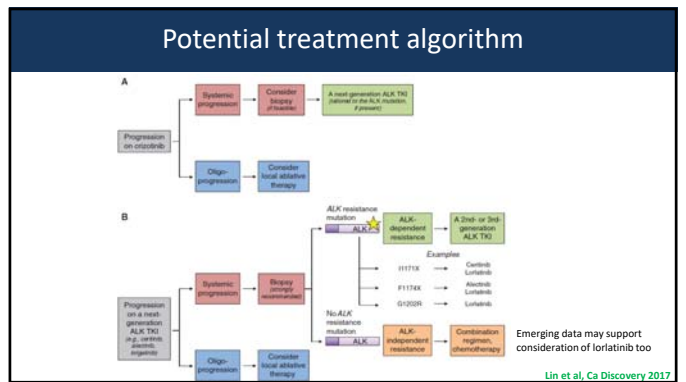
- Pembrolizumab/carboplatin/pemetrexed (category 1)<sup>1,2,4</sup> (preferred)
- Pembrolizumab/cisplatin/pemetrexed (category 1)<sup>2,4</sup> (preferred)
- Atezolizumab/carboplatin/paclitaxel/bevacizumab (category 1)<sup>3,5,6,7,8</sup>

Contraindications to the addition of pembrolizumab or atezolizumab<sup>3</sup>

- Bevacizumab/carboplatin/paclitaxel (category 1)<sup>4,5,6,7,8</sup>
- Bevacizumab/carboplatin/pemetrexed<sup>4,5,6,7,8</sup>
- Carboplatin/cisplatin/pemetrexed<sup>4,5,6,7,8</sup>

Adenocarcinoma, Large Cell, NSCLC NOS (PS 2)

- Albumin-bound paclitaxel<sup>9</sup>
- Carboplatin/albumin-bound paclitaxel<sup>9,10</sup>
- Carboplatin/docetaxel<sup>9</sup>
- Carboplatin/etoposide<sup>9,10</sup>
- Carboplatin/gemcitabine<sup>11</sup>
- Carboplatin/paclitaxel<sup>12</sup>
- Carboplatin/pemetrexed<sup>13</sup>
- Docetaxel<sup>22,23</sup>
- Paclitaxel<sup>24,25</sup>



## Summary

- Consider local therapy for oligoprogression
- Post-crizotinib: next-generation ALK TKIs are very active
  - Alectinib/brigatinib preferred (CNS, more potent, resistance mutations)
  - Lorlatinib has FDA breakthrough designation
- Optimal therapy post-next generation ALK TKI is not well defined, should re-biopsy
  - Resistance mechanisms may guide use of another TKI
  - Otherwise, platinum/doublet or chemolO (IMpower 150)
  - Lorlatinib FDA approval expected; ensartinib currently in trial

## Thank you

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October 27, 2018





For online registration and more information visit: [www.cancernetus.com](http://www.cancernetus.com)